



Human Services Department

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# Client Services Management System (CSMS)

## Client Services

## Training Participant Guide



September 2006

## **Acknowledgments**

CSMS Project Sponsor: Marlene A. Wilson, Director, Human Services Department

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## Course Objectives

Welcome to the class! Our focus will be on understanding and using CSMS software. The Client Services Management System was developed specifically for Broward County and its providers to track service delivery to Broward County residents (customers).

## Course Outcome

Following this training program, participants will be able to:

- Navigate CSMS
- Utilize the features of CSMS
- Complete a Client Intake
- Record an Activity
- Add a Case Note
- Discharge a Client
- Generate a Service Activity Report

This guide also contains details on additional essential case management features of CSMS System:

- Conduct an Assessment
- Create a Care Plan
- View/Update a Care Plan
- View Client history
- Determine Eligibility
- View the Resource Guide
- Submit Resource Guide Change Requests
- Change a Social Security Number
- Use Group Function

CSMS was deployed on November 15, 2004 and is being extensively used by Human Services Department and its contracted service providers.

The Human Services Help Desk is available to support CSMS users from 8:30 am to 5:00 pm Monday through Friday.

The Help Desk phone number is (954) 357-5956  
Fax number (954) 357-7327  
Email: [bhsnet@broward.org](mailto:bhsnet@broward.org)

Additional information is available on the web at [www.broward.org/humanservices/bhsnet.htm](http://www.broward.org/humanservices/bhsnet.htm)

# 2

## Getting to Know CSMS

CSMS is a comprehensive Human Services case management system created to effectively track client data and service delivery.



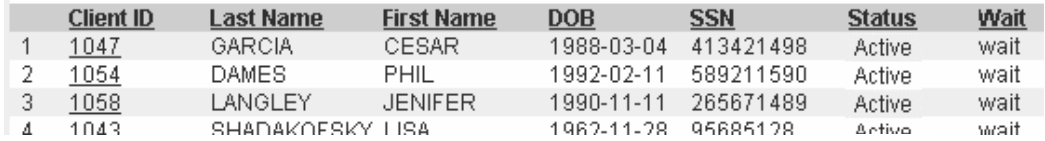
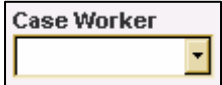

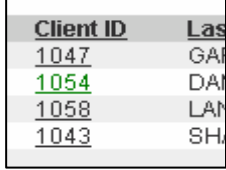

## CSMS Highlights

- CSMS is web based.
- CSMS connects all agency locations through one central database.
- Case notes can be appended (additional text can be added to an existing case note) after being saved.
- Existing client data can be retrieved and used to pre-fill fields.
- CSMS includes comprehensive drop down pick lists.
- Historical address records are maintained for primary clients.
- E-referrals can be sent, received and monitored through CSMS.
- Initial Intake dates do not change.
- The centralized database eliminates data duplication, resulting in accurate counts.
- You can see information about the other (Broward Human Services Network) BHSNet services\* your client is receiving.

\* To view this information a client's consent must be on file, must be current, and the data must be marked as shareable.

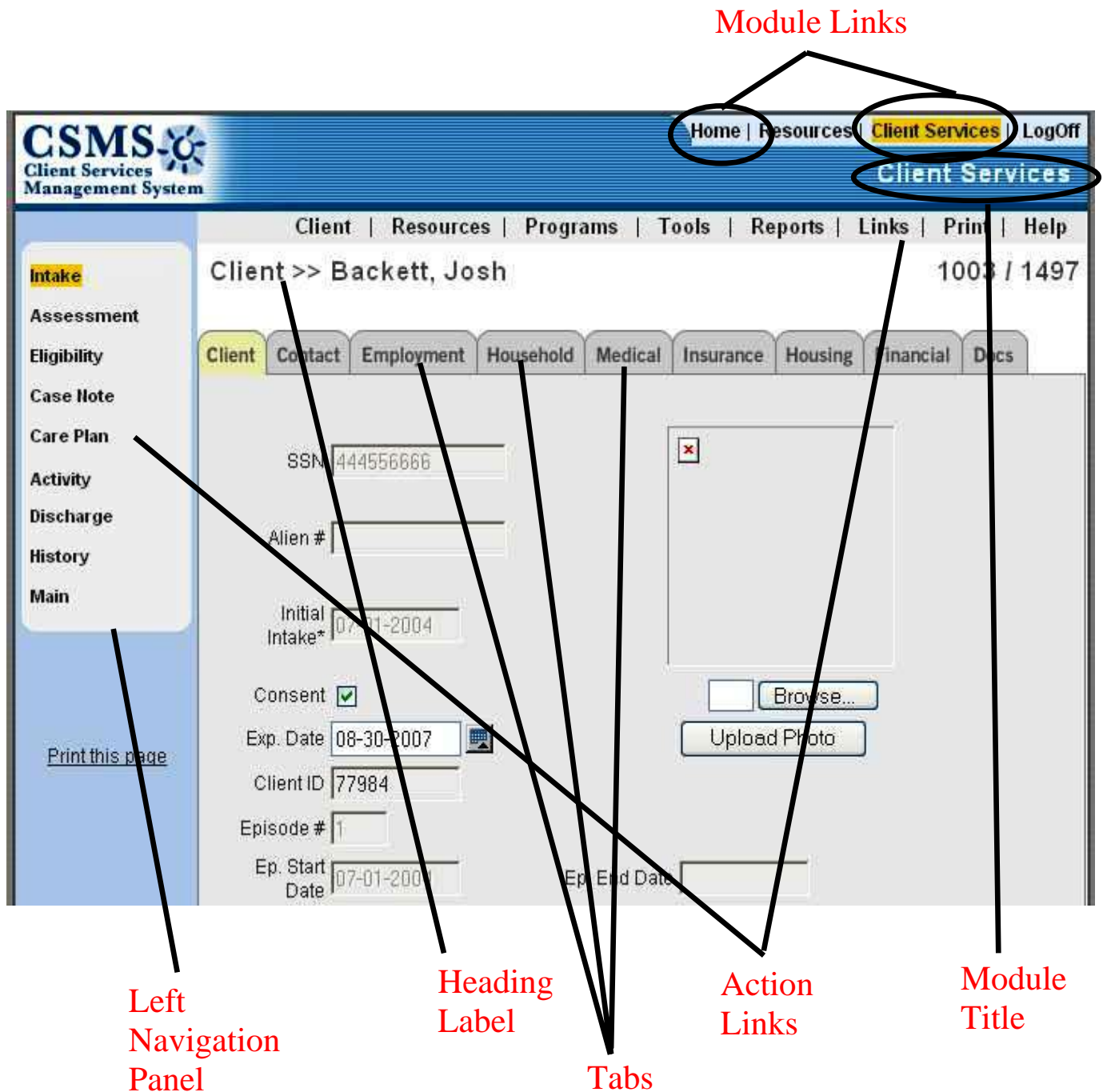
## Screen Elements

If you are a regular user of the Internet, you are probably already familiar with many of these terms. If you don't know these terms, you will find the following definitions helpful when working with CSMS.

<b>Buttons</b>		Icons that perform actions with a mouse click.
<b>Checkboxes</b>		Enable or disable a selection or feature. <ul style="list-style-type: none"> <li>Click once to select the checkbox</li> <li>Click again to deselect the checkbox</li> </ul>
<b>Client records</b>	 <p>Contain all of the details and history on each client that have been entered into the CSMS database.</p>	
<b>Drop down option pick lists</b>		The downward pointing arrow indicates there is a pick list of options to select from.
<b>Data Fields</b>	 <p>The spaces where you enter data, choose an option from a pick list in a drop down box, etc.</p>	
<b>Hyperlinks</b>		Underlined entries within CSMS which redirect you to another screen.
<b>Radio buttons</b>		<p>Circles in front of selection choices.</p> <p>Only one of the radio button choices can be selected at a time.</p>

## Additional Screen Elements

Below are some technical terms which will be used throughout this training.





# 3

## Logging on to CSMS

Each user has a unique user ID. Each time you log-on to CSMS, you will be prompted to enter your user ID and password. Since the system uses “single sign on” technology, you will not be asked to re-enter this information throughout the system.

You will need to re-enter your user ID and password if you log-off, change your password, or allow your session to timeout (sit untouched for 30 minutes).

If you have been granted the multiple agency/location privilege please refer to page 60 for additional logon instructions.

## Directions:

1. Launch the Internet Explorer browser.
2. For Internal County Employees please enter the web address for CSMS  
<http://hsux02.bhsnset.net/csms/Index.jsp> (it will also be provided to you by the help desk upon creation of your user account, usually within 3 business days of training)

For Providers, Air Card users & VPN users please login via [www.browardhs.net](http://www.browardhs.net)

3. When the Log-on window appears (shown at right), you will enter your User ID and Password which is provided to you by the helpdesk once trained, as indicated above.
  - User ID = \_\_\_\_\_
  - Password = \_\_\_\_\_

User IDs and Passwords are case sensitive.

**Note:** Users are strongly encouraged to change the password after the initial sign on to CSMS to ensure security.

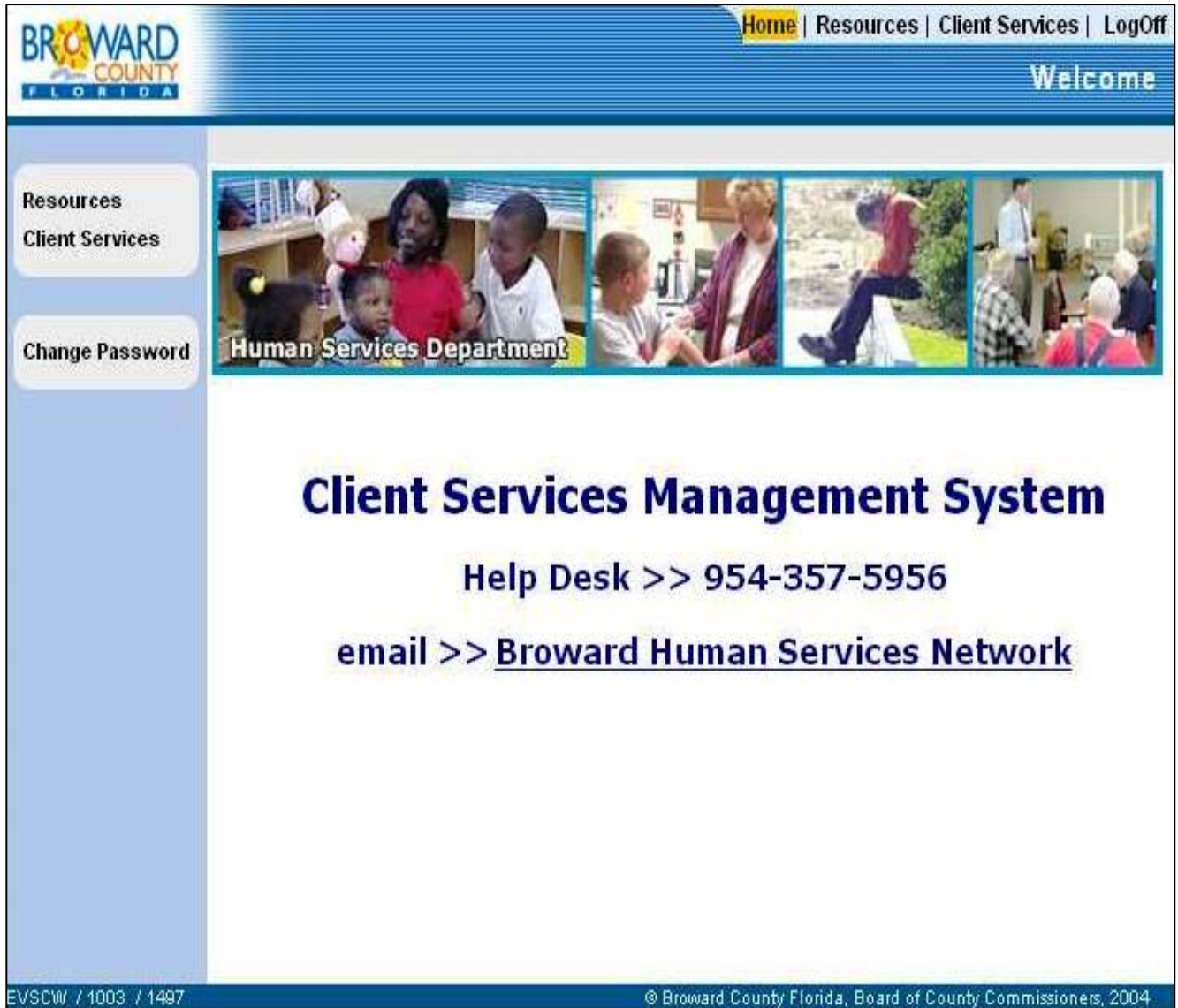
4. Click on the Secure Log-on button or hit Enter on the keyboard. The CSMS Welcome Page (Home) window will appear (shown on next page).

The screenshot shows the 'Client Services Management System' login interface. At the top right, a 'LOGON' button is circled. Below it is a banner for the 'Human Services Department' featuring several photos of staff and clients. The main title is 'Client Services Management System' with contact information: 'Help Desk >> 954-357-5956' and 'Email >> Broward Human Services Network'. On the left, there are input fields for 'User ID' and 'Password', and a 'Secure Logon' button. A black line with an arrow points from this 'Secure Logon' button to a larger, detailed callout box on the right. This callout box contains the same 'User ID' and 'Password' input fields and a 'Secure Logon' button, providing a clearer view of the login controls.

## Welcome Page (Home)

Welcome Page features:

- Help Desk Contact information
- Resources
- Client Services
- Home
- Log-off
- Change Password



The screenshot shows the 'Welcome Page (Home)' of the Broward County Florida Client Services Management System. The page has a blue header with the Broward County Florida logo on the left and navigation links 'Home', 'Resources', 'Client Services', and 'LogOff' on the right. A 'Welcome' message is displayed on the right side of the header. On the left side, there is a vertical menu with buttons for 'Resources', 'Client Services', and 'Change Password'. The main content area features a row of four images: a woman holding a child, a woman interacting with a child, a person sitting on a bench, and a group of people. Below these images is the text 'Human Services Department'. The central part of the page displays the title 'Client Services Management System' in large blue letters, followed by the contact information 'Help Desk >> 954-357-5956' and 'email >> Broward Human Services Network'. The footer contains the text 'EVSCW / 1003 / 1497' on the left and '© Broward County Florida, Board of County Commissioners, 2004' on the right.

**Broward COUNTY FLORIDA**

[Home](#) | [Resources](#) | [Client Services](#) | [LogOff](#)

Welcome

Resources  
Client Services  
Change Password

Human Services Department

# Client Services Management System

Help Desk >> 954-357-5956

email >> Broward Human Services Network

EVSCW / 1003 / 1497

© Broward County Florida, Board of County Commissioners, 2004

## Changing Your Password

1. Click on Change Password as noted on the print screen of the Home Page. Doing so will open the screen below:
2. There are only three fields in which data must be entered. Type your information into:  
Old Password  
New Password  
Confirm New Password
3. Click on the OK button.

The system will return you to the Log On screen where you will enter your user ID and new password.

The screenshot shows a web browser window with a title bar that reads "Change Password for EVSCW". The page has a blue header bar with a question mark icon on the right. Below the header, there are "OK" and "Cancel" buttons. The main content area has a section titled "New Password" in blue text. Below this title is a line of instructional text: "Enter your old password and a new password. Confirm your new password to make sure you entered it correctly. Passwords are case-sensitive." Below the text are three input fields: "User Name" with the value "EVSCW", "Old Password" with a masked password of ten dots, "New Password" with a masked password of ten dots, and "Confirm New Password" with a masked password of ten dots. At the bottom right of the form area are "OK" and "Cancel" buttons. A blue footer bar at the very bottom contains the text "Copyright© 2003, Oracle. All Rights Reserved".

Change Password for EVSCW

OK Cancel

**New Password**

Enter your old password and a new password. Confirm your new password to make sure you entered it correctly. Passwords are case-sensitive.

User Name EVSCW

Old Password .....

New Password .....

Confirm New Password .....

OK Cancel

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## Client Services Main Page

### Accessing Client Records

From the Client Services' main page you can select a client record to work with, view the referrals you have received and made, scroll through a list of reminders, and review follow up activities. This screen, with its four tabs, is shown below.

The screenshot shows the CSMS Client Services Management System interface. The top navigation bar includes links for Home, Resources, Client Services (highlighted), and LogOff. Below this is a secondary navigation bar with links for New Client, Resources, Group, Tools, Reports, Links, and Help. The main heading is "Client Services Main >> Client Search and Reminders". There are four tabs: Client List (selected), Referrals, Reminders, and Followups. The Client List tab displays a table titled "Assigned Clients" with the following data:

	Client ID	Last Name	First Name	DOB	SSN	Status	AgnCd	LocCd
1	<a href="#">77984</a>	Backett	Josh	09-09-1932	6666	Active	1003	1497
2	<a href="#">78165</a>	Ball	Tim	03-29-2006	3678	Active	1003	1497
3	<a href="#">77985</a>	Cheffield	Gary	09-02-1945	9999	Active	1003	1497

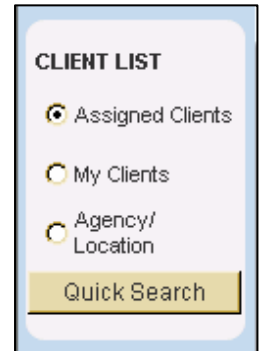
On the left side of the interface, there are search filters. Under "CLIENT LIST", there are radio buttons for "Assigned Clients" (selected), "My Clients", and "Select Location". Below "Select Location" is a dropdown menu showing "1497" and a "QuickSearch" button. Under "ADVANCED SEARCH", there is a text input field for "Name/DOB/SSN/ID", radio buttons for "Assigned Clients" (selected), "My Clients", "Select Location", and "All CSMS". There is also a "Case Worker" dropdown menu and a "Search" button. At the bottom left, the text "EVSCW / 1003 / 1497" is displayed.

- The ***Client List*** tab displays a list of client records based on the filter options chosen in the Quick Search or Advanced Search.
- The ***Referrals*** tab display a list of referrals received, or those you have made at your agency/location.
- The ***Reminders*** tab displays a list of the case note reminders created at your agency/location presented in summary format.
- The ***Followups*** tab displays a summary list of the follow up activities you have scheduled at your agency/location.

# Main Page Tabs & Left Navigation Panel Functionality

## Client List Tab

- Client list tab defaults to assigned clients and displays a list of clients assigned to you. This tab will be addressed in detail on pages 10 thru 12.

A screenshot of the 'CLIENT LIST' interface. It features three radio buttons: 'Assigned Clients' (which is selected), 'My Clients', and 'Agency/ Location'. Below these is a yellow 'Quick Search' button.

**CLIENT LIST**

☒ Assigned Clients

☐ My Clients

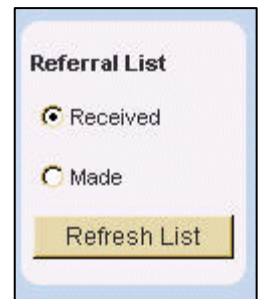
☐ Agency/ Location

Quick Search

## Referrals

By default, the Referrals tab will display a list of referrals received at your Agency / location.

To view the list of referrals you have made, select the Made radio button and then click on Refresh List. To return to viewing the list of referrals you have received, select the Received radio button and then click on the Refresh List.

A screenshot of the 'Referral List' interface. It features two radio buttons: 'Received' (which is selected) and 'Made'. Below these is a yellow 'Refresh List' button.

**Referral List**

☒ Received

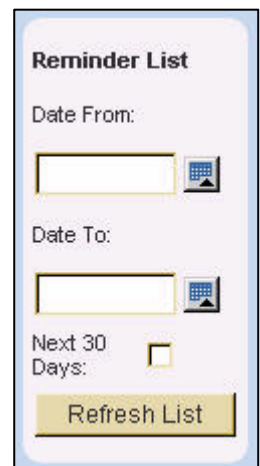
☐ Made

Refresh List

## Reminders

By default, the Reminders tab will display a list of case note reminders you created. The list will include today's reminders, those set for the previous seven days, and those set for the next seven days.

- To view a list of reminders set for a specific range of dates, enter the earliest date in the Date From field and the latest date in the Date To field. Then click on the Refresh List button.
- To view a list of reminders set for the upcoming 30 days, check the Next 30 Days checkbox and then click on the Refresh List button.

A screenshot of the 'Reminder List' interface. It includes 'Date From:' and 'Date To:' labels with corresponding text input fields and calendar icons. Below these is a 'Next 30 Days:' label with an unchecked checkbox. At the bottom is a yellow 'Refresh List' button.

**Reminder List**

Date From:

Date To:

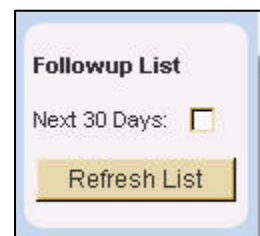
Next 30 Days: ☐

Refresh List

## Followups Tab

By default, the Followups tab will display a list of activity followups you entered. The list will include today's followups, those set for the previous seven days, and those set for the next seven days.

- To view a list of followups set for the upcoming 30 days, check the Next 30 Days checkbox and then click on Refresh List.

A screenshot of the 'Followup List' interface. It features a 'Next 30 Days:' label with an unchecked checkbox. Below this is a yellow 'Refresh List' button.

**Followup List**

Next 30 Days: ☐

Refresh List

## Searching CSMS for a Client

All client records will fall into one of three categories:

**Assigned Clients:** All client records for which you are the assigned case worker in CSMS.

**My Clients:** All client records you “touched”, *and* documented the interaction in CSMS (Records you have touched will remain in My Clients for 180 days).

**Select Location:** All client records assigned to your agency location (location selected).

There are two search tools to locate a client on the Client Services' main page:

Client List Quick Search

Advanced Search

The screenshot shows the CSMS Client Services Main page. At the top, there is a navigation bar with links: Home | Resources | Client Services | LogOff. Below this is a sub-navigation bar with links: New Client | Resources | Group | Tools | Reports | Links | Help. The main heading is "Client Services Main >> Client Search and Reminders".

On the left side, there is a "CLIENT LIST" section with three radio buttons: "Assigned Clients" (selected), "My Clients", and "Select Location". Below these is a text input field containing "1497" and a "QuickSearch" button. Below this is an "ADVANCED SEARCH" section with a text input field for "Name/DOB/SSN/ID", three radio buttons: "Assigned Clients" (selected), "My Clients", and "Select Location", a text input field containing "1497", a radio button for "All CSMS", a "Case Worker" dropdown menu, and a "Search" button.

On the right side, there is a "CLIENT LIST" section with three radio buttons: "Assigned Clients" (selected), "My Clients", and "Select Location". Below these is a text input field containing "1497" and a "QuickSearch" button. Below this is an "ADVANCED SEARCH" section with a text input field for "Name/DOB/SSN/ID", three radio buttons: "Assigned Clients" (selected), "My Clients", and "Select Location", a text input field containing "1497", a radio button for "All CSMS", a "Case Worker" dropdown menu, and a "Search" button.

Callouts from the text above point to the "Include Inactive" checkbox at the top right, the "CLIENT LIST" section on the right, and the "ADVANCED SEARCH" section on the right.

	Client ID	Last Name	First Name	DOB	SSN	Status	AgnC	LocCd
1	77984	Backett	Josh	09-09-1932	6666	Active	1003	1497
2	78165	Ball	Tim	03-29-2006	3678	Active	1003	1497
3	77985	Cheffield	Gary	09-02-1945	9999	Active	1003	1497

### Using the Client List Quick Search

1. Click on the radio button of the client list you want to use (Assigned Clients, My Clients, or Select Location).
2. If you want the search results to include inactive clients, click on the Include Inactive checkbox at the top of the left navigation panel.
3. Click on the Quick Search button.

## Using the Advanced Search

To locate a client record based on limited information:

1. In the first field of the Advanced Search, enter one of the following:
  - First name (can be a partial name)
  - Last name (can be a partial name)
  - Date of Birth (no dashes)
  - Social Security Number (exact match, no dashes)
  - CSMS Client ID Number
2. Select the radio button of the client list you want the system to search through: (Assigned Clients, My Clients, Select Location, or All CSMS).
3. To include inactive clients, check the Include Inactive checkbox.
4. Click on the Search button in the Advanced Search region of the left navigation panel.  
~ or ~

To see all of the clients assigned to a specific case worker:

1. In the Case Worker field of the Advanced Search region, select a case worker's name from the pick list.
2. To include inactive clients, check the Include Inactive checkbox.
3. Click on the Search button in the Advanced Search region of the left navigation panel.

### Skill Builder 1

#### Objective:

Display a list of all active client records for your location.

Display a list of all client records including inactive for your location.

Locate an individual client record.

#### Tips for this Exercise:

- This Skill Builder can be performed using one of the search features on the Client Services' main screen.
- For guidance on completing this Skill Builder, see page 10.

## Client Records Displayed on the Client List Tab

Each client record is listed in its own row and begins with a line number (i.e., 1, 2, 3...)

Above the row # an excel icon is displayed. When clicked it will export the client list to an excel spreadsheet. Each record has a unique **Client ID** number which is assigned by the system.

The screenshot displays the CSMS Client Services Management System interface. The top navigation bar includes links for Home, Resources, Client Services (highlighted), and LogOff. Below this, a secondary navigation bar shows New Client, Resources, Group, Tools, Reports, Links, and Help. The main content area is titled 'Client Services Main >> Client Search and Reminders' and features four tabs: Client List (selected), Referrals, Reminders, and Followups. On the left sidebar, there are sections for 'CLIENT LIST' with radio buttons for Assigned Clients (selected), My Clients, and Select Location (with a location code of 1497), and a 'QuickSearch' button. Below this is an 'ADVANCED SEARCH' section with a text input field, radio buttons for Assigned Clients (selected), My Clients, Select Location (with location code 1497), and All CSMS, along with a 'Case Worker' dropdown and a 'Search' button. The main table, titled 'Assigned Clients', has columns: Client ID, Last Name, First Name, DOB, SSN, Status, AgnCd, and LocCd. It contains three rows of data, with the first row highlighted by a black border.

	Client ID	Last Name	First Name	DOB	SSN	Status	AgnCd	LocCd
1	77984	Backett	Josh	09-09-1932	6666	Active	1003	1497
2	78165	Ball	Tim	03-29-2006	3678	Active	1003	1497
3	77985	Cheffield	Gary	09-02-1945	9999	Active	1003	1497

EVSCW / 1003 / 1497

In this view of the client records, displayed is the Client ID, Last Name, First Name, DOB (date of birth), SSN (last four digits of the social security number), Status, AgnCd (agency code) and LocCd (location code).

In the Status column, Active or Inactive will be displayed.

- Active status indicates that the client has an open episode of care at your agency/location.
- Inactive status indicates that all the client's episodes of care at your agency/location have been closed and the client discharged.



## Selecting a Client Record

Clicking on the Client ID number will open a screen of detailed client record information. In the example below, clicking on the Client ID opens a screen with nine tabs of information on the client selected, defaulting to the Client tab. This is known as the Intake page.

The screenshot shows the CSMS Client Services Management System interface. On the left, a table lists clients with their IDs and last names. The first client, ID 77984, is circled, and an arrow points from it to the 'Client' tab of the Intake page. The Intake page displays detailed information for Client >> Backett, Josh (ID 1003 / 1497). The 'Client' tab is active, showing fields for SSN, Alien #, Initial Intake\*, Consent, Exp. Date, Client ID, Episode #, Ep. Start Date, Referred Date, and a photo upload section.

Client ID	Last Name
1 77984	Backett
2 78165	Ball
3 77985	Cheffield

**CSMS Client Services Management System**

Home | Resources | **Client Services** | LogOff

**Client Services**

Client | Resources | Programs | Tools | Reports | Links | Print | Help

Client >> Backett, Josh 1003 / 1497

**Intake**

Assessment  
Eligibility  
Case Note  
Care Plan  
Activity  
Discharge  
History  
Main

Print this page

**Client** | Contact | Employment | Household | Medical | Insurance | Housing | Financial | Docs

SSN 444556666

Alien #

Initial Intake\* 07-01-2004

Consent ☒

Exp. Date 08-30-2007

Client ID 77984

Episode # 1

Ep. Start Date 07-01-2004

Referred Date 07-01-2004

Ep. End Date

Browse...  
Upload Photo

## Creating a New Client Record (Intake for a New Client)

1. From the Client Services main page, click on the New Client option from the Action Menu Bar.
2. A blank client Intake screen will display as shown in Fig 1

**Note:** A client may be new to your Agency, Location, but already have a record in the CSMS database.

Fig 1

The screenshot shows the CSMS Client Services Management System interface. The 'New Client' option is circled in the top navigation bar. Below it, the 'Client Services Main >> Client Search and Reminders' page is displayed. The 'Client List' tab is active, showing a table of assigned clients.

**CSMS Client Services Management System**

Home | Resources | **Client Services** | LogOff

**Client Services**

**New Client** | Resources | Group | Tools | Reports | Links | Help

Client Services Main >> Client Search and Reminders

**Client List** | Referrals | Reminders | Followups

Assigned Clients

Client ID	Last Name	First Name	DOB	SSN	Status	AgnC	LocCd
1 77984	Backett	Josh	09-09-1932	6666	Active	1003	1497
2 78165	Ball	Tim	03-29-2006	3678	Active	1003	1497
3 77985	Cheffield	Gary	09-02-1945	9999	Active	1003	1497

3. To search the CSMS database and the BIN for a matching client record, enter either the client's social security number (SSN) without dashes or Alien # in the appropriate field Fig 2.

Fig 2

4. Click the Retrieve button.

If the client record exists in CSMS, a pop-up window will appear (Fig 3) and, if the consent is valid, display records for other agency/location(s). Click on the Client ID and the available shared information will pre-fill.

Multiple records may display for one client. If there is no consent, or the consent has expired, at the agency where the record exists, then the pop-up window will return the records with a no consent indicated.

5. Click on the Client ID hyperlink of the record you want to populate your Intake fields. If there is no consent or the consent has expired, the five (5) master record fields will populate: **Client ID, Client name (including last, first, middle initial, and alias), date of birth, gender and SSN.**
6. If no matching records are retrieved, begin entering data on the client tab of the intake screen. Any field which is mandatory is indicated with an asterisk (\*).

Fig 3

Client	Agency/Location	Date	Client Name
1032	BC Human Services Department / S. Regional Family Success Center		SONYA SANDERS

The system will not allow data to be entered in another tab until you have saved the information on the Client tab. Please review selected Intake fields explanation that may be of interest to you.

## Client Tab Highlights

<u>SSN:</u>	Social Security Number
<u>Alien #:</u>	USCIS #
<u>Initial Intake:</u>	Date of Initial Client Intake and first episode of care at this agency location.
<u>Consent:</u>	When checked, this indicates that a client's consent is on file to share their information with others BHSNet members. * If not checked, no sharing of any client data will occur.
<u>Exp. Date:</u>	The expiration of a client's consent (determined by business rules, generally one year).
<u>Client ID:</u>	A unique identification number assigned by CSMS.
<u>Episode #:</u>	Current Episode of Care number assigned by CSMS.
<u>Ep.Start Date:</u>	Episode of Care start date of the current episode of care.
<u>Ep. End Date:</u>	The Episode of Care end date of the most recent episode of care for an inactive client.
<u>Referred Date:</u>	The date of the referral.
<u>By:</u>	Name of individual/agency/etc. who referred the client.
<u>Zip:</u>	Zip code; there are two fields to allow for zip code (5 characters) + extension (4 characters).
<u>USCIS status:</u>	United States Citizenship Immigration Services status (formerly INS status).
<u>Homeless checkbox:</u>	If checked, use the information in the mandatory fields below:
<u>Street:</u>	A description, i.e., homeless-park, homeless-street, homeless-car, etc.
<u>City:</u>	The name of the city where they are homeless


## Contact Tab Highlights

<u>Home Phone:</u>	Client's home phone #
<u>Alternate 1 (and 2):</u>	Additional contact telephone numbers, use the pick list to specify type (i.e. beeper, cell, etc).
<u>HCE Caregiver:</u>	(field is specific to EVSD) If checked, the following field is mandatory:
<u>SSN:</u>	Social Security Number of the care-giver
<u>Billing contact:</u>	Indicates if the primary or secondary contact is the billing contact.

## Employment Tab Highlights

In order to begin entering data on the Employment tab, click on the Add Job button at the bottom of the screen.

The primary client's employment history will be tracked on the employment history screen (shown at right) as jobs are entered.



Client >> Backett, Josh 1003 / 1497

Client Contact **Employment** Household Medical Insurance Housing Financial Docs

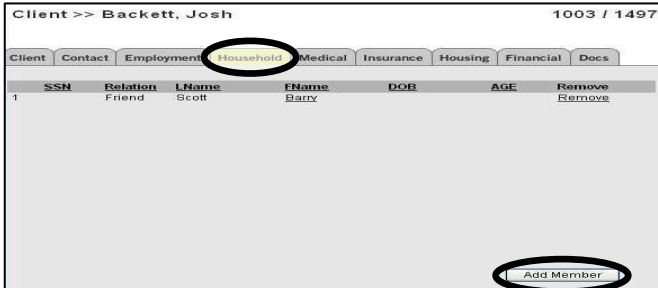
Employment Status: Retired

Employer Name	Job Type	Start Date	End Date	Term	Remove
---------------	----------	------------	----------	------	--------

Add Job

## Benefits:

<u>Upgrade:</u>	Indicates the job being entered is a position change with at least a 10% increase in salary.
<u>Contact phone:</u>	There are two fields, one for phone number, and one for the extension number.
<u>Term:</u>	CSMS calculates the length of employment based on start date and end date data.



Client >> Backett, Josh 1003 / 1497

Client Contact Employment **Household** Medical Insurance Housing Financial Docs

SSN	Relation	LName	FName	DOB	AGE	Remove
1	Friend	Scott	Barry			Remove

Add Member

**Mandatory fields on this screen are:**

- Employer
- Start Date

**Household Tab Highlights**

In order to begin entering data on the Household tab, click on the Add Member button at the bottom of the screen. Summary information on each household member will display on the summary screen (shown at right) as members are added to the database.

Shareable: In the Additional Information section, indicates if the data in the Additional Information section may be shared throughout BHSNet.

Shareable: In the Employment Information section Indicates if the data in the Employment. Information section may be shared throughout BHSNet.

Medications: In the Medical section a text field to enter medications the household member is taking.

**Note:** this functionality is not as detailed for household members as it is for primary clients.

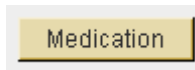
**Medical Tab Highlights**

Mental Illness: Yes/No pick list.

If yes, ICD9 code: Refers to the yes or no answer provided in the “mental illness” field.

Other: This field allows a case worker to enter any other disability that needs to be listed.

**Medication button**



**Medication Window Highlights**

Click on the medication button to open a window displaying the client’s medication summary.

Name: Name of the medication (not the name of the client).

Type: Prescription or over-the-counter.

Route: How the medication is taken (i.e. oral, topical, inhalant, etc).

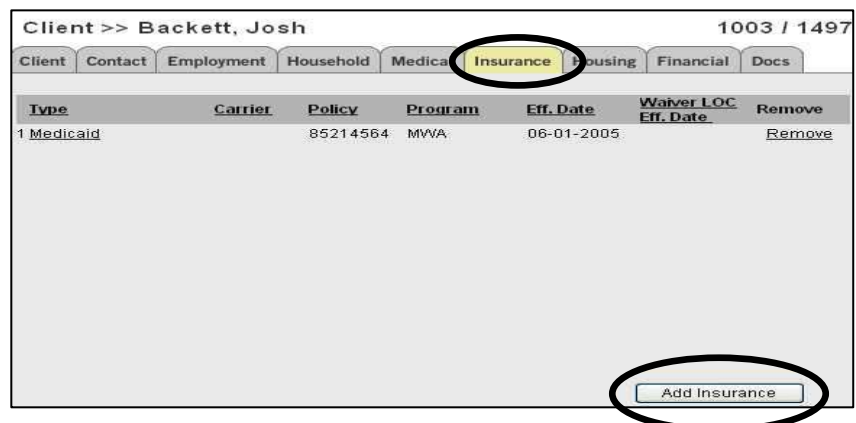
Side effect: Yes/No pick list.

If yes, explain: Refers to the yes or no answer provided in the “side effect” field.

**Insurance Tab Highlights**

In order to begin entering data on the Insurance tab, click on the Add Insurance button at the bottom of the screen.

Summary information of each policy will display on the summary screen (shown at right) as policy information is added to the database.

A screenshot of a software interface for a client named Josh Backett. The top navigation bar includes tabs for Client, Contact, Employment, Household, Medical, Insurance (highlighted with a red circle), Housing, Financial, and Docs. The Insurance tab displays a table with columns: Type, Carrier, Policy, Program, Eff. Date, Waiver LOC Eff. Date, and Remove. A single row is visible for a Medicaid policy. At the bottom right, there is a button labeled "Add Insurance" which is circled in red.

Type	Carrier	Policy	Program	Eff. Date	Waiver LOC Eff. Date	Remove
1 Medicaid		85214564	MVVA	06-01-2005		Remove

Medicaid Program: A Medicaid program code, i.e. MWA or QMB.

Waiver LOC Eff Date: Medicaid Waiver Level of Care Effective Date (*field is specific to EVSD*).

**Note:** If you update the Waiver LOC Effective Date field and save the record, the previous effective date will be noted below the data fields (as shown circled at right).

Client >> Backett, Josh 1003 / 1497

Client Contact Employment Household Medical Insurance Housing Financial Docs

**Insurance Details**

Insurance Type\* Medicaid Carrier

Policy # 85214564

Medicaid Program MWA

Effective Date 06-01-2005

Waiver LOC Eff. Date 06-01-2006

Previous MW LOC Eff. Date 06-01-2005

Note: Fields with \* are mandatory

Save Insurance History

### Housing Tab Highlights

This screen is used to add or edit a client's address after the initial Intake is performed. This is also where the client's residence history is tracked and can be viewed.

The pick list for many of the fields on this screen will have different selections for case workers logged on as Homeless providers. All other agencies' case workers will have standard pick list choices.

Housing Agency Location: Name of facility, i.e., HAC.

Homeless: Indicates if the client is homeless.

**Note:** If homeless was checked on the Client tab of the Intake, and the client is no longer homeless, this check box is the only way to change the information initially entered during the client Intake.

Term: CSMS calculates the length of residence based on start date and end date data.

### Financial Tab

Last Modified Date: CSMS populates this field.

#### To Add Income, Expense, or Asset to the Financial Tab

1. Determine if you are entering an income, expense, or asset item.
2. From the appropriate pick list choose a type of income, expense, or asset.

**Note:** you can only enter one at a time, not all three as shown at right.

3. In the amount field associate with the item you are adding, enter the value of the item in dollars and cents.

Client >> Backett, Josh 1003 / 1497

Client Contact Employment Household Medical Insurance Housing Financial Docs

Last Modified Date :

Income SS-Retirement Amount \$ 1000 Add Income

Expense Mortgage Amount \$ 850 Add Expense

Asset Savings Account(s) Amount \$ 2000 Add Asset

- Click the appropriate button to upload the item (either add income, add expense, or add asset. (See continuation of directions below)

As entries are made and uploaded, they will be added to the financial Details section of the screen. Once entered here, they can be edited or deleted by selecting an item's radio button and clicking on the Edit Selected or Delete Selected button.

Details	
<b>Income</b>	
<input type="radio"/> Veteran's Pension	\$800
<input type="radio"/> SS-Retirement	\$1000
<b>Total Income</b>	<b>\$1,800</b>
<b>Expenses</b>	
<input type="radio"/> Mortgage	\$850
<input type="radio"/> Electric	\$25
<input type="radio"/> Food	\$75
<b>Total Expenses</b>	<b>\$950</b>
<b>Balance</b>	<b>\$850</b>
<b>Asset</b>	
<input type="radio"/> Savings Account(s)	\$2000
<b>Total Assets</b>	<b>\$2,000</b>
<input type="button" value="Edit Selected"/> <input type="button" value="Delete Selected"/>	

## To Perform Program Calculations

- In the select field, choose a calculation to perform from the pick list for example (CCES, FPL, etc.).
- Click the Calculate button.
- CSMS will update the appropriate field based on the financial data entered above.
- If you will not be calculating a field, click on the waived/exempted button beside it to indicate why it was not calculated (EVS only).
- Click on the Save button to save your work.

Program Calculations			
<input type="text" value="select"/> <input type="button" value="Calculate"/>			
Federal Poverty Level	Housing Cost %	CCE S Copay	<input type="text"/> <input checked="" type="checkbox"/> Waived/Exempted
<input type="text"/>	<input type="text"/>	CCE C Copay	<input type="text"/> <input checked="" type="checkbox"/> Waived/Exempted
		MH Copay	<input type="text"/> <input type="checkbox"/> Waived/Exempted
			<input type="button" value="Save"/> <input type="button" value="Reset"/>

## Docs Tab

To upload scanned images of client's documents from the Docs tab, you must click on the Add Doc button at the bottom of the document summary page.

Summary information of each scanned image will display on the summary screen as files are uploaded to the database.

## To Upload a File of a Scanned Image

Prior to beginning this procedure, you must scan the client's documents and save the files of the scanned images on your computer system (a process that occurs independent of the CSMS software).

1. From the Docs tab on the Client Intake, click on Add Doc.
2. In the Name field, enter a description of the document (i.e. picture ID, social security card, etc...).
3. Use the Comment field to enter any relevant note.
4. Either type the file path into the Filename field, or click on the Browse button to navigate to the saved file of the scanned image.
5. Click on the Upload button to add the file to the CSMS database.

The screenshot shows a web application interface for a client named Josh Backett. At the top, it says "Client >> Backett, Josh" and "1003 / 1497". Below this is a horizontal menu with tabs: Client, Contact, Employment, Household, Medical, Insurance, Housing, Financial, and Docs. The "Docs" tab is currently selected and highlighted in yellow. The main content area has three input fields: "Name\*" (a short text box), "Comment" (a longer text box), and "File Name" (a text box followed by a "Browse..." button). At the bottom of the form are two buttons: "Upload" and "Docs Summary".

## Skill Builder 2

**Objective:** Perform an Intake of a new client.  
**For help, see pages 13 to 19.**

**Directions:** Complete each task described below, or answer the question asked.  
If you need assistance, raise your hand.

---

1. Start an Intake for a new client.

**Hint/Tip:** What link on the Client Services' main screen will open an empty Intake screen?

2. Begin the Intake by completing the fields on the Client tab.

**Hint/Tip:** How might the Retrieve button help you?

3. Add the client's contact data on the Contact tab. Make the primary contact the billing contact.

**Reminder:** Have you been saving your work?

4. Add details about the client's current (benefit-providing) job on the Employment tab.

5. Add one member of the client's household on the Household tab.

6. Add a prescription medicine to the client's medication summary on the Medical tab.

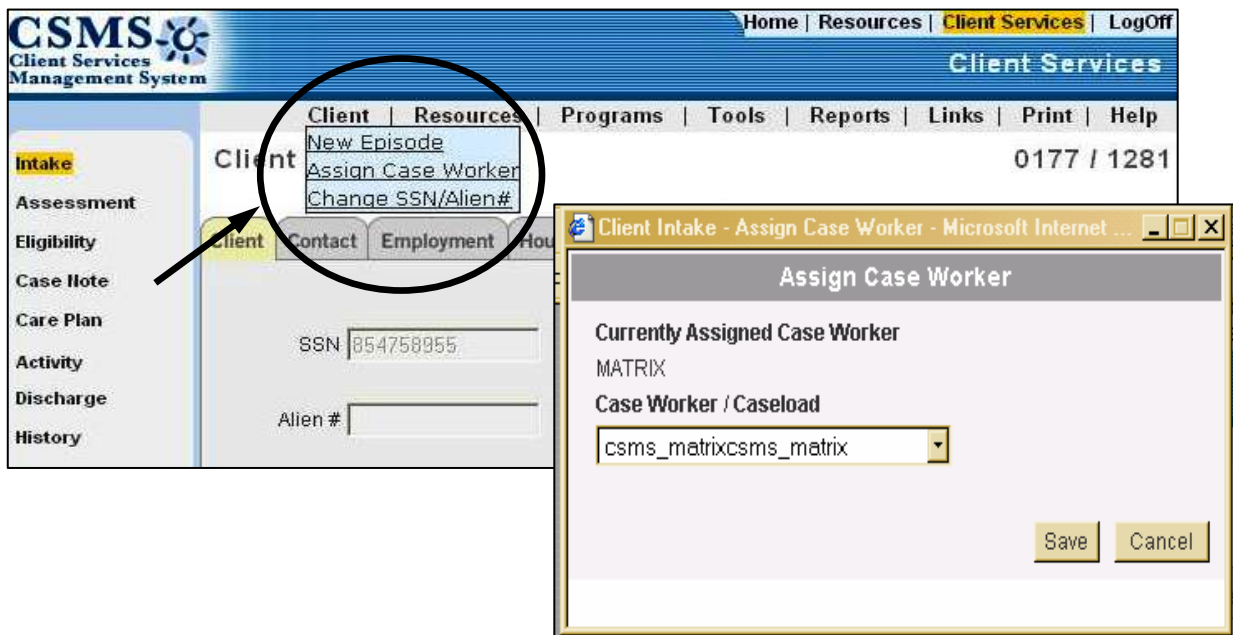
7. This client does not have insurance (skip the Insurance tab).

8. This client is new; do you need to enter any data on the Housing tab?



## Assign a Client to a Case Worker

1. Select the Client record to be assigned from the Client Services' main screen.
2. From the Client action link (located above the primary client's name) hover over the drop down menu, and click on the Assign Case Worker menu item from the list (shown below).
3. In the window that opens, select the case worker to receive the assignment from the pick list under Case Worker / Caseload (noted below the circled area).
4. Click on the Save button.



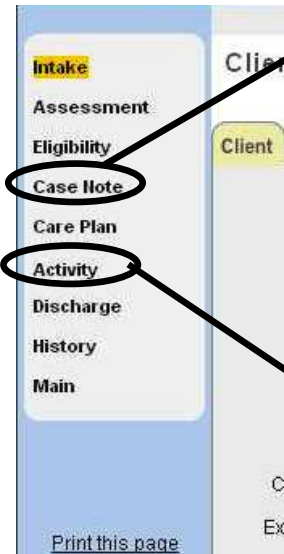
## Key CSMS Client Services Functions to Understand

As we work through the course materials, it will be helpful to understand the purpose of the primary CSMS functional areas. They are: Intake, Assessment, Care Plan, and Activity.

Functional Area	Tasks Performed within the Area
<b>Intake</b>	<p>A client's <b>profile</b> information is entered (demographic data, contact information, employment data, medical profile, insurance and financial data, scanned images of documents, as well as a household profile).</p> <ul style="list-style-type: none"> <li>• When a client record is initially created, CSMS assigns an Episode of Care number to the profile.</li> <li>• When a client returns for additional services; services can be added to an existing Episode of Care or a new Episode of Care can be opened (as detailed later, on page 36).</li> </ul> <p>An Episode of Care, in CSMS, is a period of time during which an active client is receiving services at a particular agency location. A Client becomes inactive when discharged from an Episode of Care. A client may have multiple Episodes of Care</p>
<b>Assessment</b>	<p>Case workers select and conduct an <b>assessment</b> (a group of questions to be asked of the client). Based on the answers provided, CSMS will generate a status rating (in crisis, at risk, stable, safe, or thriving) for the client in categories including (but not limited to) education, employment, income, substance use, and nutrition.</p>
<b>Care Plan</b>	<p>Define the client's <b>problem(s)</b> and plan <b>action(s)</b> to address the problem(s) or <b>service(s)</b>.</p> <p>The Care Plan is flexible to meet the varied needs of the different agencies using CSMS.</p> <ul style="list-style-type: none"> <li>• It is a contract to between the case worker and the client to address the problems and meet the outcomes/ goals</li> <li>• It defines a client's problem(s) and desired outcome(s).</li> <li>• It identifies planned services as well as actions steps that will enable the client to meet their short term and long term goals.</li> </ul>
<b>Activity</b>	<p>Case workers <b>record</b> activities for clients. This includes documenting the services your agency has provided and sending referrals for needed services to other agencies.</p> <ul style="list-style-type: none"> <li>• An Activity History can be viewed, showing all the services provided to and referrals made for a specific client. If there are valid consents in place, you can also view services provided and referrals made by other agencies, that were marked sharable.</li> <li>• Case workers' case management follow-up can also be documented here.</li> <li>• The term activities refer to services and referrals.</li> </ul>

## Working with a Client Record

Once you have selected a client record to work with and are looking at the Intake screen, a list of Action Links will display in the left navigation panel. (shown below).



**CSMS Client Services Management System** Home | Resources | **Client Services** | LogOff

**Client Services**

Resources | Programs | Tools | Reports | Links | Help

Intake  
Assessment  
**Case Note**  
Care Plan  
Activity  
Discharge  
History  
Main

Client >> Backett, Josh

Category\*

Sharable ☒ Clinical ☐

Date Created 08-18-2006 11:24:37 Created By MKITTLESON

Date\* 08-18-2006 Reminder Date

Attach to Which Episode\*  Remove Alert ☐

Subject None

**CSMS Client Services Management System** Home | Resources | **Client Services** | LogOff

**Client Services**

Resources | Programs | Tools | Reports | Links | Help

Intake  
Assessment  
Eligibility  
Case Note  
**Activity**  
Discharge  
History  
Main

Client >> Backett, Josh

Add/Edit Activity Activity History Followups

Activity Date\* 08-18-2006 Recorded By

Activity Type\* Service

Agency\* BCHS Elderly and Veterans Services Division

Location\* Elderly and Veterans Services Division

Program

Service\*

Action Link	Function
<b>Intake</b>	Return to the Intake screen (where client data is tracked on nine tabs)
<b>Assessment</b>	Conduct a client assessment using prepared assessment tools (refer to page 38 of this training guide)
<b>Eligibility</b>	Identify types of services a client <i>may</i> be eligible for, based on Intake Data (refer to page 52 of this training guide)
<b>Case Note</b>	Document case status, progress, details of case worker activity, and case worker and client's intended actions (refer to page 30 of this training guide)
<b>Care Plan</b>	Document client problems, planned actions, and/or services to be provided (refer to page 48 of this training guide)
<b>Activity</b>	Records services provided, referrals made or referrals to authorized services (refer to page 28 of this training guide)
<b>Discharge</b>	Records completion of an episode of care (refer to page 35 of this training guide)
<b>History</b>	View a client's complete BHSNet experience* Assuming a client's consent is on file, current, and the data is marked shareable (refer to page 51 of this training guide)
<b>Main</b>	Return to the Client Services' main screen (where client records are listed)
<b>Print this page</b>	Provides professional print option

## Add/Edit Activity

The Add/Edit Activity screen is where services provided, referrals made, and services authorized are recorded. The fields will vary slightly between adding a service and adding a referral. Click on the Activity action link from the left navigation panel (shown at right).

Intake  
Assessment  
Eligibility  
Case Note  
Care Plan  
**Activity**  
Discharge  
History  
Main

### Add an Activity (Service)

1. Enter the activity data in the screen's fields.
  - **Activity Date:** Date the service was provided.
  - **Activity Type:** Service or referral (in this sample, service is selected).
  - **Agency:** Your agency when entering a service (prefills).
  - **Location:** Your location when entering a service (prefills).
  - **Follow Up Date:** Entering a date here will create a follow-up entry that will appear on the Followup tab when you log-on to CSMS (once the date you enter here is within seven days of the current date.)

For the second gray section of the screen, follow the business rules of your agency to determine which fields to populate.

2. Share Activity defaults as checked. You uncheck the box if the activity is not sharable.
3. Once all your data is entered, you **must** click on the Save button to upload the data to the database.

If you leave this screen without saving, your entries will have to be reentered.

**Add/Edit Activity** | Activity History | Followups

Activity Date\* 08-18-2006 Recorded By  
Activity Type\* Service  
Agency\* BC HS Elderly and Veterans Services Division  
Location\* Elderly and Veterans Services Division  
Program  
Service\*

**Show Unit Amount**

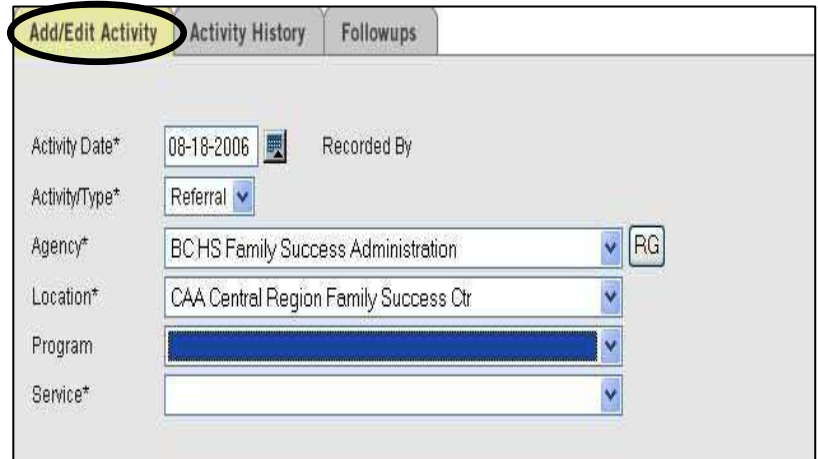
# of Units\*  
Unit Type\* None  
☐ Billable ☒ Non Billable  
Start Time End Time  
☐ Face to Face Follow Up Date  
Fund Allocation None Medicaid Number  
Fund Source None Level of Care Date

Check Date Check Number  
Payee Name  
Check Amount  
Vendor Id  
Note  
Status: None ☒ Share Activity  
Delete Save

## Add an Activity (Referral)

**Note:** Only the differences between a referral activity and a service activity are noted below. Please review the previous page for information on screen sections not shown below.

1. Enter the activity data in the screen's fields.
  - **Activity Date:** Date the referral was made.
  - **Activity Type:** Service or referral (in this sample, referral is selected).
  - **Agency:** Specify which agency you are referring to when entering a referral.
  - **Location:** Specify which location you are referring to when entering a referral.



**Note:** Once referral is selected as the activity type. A small RG button appears to the right of the agency field. This accesses the resource guide when clicked. Search the resource guide by service, program or agency until you locate the desired service.

2. When the correct service has been identified, a screen like the one shown at right will be displayed, listing the service's details.
3. Click on the Select and Continue button at the bottom of the screen to automatically fill the agency, location, program, and service fields of the referral activity with the information related to the selected service in the Resource Guide.

(Program will only fill if the selected service is affiliated with a program.)

(This RG button feature is only available when creating a referral activity – not when creating a service activity.)

4. Continue to complete the referral activity fields and click on Save when finished (as shown on the previous page for service activity).





## Activity History: Viewing Client Activities

The Activity History screen is where you view a list of documented referrals and services provided to the client.

### To View a Client's Activities:

1. From the Client Services' main screen, click on the Client ID of the appropriate client.
2. In the left navigation panel, click on the Activity action link.
3. A screen with the three tabs (shown at right) will appear.
4. Click on the Activity History tab.
5. To narrow the search results displayed, input search criteria in the fields at the top of the screen:

### From Date and To Date

specify a range of dates activities were provided.

- **Activity Type:** Limits the search results to either services or just referrals.
- **Service:** Allows you to select a specific service to search for.
- **Your Agency:** Limits the search to services and referrals provided by your agency (vs. throughout BHSNet).
- **Summary:** Merges multiple events of a single service into one record

Resources | Programs | Tools | Reports | Links | Help

Client >> Backett, Josh

Add/Edit Activity **Activity History** Followups

Client >> Backett, Josh

Add/Edit Activity **Activity History** Followups

From Date:  To Date:

Activity Type:

Service:

☒ Your Agency ☒ Summary

	Activity Type	Agency	Location	Program	Service	Units	Date/Time	Funding Source
1	Service	BC HS Elderly and Veterans Services Division	Elderly and Veterans Services Division	Community Care for the Elderly Program EVS129	Art Therapy	22		
2	Service	BC HS Elderly and Veterans Services Division	Elderly and Veterans Services Division		Case Management	14		
3	Service	BC HS Elderly and Veterans Services Division	Elderly and Veterans Services Division	Home Care for the Elderly EVS 195	Case Management	113		
10	Referral	Daily Bread Food Bank Inc	Daily Bread Food Bank		Food Banks	1		

## Skill Builder 3

**Objective:** Navigate through CSMS to locate client records, and create an activity and review the Activity History for that client. **For help, see pages 10 to 26.**

**Directions:** Complete each task and write down how you completed it. Number 1 is completed for you as an example.

---

1. Begin this Skill Builder by navigating to the Client Services' main screen.

Click on the Client Services link at the top of the screen or in the left navigation Panel.

2. Select a client record.

- 3a. Create an activity for the client.

- 3b. Create a Referral activity for the client.

4. Navigate to the client's Activity History.

5. Review the client's Activity History and select a service or referral in which to view the details.

6. Return to Client Services' main screen.

# Client Activity Followup

The **Followups tab of the Activity page** is where the outcome of the of client activity followup. This tab should not be confused with the Followups tab on the Client Services' main screen, which displays a list of items requiring followup, based on the followup dates indicated when Activities were created.

**Note:** To access the Followups tab, you must perform the steps defined below.

1. From the Client Services' main screen, click on the Client ID of the appropriate client.
2. In the left navigation panel, click on the Activity action link.
3. Click on the Activity History tab to display the client's service and referral records.
4. In the Activity Type column, click on the hyperlink of the activity in which a follow up will be performed. *(Only after you select the activity you are performing followup on will you be able to access the Followups tab.)*

Activity Type	Agency	Location	Program	Service	Units	Date/Time	Funding Source
1 <a href="#">Referral</a>	DC Human Services Depa...	Central Regional Famil...		Employment Preparation	3	2004-00-10 09:47:52.0	
2 <a href="#">Service</a>	BC Human Services Depa...	S. Regional Family Suc...		Full-Fare Bus Passes	1	2004-08-18 09:44:34.0	

Follow up is activity specific and can **only** be accessed by selecting a specific activity by history.

The activity's details will display on the Add/Edit Activity tab. Notice that the data is grayed out in some fields and cannot be modified.



- Click on the Followups tab, which is where client activity followup actions will be documented for the activity selected in step 4.

The Followups screen will appear. Client demographic information will display and any previous followup actions will be summarized in a Follow Up History table.

- Select appropriate responses from the pick lists in the relevant fields at the bottom of the screen.

- Click on the Save button.

As entries are made and saved, the records will be added to the summary table on this screen.

**Activity**  
**Add/Edit Activity** **Activity History** **Followups**

**Agency:** BC Human Services Department **Program:**  
**Service:** Employment Preparation **Date of Activity:** 2004-08-18 09:47:52.0

**Client Information:**  
**Phone Numbers**  
Home: 9547843321 Cell: Work:  
**Address**  
Street 3010 SW 14th Place  
City Sunrise  
State FL  
Zip 33465

**Follow Up History**

Follow Up Type	Date	Response	Satisfaction Level	Reason Unsuccessful	Followed Up By
30 days		Need Partially Met	Low	Other	
60 days		Need Fully Met	High		

Follow Up Type

None

Response

None

Satisfaction Level

None

Reason Unsuccessful

None

Print Screen

Save

Cancel

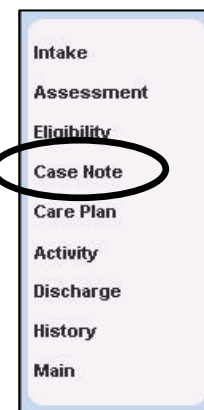
# Case Notes

Documents case status, progress, details of case worker activity, and case worker and client's intended actions. All case notes on a particular client are stored together.

Case notes can be added to client records of either active or inactive clients.

Important: In addition to having a dedicated space for case notes, the CSMS software also enables case workers to attach case notes to Care Plans. For a case note to be attached to (and accessible from within) a Care Plan, it MUST be created from within the Care Plan (see pages 42 - 46 for more information).

Case notes created from within a Care Plan can be seen and accessed from the Care Plan or the Case Note Action link. Those created from case notes, as shown below, can ONLY be seen and accessed from the Case Note Action Link on the left navigation panel.



## Add Note:

1. From the Client Services' main screen, click on the Client ID of the appropriate client.
2. In the left navigation panel, click on the Case Note action link (shown above).
3. A summary list of case notes will display and can be limited by entering relevant data in the fields at the top of the screen, and then clicking on the Filter button.

- **From:** First date in a range of dates.
- **To:** End date in a range of dates.
- **Category:** A descriptive category name given to each case note when created.
- **My Agency:** This checkbox limits the case notes displayed to only those created by case workers at your agency (vs. throughout BHSNet).

4. To add a new case note, click on the Add Note button.
5. To view or append to an existing case note, click on its hyperlink in the Date/Time column.

Client >> Backett, Josh

From:  To:

Category:  ☒ My Agency

Date/Time	Category	Subject	Agency/Location
<a href="#">05-24-2006 16:09:28.0</a>	701a	None	BC HS Elderly and Veterans Services Division/Elderly and Veterans Services Division
<a href="#">01-30-2006 02:15:54.0</a>	Case Management Time	Office visit	BC HS Elderly and Veterans Services Division/Elderly and Veterans Services Division
<a href="#">01-30-2006 02:02:58.0</a>	Alert Case Worker	Violent	BC HS Elderly and Veterans Services Division/Elderly and Veterans Services Division
<a href="#">06-30-2005 11:19:00.0</a>	CM - Case Management Time	test	BC HS Elderly and Veterans Services Division/Elderly and Veterans Services Division
<a href="#">06-29-2005 03:09:40.0</a>	14 - 14 Day Follow-up	None	BC HS Elderly and Veterans Services Division/Elderly and Veterans Services Division
<a href="#">03-08-2005 14:35:18.0</a>	Alert Case Workers	test for group case note	BC HS Elderly and Veterans Services Division/Elderly and Veterans Services Division

From the case note history screen a case worker can add a case note or view a prior case note.

## Case Note screen fields:

- **Category:** A descriptive name selected for the case note, such as: field visit, quarterly, annual, etc.

**Note:** the category of a case note cannot be changed once it is saved. Alert case worker, reminders set for this client will display in red (see reminder date and remove alert below).

- **Shareable:** Indicates if the information in the case note can be shared with other BHSNet agencies.

- **Clinical:** Indicates if note is clinical, and if checked, will not be shared with other BHSNet agencies.

- **Date:** The actual date the actions or activity provided took place. (Due to work back logs, this date may be different than the date created field).

- **Created By:** This field will be auto populated by CSMS with user ID of logged on worker.

- **Date Created:** This field will be auto populated by CSMS with the actual date the note is being entered & saved).

The screenshot displays the Case Note screen with the following fields and features:

- Category\***: A dropdown menu.
- Sharable**: A checked checkbox.
- Date Created**: A text field containing "08-18-2006 12:38:33".
- Date\***: A text field containing "08-18-2006".
- Attach to Which Episode\***: A dropdown menu.
- Subject**: A text field containing "None".
- Note\***: A large text area for the case note.
- Spellchecker feature**: A callout box pointing to a red checkmark icon in the text area's toolbar.
- Buttons**: "Print", "Save", "Append to Note", and "Case Note History".
- Footer**: "Note: Fields with \* are mandatory."

- **Attach to Which Episode:** A pick list of the episodes of care for the client. Every case note must be attached to an episode of care (see page 36 for more information on episodes of care).
- **Reminder Date:** If a date is entered here, CSMS will add a line item to the Reminders tab on the Client Services' main screen and display a list of case note reminders created. The list will include today's reminders, those set for the previous seven days and those set for the next seven days. The summary line of information that displays on the Reminders tab will include the entry made in the subject field.
- **Subject:** A description of the reminders purpose (this will display on the Reminders tab if a reminder date has been set). It will also display in the Case Note History and is used at the discretion of the case worker.
- **Remove Alert:** If the case notes category is Alert Case Worker and a reminder date has been set, the summary line of data that displays on the Reminders tab will be red. This signals that an

alert is set and detailed information is contained in that case note for case workers to be aware. Once a case note is saved, its category cannot be changed. To remove the red signal, select the specific case note (Alert Caseworker) and check the Remove Alert checkbox, then save.

**Note:** A spell check feature is activated by the check mark located on the case note menu bar, to ensure the spelling is correct in the case note.

6. Click on the Save button.

- To print a copy of the Case Note, ***after saving it***, click on the Print button at the bottom of the screen.

Throughout CSMS, your session will “time-out” after 30 minutes. If you begin a case note, pause for more than 30 minutes, and then return to it, the information entered will be lost. To avoid this, you can choose to:

- Not leave the case note until it is completed.
- Create the case note text in a word processing software, such as Notepad or WordPad, then copy and paste the case note content into the Case Note screen’s note field.

## Append to Note (Add text to a case note)

1. Select the case note which requires additional added text from the summary list of case notes (see page 30).
2. The specific case note will display (as shown below). Data in fields that cannot be modified after the case note is first saved will display in a light brown color.
3. Click on the Append to Note button.
4. A pop-up window will appear (shown below left). Enter the additional case note data text.
5. Click on the Save button in the pop-up window.

The additional text appears in the same area as the original text. The date of the appended text and the person's name who created the additional text are included in the case note. Once saved "Additional text has been appended" is noted at the top of the case note. It is only displayed at this time and does not display again when viewing the case note at a later date.

The image shows two screenshots from a web application. The top screenshot is a pop-up window titled 'Append Text' with a URL bar showing 'http://hsux03.bhsnet.net:7778 - Append Text ...'. It contains fields for 'Client' (Backett, Josh), 'User ID' (EVSCW), and 'Date/Time' (08-18-2006 12:45:33). Below these is a text area with a rich text editor toolbar. The text in the area is 'Completed application and En Assistance approved'. At the bottom are 'Save' and 'Close' buttons, with a note 'Note: Fields with \* are mandatory'. The bottom screenshot is the main case note form for 'Client>> Backett, Josh'. It has fields for 'Category\*' (Case Management Time), 'Sharable' (checked), 'Clinical' (unchecked), 'Date Created' (08-18-2006 12:43:53), 'Created By' (EVSCW), 'Date\*' (08-18-2006 12:43:53), 'Reminder Date', 'Attach to Which Episode\*' (1/07-01-2004), 'Remove Alert' (unchecked), and 'Subject' (None). Below these is a text area containing the case note: 'case note the customer visited the office requesting Energy Bill Assistance:'. At the bottom are 'Print', 'Save', 'Append to Note' (circled with a black oval), and 'Case Note History' buttons. An arrow points from the 'Append to Note' button in the top screenshot to the 'Append to Note' button in the bottom screenshot. Another arrow points from the 'Save' button in the top screenshot to the 'Append to Note' button in the bottom screenshot. A third arrow points from the 'Append to Note' button in the bottom screenshot to the 'Additional text has been appended' text at the top of the case note text area. The case note text area now contains: 'Additional text has been appended' followed by 'This is a case note the customer visited the office requesting Energy Bill Assistance. UserName:EVSCW Date:08-18-2006 Completed application and Energy Bill Assistance approved'. At the bottom of the case note form are 'Print', 'Save', 'Append to Note', and 'Case Note History' buttons, and a note 'Note: Fields with \* are mandatory'.

Client>> Backett, Josh

Category\* Case Management Time

Sharable ☒ Clinical ☐

Date Created 08-18-2006 12:43:53 Created By EVSCW

Date\* 08-18-2006 12:43:53 Reminder Date

Attach to Which Episode\* 1/07-01-2004 Remove Alert ☐

Subject None

case note the customer visited the office requesting Energy Bill Assistance:

Print Save Append to Note Case Note History

Client>> Josh,Backett

Additional text has been appended

Category\* Case Management Time

Sharable ☒ Clinical ☐

Date Created 08-18-2006 12:43:53 Created By EVSCW

Date\* 08-18-2006 12:43:53 Reminder Date

Attach to Which Episode\* 1/07-01-2004 Remove Alert ☐

Subject None

Note\* This is a case note the customer visited the office requesting Energy Bill Assistance. UserName:EVSCW Date:08-18-2006 Completed application and Energy Bill Assistance approved

Print Save Append to Note Case Note History

Note: Fields with \* are mandatory

## Skill Builder 4

**Objective:** Create a case note for the client created in Skill Builder 2.  
**For help, see pages 30 to 33.** For assistance, review the Answer Key on page 61.

**Directions:** Complete each task described below.

---

Navigate to the Case Note screen after entering into the client record of the new client you created in Skill Builder 2.

**Hint/Tip:** From which screen is a client record selected?

1. Add a case note, and include a reminder date.
2. Append the case note to add a correction.

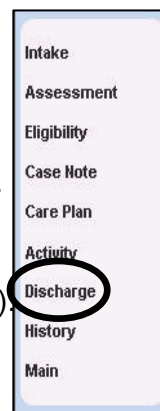
### Bonus Questions

In this Skill Builder, you were asked to add a reminder date to the case note you created.

- A. Where will that reminder display for you? \_\_\_\_\_
- B. When will it appear there by default? \_\_\_\_\_

## Discharge a Client

Once a client's episode of care with your agency location has been completed, that episode of care must be closed, or discharged. To access the Discharge screen for a specific client, click on the Discharge action link from the left navigation panel (shown at right).



### Episode of Care Requirements:

- Only one episode of care for a client should be open at a time (at your agency/location).
- All care plan entries must have an actual end date to close an episode of care.
- A case worker can only discharge a client's episode of care for their own agency / location.

1. From the list of episodes of care displaying on the Discharge summary screen, select the episode of care to be discharged by clicking on its hyperlink.

2. Complete the fields on the Discharge screen:

Client >> Backett, Josh			1003 / 1497
Episode #	Start Date	End Date	
<a href="#">Episode3</a>	07-01-2006		
<a href="#">Episode2</a>	12-31-2005	02-28-2006	
<a href="#">Episode1</a>	07-01-2004	07-31-2005	

- **Start Date:** Auto populated by CSMS.
- **Reason for Opening:** Will be grayed out.
- **Discharge Date:** Date the episode of care is being closed.
- **Reason for Discharge:** Pick list will include generic and agency-specific choices as well as "other" for ability to type reason not in pick list.
- **Destination:** Where was the client discharged (*field is specific to Homeless and FSAD*).

3. Click on the Discharge button.

4. If there are open items in the care plan a pop up box will open stating "There are open items in the care plan. Do you want to close all these items automatically?" If OK is selected the system will close the care plan date using the date of discharge.

5. Notice that the Discharge summary screen now includes an end date for the episode of care.



## Reopen / Create a New Episode of Care

A discharged episode of care can be reopened **within 30 days** of it being closed if the client returns for additional services. To reopen a discharged episode of care, follow these steps:

1. Select the client's record from the Client Services' main screen, check the Include Inactive checkbox.
2. Click on Discharge in the left navigation panel.
3. Click on the hyperlink of the episode of care to be reopened.
4. Click on the ReOpen button (shown at right).

Client >> Backett, Josh

Start Date\* 07-01-2006

Reason for Opening

Discharge Date\* 09-06-2006

Reason for Discharge\* Completed program

Destination Permanent Rental house or apartment (no subsidy)

Comment

Discharge ReOpen

If more than 30 days have passed and the client returns for additional services the Reopen button will be grayed out and a new episode of care will need to be created using the following steps.

1. Select the client's record from the Client Services' main screen, check the Include Inactive checkbox.
2. From the Client link (located above the primary client's name) hover over the drop down menu, and click on the New Episode menu item from the list (shown below at right of circled area).
3. In the window that opens, the next episode number will be assigned, by CSMS. Enter the Start Date and Reason (for opening) the new episode of care.

CSMS Client Services Management System

Home | Resources | Client Services | LogOff

Client Services

Client | Resources | Programs | Tools | Reports | Links | Print | Help

Intake Assessment Eligibility Case Note Care Plan Activity Discharge History

Client Contact Employment Hou

SSN: 854758855

Alien #

http://hsux03.bhsnet.net:7778 - Client Intake ....

Client >> Backett, Josh

Open New Episode

Client discharged within the last 30 days. To re-open this episode of care, close this window and select Discharge. To open a new episode enter start date and save.

Episode # 4

Start Date

Reason

Save Close

4. Click on the Save button.

**Note:** If a client returns **within 30 days** of closing for additional services, unrelated to the previous episode of care, a new episode of care can be created following steps 1 – 3 above. A message will appear in the pop-up window stating "Client discharged within the last 30 days. To re-open this episode of care, close this window and select Discharge. To open a new episode enter start date and save."



## Accept Incoming Referrals

1. From the client Services' main page, click on the Referrals tab. (Confirm that the list displayed is of referrals received by using the search tool in the left navigation panel.)

2. Select the received referral to be accepted by clicking on the Client ID hyperlink.

Referrals Received								
	Status	Client ID	Client Name	Agency	By Case Worker	Service	Units	Date
1	New	78476	Explora, Dora	BC HS Elderly and Veterans Services Division	ETRAIN1	Case Management	5	12-14-2006
2	Opened	78469	Hathaway, Anne	BC HS Elderly and Veterans Services Division	CCREP1	Case Management		10-19-2006

A pop up window displaying the client records found in CSMS will appear. Select the Referring agency/location's client hyperlink.

3. The Intake screen for the referred client will display with data pre-filled by the referring agency. In order for this to happen the client's consent must have been marked as sharable, be on file, and Sharable is marked in the referral Activity, from the referring agency.
4. Retrieve the client.
5. Input Initial Intake date and change any pertinent client information.
6. To accept the client at your agency/location, click on the Save button at the bottom of the page. This step will activate the client at your agency/location, open an episode of care, and will specify you as the Case Worker. To change the Case Worker see section titled Assign a Client to a Case Worker (refer to page 21).

Clients List				
Client ID	Client Name	Date	Agency/Location	Consent/Expire Date
<a href="#">77984</a>	Josh Backett	08-18-2006	BC HS Elderly and Veterans Services Division / Elderly and Veterans Services Division	C / 08-30-2007

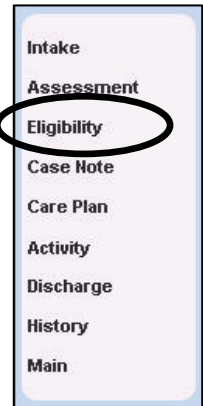
Client	Contact	Employment	Household	M
Retrieve				
SSN	444556666			
Alien #				
Initial Intake*				
Consent	<input type="checkbox"/>			
Exp. Date				
Client ID	77984			
Episode #				
Ep. Start Date				
Referred Date	07-01-2004			
Name, First*	Josh			
Last*	Backett			

**Note:** The 'New' found under the Status column, in the Referrals Received figure, above, will change from New to Open when you click on New and view the referral.

Client >> Backett, Josh		0177 / 1281
Client	Contact	Employment
Added Successfully		
Client Record Exists in CSMS; The following fields may have been replaced and should be verified. 1. Name, 2. Alias, 3. Gender and 4. DOB. If Data has Changed, Contact the System Administrator.		
SSN	444556666	
Alien #		
Initial Intake*	09-13-2006	
Consent	<input type="checkbox"/>	
Exp. Date		
Client ID	77984	
Episode #	1	
Ep. Start Date	09-13-2006	
Referred Date	07-01-2004	
By	Mary Kittleson	

## Conduct an Assessment

Assessment tools (groups of questions) are available to evaluate a client. The Standard Assessment (mandatory for Broward County Human Services' agencies) will evaluate a client in eleven categories. The categories include: education, employment housing, income, mental health, nutrition, parenting, physical health, social functioning, substance use, and transportation.



1. From the Client Services' main screen, click on the Client ID, of the appropriate client.
2. In the left navigation panel, click on the Assessment action link (shown at left).
3. The Assessment screen (shown below) will display. There are three sections on this screen.

**Unfinished Assessments:** When an incomplete assessment is saved, it is listed in this section. (Since this is a new client and no assessments have been started, this section of the screen has nothing in it right now).

**Assessments:** A list of generic, widely applicable assessment tools are listed here.

**Agency Specific Assessments:** A list of assessment tools unique to the needs of specific agencies are listed here.

4. Select the desired assessment tool by clicking on its hyperlink (i.e., Standard Assessment)

The screenshot shows the assessment interface for 'Client >> Backett, Josh'. It includes a 'Select Assessment' button, an 'Unfinished Assessments' section (circled), a 'List of available Assessments' section, and two tables: 'Assessments' (circled) and 'Agency Specific Assessments' (circled). The 'Standard' assessment tool in the 'Agency Specific Assessments' table is highlighted with an arrow.

Assessment Name	Assessment Type	Date/Time Stamp	Delete
<b>List of available Assessments</b>			
<b>Assessments</b>			
Assessment Name	Assessment Type		
<b>Agency Specific Assessments</b>			
Assessment Name	Agency Name	Assessment Type	
<a href="#">Standard</a>	BC HS Elderly and Veterans Services Division	Initial	
<a href="#">TEST2</a>	BC HS Elderly and Veterans Services Division	30 Days	
<a href="#">TEST1</a>	BC HS Elderly and Veterans Services Division	30 Days	
<a href="#">Test3</a>	BC HS Elderly and Veterans Services Division	30 Days	

5. The assessment tool will display. A series of questions (grouped by categories such as education, employment, and housing) make up the tool.
6. Select the appropriate answers by clicking on the correct answers' radio buttons.

If all questions **have not been** answered, save the assessment as an unfinished assessment by clicking on the Save button at the bottom of the screen. (The unfinished assessment will appear in the Unfinished Assessments section of the screen shown on the previous page in step 3.)

If all questions **have been** answered, finish the assessment by clicking on the Finish button at the bottom of the screen. (In order to finish an assessment, all questions must be answered.)

Client: Backett, Josh >> Standard

Select Assessment **Assessment Detail**

Questions for the Assessment

ID	Questions
<a href="#">Go to Bottom</a>	<b>EDUCATION</b>
1	Is your education sufficient to obtain and maintain employment and/or function in your daily life? <input type="radio"/> YES <input type="radio"/> NO <input type="radio"/> N/A
<a href="#">Go to Bottom</a>	<b>EMPLOYMENT</b>
2	Are you not in the workforce due to retirement or disability? <input type="radio"/> YES <input type="radio"/> NO <input type="radio"/> N/A
3	Is your employment sufficient to meet your needs at the present time? <input type="radio"/> YES <input type="radio"/> NO <input type="radio"/> N/A
<a href="#">Go to Bottom</a>	<b>HOUSING</b>
4	Do you have permanent shelter (excluding homeless shelter arrangements programs)? <input type="radio"/> YES <input type="radio"/> NO <input type="radio"/> N/A
5	Do you believe that you will be able to maintain your current housing? <input type="radio"/> YES <input type="radio"/> NO <input type="radio"/> N/A
15	Are your transportation needs being met? <input type="radio"/> YES <input type="radio"/> NO <input type="radio"/> N/A
<a href="#">Go to Top</a>	

Finish **Save** Print

7. To view the assessment summary after completing an assessment:
  - A. Click on View History in the left navigation panel

**Note:** this link is unique to the assessment screen, you can only view assessment history from here.

- B. Click on the hyperlink of the desired assessment on the Select Assessment tab.

Client >> Backett, Josh

Select Assessment

**Unfinished Assessments**

Assessment Name	Assessment Type	Date/Time Stamp	Delete
<b>List of available Assessments</b>			
<b>Assessments</b>			
Assessment Name	Assessment Type		
<b>Agency Specific Assessments</b>			
Assessment Name	Agency Name	Assessment Type	
Standard	BC HS Elderly and Veterans Services Division	Initial	

C. Click on the Assessment Summary tab to obtain

1. In Crisis
2. At risk
3. Stable
4. Safe
5. Thriving

Resources   Programs   Tools   Reports   Links   Help		
Client: Backett, Josh >> Standard		
Select Assessment	Assessment Detail	Assessment Summary
Category Name	Score	Status
EDUCATION	0	N/A
EMPLOYMENT	0	N/A
HOUSING	0	N/A
INCOME	0	N/A
MENTAL HEALTH	0	N/A
NUTRITION	0	N/A
PARENTING/ CAREGIVING	0	N/A
PHYSICAL HEALTH	0	N/A
SOCIAL FUNCTIONING	0	N/A
SUBSTANCE USE	0	N/A
TRANSPORTATION	0	N/A
Print		

status ra

View the results on the Assessment Summary tab. Here, each category from the assessment is listed; a numeric score displays based on the answers given to the assessment questions, and a status rating is provided.

D. Click on the Assessment Detail tab to obtain the detailed responses to the assessment and print the assessment.

Resources   Programs   Tools   Reports   Links   Help	
Client: Backett, Josh >> Standard	
Select Assessment	Assessment Detail
Assessment Summary	
Questions for the Assessment	
ID	Questions
<a href="#">Go to Bottom</a>	<b>EDUCATION</b>
1	Is your education sufficient to obtain and maintain employment and/or function in your daily life? <input type="radio"/> YES <input type="radio"/> NO <input checked="" type="radio"/> N/A
<a href="#">Go to Bottom</a>	<b>EMPLOYMENT</b>
2	Are you not in the workforce due to retirement or disability? <input type="radio"/> YES <input type="radio"/> NO <input checked="" type="radio"/> N/A
3	Is your employment sufficient to meet your needs at the present time? <input type="radio"/> YES <input type="radio"/> NO <input checked="" type="radio"/> N/A

## Skill Builder 5

**Objective:** Perform an assessment of the new client created in Skill Builder 2.

**For help, see pages 39 to 41.**

For assistance, review the Answer Key on page 62.

**Directions:** Complete each task described below.

---

1. Navigate to the Assessment screen after selecting the client record created in Skill Builder 2.

**Hint/Tip:** From which screen is a client record selected?

2. Select the "Standard Initial" assessment tool.
3. Complete the assessment (provide answers on behalf of the client for the exercise).

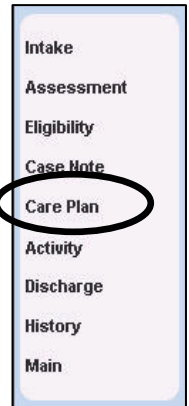
**Hint/Tip:** What role will the Save and Finish buttons play in this task?

4. View the assessment summary when the assessment is complete.
5. Determine how to print the summary.

## Create a Care Plan

A Care Plan is a contract of services between the case worker and the client. It defines the client's problem(s), goal(s)/outcome(s), planned service(s), and action step(s) (steps the client is responsible for, steps the case worker is responsible for, etc...) to achieve the outcomes.

1. From the Client Services' main screen, click on the Client ID of the appropriate client.
2. In the left navigation panel, click on the Care Plan action link (shown at right).
3. Click on Add New Problem.



Client >> Backett, Josh  
Household Care Plan

1003 / 1497

☐ View Primary
 ☐ View All Agencies
 ☒ View Primary & Secondary Clients

BC HS Elderly and Veterans Services Division / Backett, Josh

- ☐ Difficulty maintaining independence
- ☐ Avoid/Prevent institutionalization
- ☐ (i) Transportation Out of County
- ☐ Difficulty maintaining independence
- ☐ Compensates for irreversible losses
- ☐ Avoid/Prevent institutionalization

Add New Problem

Care Plan >> Backett, Josh  
Problem

Created By: EVSCW

Assigned to: Primary Client

Problem Category:

Problem\*:

Enter Problem:

Problem Level:

Enter Problem Level:

Enter Problem Description:

Start Date:

Review Date:

As Evidenced By:

Strengths:

Barrier:

Projected End Date:

Actual End Date:

Sharable: ☐

Save CarePlan Main

Note: Fields with \* are mandatory

4. Enter data in the Care Plan's Problem screen fields:

- **Assigned to:** Either primary client or the name of a household member entered in the Household tab in Intake.
- **Problem Category:** A pick list of eleven categories (same categories as in the assessments).
- **Strengths:** Pick list options are standard within the application.
- **Barrier:** Pick list options are standard within the application.
- **Problem:** Pick list options will vary based on problem category chosen. *This field is mandatory.*
- **Problem Level:** Pick list options will vary based on problem chosen.
- **Start Date:** The date the problem was identified.
- **Review Date:** This is *not* a followup or reminder trigger. To see the data entered here, you must return to this screen.

- **Shareable:** Indicates if this entry's data can be shared with other agencies.
- **As Evidenced By:** Text field used to record by which means the problem was identified.

5. Click on the Save button.

6. Notice the changes to the screen:

- At the bottom of the screen, additional buttons have become available:
- **Add Outcome:** Opens a screen to document the desired goal related to the problem (identified at the top of the screen).
- **Add Note:** Opens a screen to create documentation related to the problem (identified at the top of the screen). **Using this button to create a case note will attach the note to the Care Plan. Notes created here will be viewable and accessible from here, as well as from the Case Note history screen (see page 30 for additional information)**

7. Typically, you will click on Add Outcome to continue creating entries in the Care Plan.

8. On the Care Plan's Outcome screen, select an appropriate Outcome / Goal from the field's pick list.

9. Click on the Save button.

Care Plan >> Backett, Josh  
Financial difficulties

Assigned to: Barry Scott

Strengths: Associate's degree

Problem Category: CLIENT MEDICAL HISTORY

Barrier: Lack of funding

Problem\*: Financial difficulties

Enter Problem:

Problem Level: Bed bound

Enter Problem Level:

Enter Problem Description:

Start Date:

Projected End Date:

Actual End Date:

Review Date:

As Evidenced By:

Save Add Another Problem CarePlan Main

Note: Fields with \* are mandatory

Outcomes Notes

Add Outcome Add Note

Care Plan >> Backett, Josh  
Outcome for Financial difficulties

Outcome / Goal\*:

Outcome Other:

Status\*:

Actual Outcome:

ActualOutcome Other:

Barrier:

Barrier Other:

Evaluation Tool:

Start Date:

Projected End Date:

Review Date:

Actual End Date:

Performance Measure:

Save Go To Problem Care Plan Main

Note: Fields with \* are mandatory



10. Notice the changes to the screen:

- At the top of the screen, a message displays that the outcome was added successfully.
- At the bottom of the screen, additional buttons have become available:
- **Add Service:** Opens a screen to document the services which are *planned* to achieve the outcome (identified at the top of the screen).
- **Add Action Step:** Opens a screen to document the steps which will be taken (by the client, case worker, or other person) in support of achieving the outcome (identified at the top of the screen).
- **Add Note:** Opens a screen to create documentation related to the outcome (identified at the top of the screen). Notes added with this button will be attached to and accessible from the Care Plan and Case Note History.

Care Plan >> Backett, Josh  
Outcome for Financial difficulties

Added Successfully

Outcome / Goal\*  
Attain high level of adjustment

Outcome Other:

Status\*  
Achieved

Actual Outcome:  
Attain high level of adjustment

ActualOutcome Other:

Barrier  
Lack of funding

Barrier Other:

Overcame Barrier ☒

Evaluation Tool  
Assessment screening

Start Date:

Projected End Date:

Review Date:

Actual End Date:

Performance Measure

Save Go To Problem CarePlan Main

Note: Fields with \* are mandatory

Service/Referral	Action Steps	Notes
<input type="text"/>	<input type="text"/>	<input type="text"/>
Add Service/Referral	Add ActionStep	Add Note

11. Click on Add Service to continue creating entries in the Care Plan.



12. Enter data in the Care Plan's Service screen fields.

**Note** the following:

- **Priority Score:** Score generated to identify priority status of client for waitlist management. {I.E. EVSD score is generated from assessment entered into CIRT (Client Information & Tracking System)}.

- **Wait listed:** Indicates if the client has been wait listed for the service.

13. Enter data, if desired, in the Optional Costing Information section.

**Note: This section is mandatory for EVSD.**

- **Unit Cost:** A dollar figure.
- **Amount:** Number of units.
- **Units:** Rate of unit cost (i.e., per hour, per session).

- **Period:** Frequency (i.e., per week, per day, per month, per year).
- **Number:** A number (referring to the quantity of the next field's entry – Duration).
- **Duration:** Length of service (i.e., days, weeks, months, years).

14. Click on the Save button.

If a note needs to be added to the service record, click on Add Note. Notes added with this button will be attached to and accessible from the Care Plan and the Case Note history page.

To add an Action Step, you must return to the outcome by clicking on the Go To Outcome button at the bottom right of the screen. (The reason for this is: services and action steps are in support of achieving an outcome. When either services or action steps are created, they must be associated with a specific outcome.)

Add Service/Referral

Care Plan >> Backett, Josh  
Service/Referral  
Financial difficulties /

Program  
Emergency Financial Assistance Program FSC

Service\*  
Electric Bill Assistance

Informal Services  
None

Status\*  
Achieved

Barrier  
Barrier Other

Start Date  
09-13-2006

Projected End Date

Actual End Date

Review Date

Priority Score  
44

Wait listed?  
No

☐ Overcame Barrier

**Optional Costing Information**

Unit Cost Amount

Units Per Period For Number Duration

Informal Provider Name

Formal

Save Go To Outcome Care Plan Main

Notes

Add Note

Notes with \* are mandatory

Go To Outcome

15. From the outcome screen that will display (shown at right), click on the Add Action Step button.
16. Complete relevant fields on the Care Plan's Action Step screen, which will display. (Remaining fields will be populated as the Care Plan is maintained.)
  - **Category:** A pick list of eleven categories (the selection made here will affect the pick list options in the action step field).
  - **Action Step:** What is going to be done.
  - **Action Step By:** Who is responsible for completing the Action Step (see the options shown in the pick list at right).

Care Plan >> Backett, Josh  
Outcome for Financial difficulties

Outcome / Goal\*

Outcome Other

Status\*

Actual Outcome

ActualOutcome Other

Barrier  
 ☒ Overcame Barrier

Barrier Other

Evaluation Tool

Start Date

Projected End Date

Review Date

Actual End Date

Performance Measure

Note: Fields with \* are mandatory

Service/Referral	Action Steps	Notes
<input type="button" value="Add Service/Referral"/>	<input type="button" value="Add ActionStep"/>	<input type="button" value="Add Note"/>

17. Click on the Save button.

You can now return to the Care Plan's main screen and see all of the entries you have made in the Care Plan. Click on the Care Plan Main button, or the Care Plan action link from the left navigation panel.

18. When you view the Care Plan's main screen, you will now see the Care Plan summary created at your agency (as shown at right)

If this client has an active Care Plan with another agency, it will also be listed here (under that agency's name, which will display as green text inside another gray bar), when the View All Agencies checkbox is checked.

If Care Plan entries are made for household members of the primary

Care Plan >> Backett, Josh  
Action Step  
Financial difficulties / Attain high level of adjustment

Added Successfully

Category

Status\*

Action Step\*

Action Step Other

Action Step By\*

Start Date

Projected End Date

Actual End Date

Note: Fields with \* are mandatory

Notes

client, they will also be listed here if the View Primary & Secondary Clients radio button is selected. (The secondary client's name would display in blue text, and the entries related to them would cascade under their name).

Agency Location/Creating Care Plan	Client >> Backett, Josh Household Care Plan		1003 / 1497										
	<input type="radio"/> View Primary <input type="checkbox"/> View All Agencies <input checked="" type="radio"/> View Primary & Secondary Clients												
Client	<table border="1"> <thead> <tr> <th></th> <th>Start Date</th> <th>End Date</th> </tr> </thead> <tbody> <tr> <td>BC HS Elderly and Veterans Services Division / Elderly and Veterans Services Division</td> <td></td> <td></td> </tr> <tr> <td>Backett, Josh</td> <td></td> <td></td> </tr> </tbody> </table>					Start Date	End Date	BC HS Elderly and Veterans Services Division / Elderly and Veterans Services Division			Backett, Josh		
	Start Date	End Date											
BC HS Elderly and Veterans Services Division / Elderly and Veterans Services Division													
Backett, Josh													
Problem	<input type="radio"/> Difficulty maintaining independence      01-31-2005      07-31-2005 <input type="radio"/> Avoid/Prevent institutionalization      01-24-2005      07-31-2005 (i) Transportation Out of County      01-24-2005      07-31-2005												
Outcome (Goal)	<input type="radio"/> Difficulty maintaining independence      09-01-2006      09-13-2006 <input type="radio"/> Compensates for irreversible losses      09-01-2006      09-13-2006 <input type="radio"/> Avoid/Prevent institutionalization      09-01-2006      09-13-2006												
Planned Service	<input type="radio"/> Financial difficulties      09-13-2006 <input type="radio"/> Attain high level of adjustment      09-13-2006 Electric Bill Assistance      09-13-2006												
Action Step	<input type="radio"/> Establish- emergency plan      09-13-2006 <input type="radio"/> Barry Scott <input type="radio"/> Financial difficulties <input type="radio"/> Attain high level of adjustment (i) Donor Services												
Household Member Care													
<div> <input type="button" value="Add New Problem"/> <input type="button" value="Edit Selected"/> <input type="button" value="Delete Selected"/> </div>													

## Skill Builder 6

**Objective:** Create a Care Plan for the client created in Skill Builder 2.

**For help, see pages 43 to 48.**

For assistance, review the Answer Key on page 62.

### Directions:

1. Add a problem to the Care Plan of the client created in Skill Builder 2.
2. Attach a case note to the problem entered in step 1, above.
3. Add an outcome to the problem entered in step 1, above.
4. Add a service to the outcome entered in step 3, above.
5. Add an action step to the outcome entered in step 3, above.
6. View the Care Plan created in steps 1-5 above.

## Care Plan: Viewing and Updating

### ➤ To View a Care Plan:

1. From the Client Services' main screen, click on the Client ID of the appropriate client.
2. In the left navigation panel, click on the Care Plan action link.
3. A screen like the one shown below will appear. (Entries are color coded as noted below.)

### Care Plan Color Significance

<b>Green text</b>	The agency/location creating the Care Plan entries
<b>Blue text</b>	Name of person with whom the entries are associated Primary: the client Secondary: a member of the primary client's household
<b>Red text (bold)</b>	Problem identified for the person (listed above in blue)
<b>Black text bold)</b>	Outcome to the problem (listed above in red)
<b>Black text</b>	Service planned to address the outcome (listed above in bold black)
<b>Navy</b>	Action steps taken in support of achieving the outcome

### ➤ To Edit a Care Plan Entry:

1. Select the radio button of the entry you want to edit.
2. Click on the Edit Selected button circled below.

Client >> Backett, Josh 1003 / 1497

Household Care Plan

☐ View Primary ☐ View All Agencies

☒ View Primary & Secondary Clients

	Start Date	End Date
<b>BC HS Elderly and Veterans Services Division / Elderly and Veterans Services Division</b>		
<b>Backett, Josh</b>		
<input checked="" type="radio"/> <b>Difficulty maintaining independence</b>	<b>01-31-2005</b>	<b>07-31-2005</b>
<input type="radio"/> <b>Avoid/Prevent institutionalization</b>	<b>01-24-2005</b>	<b>07-31-2005</b>
<input type="radio"/> (i) Transportation Out of County	01-24-2005	07-31-2005
<input type="radio"/> <b>Difficulty maintaining independence</b>	<b>09-01-2006</b>	<b>09-13-2006</b>
<input type="radio"/> <b>Compensates for irreversible losses</b>	<b>09-01-2006</b>	<b>09-13-2006</b>
<input type="radio"/> <b>Avoid/Prevent institutionalization</b>	<b>09-01-2006</b>	<b>09-13-2006</b>
<input type="radio"/> <b>Financial difficulties</b>	<b>09-13-2006</b>	
<input type="radio"/> <b>Attain high level of adjustment</b>	<b>09-13-2006</b>	
<input type="radio"/> Electric Bill Assistance	09-13-2006	
<input type="radio"/> Establish- emergency plan	09-13-2006	
<b>Barry Scott</b>		
<input type="radio"/> <b>Financial difficulties</b>		
<input type="radio"/> <b>Attain high level of adjustment</b>		
<input type="radio"/> (i) Donor Services		

Household members of the primary client can be included in the Care Plan as secondary clients

Edit Selected

Choosing to edit a Care Plan problem entry opens the screen shown at right. From here, you can update fields of information or add additional information to the Care Plan by clicking on the following buttons:

- **Add Outcome:** Clicking on this button will launch another screen in which you can create an outcome for the problem (i.e., “difficulty maintaining Independence”) you have selected.

**Note:** One outcome already exists for this problem. You can access it by clicking on its hyperlink under Outcomes (“Avoid/Prevent Institutionalizing”).

- **Add Note:** Clicking on this button will launch another screen where you can create a note *that will be attached to the Care Plan and be viewable from the Case Note history*. A hyperlink for each note you create using the Add Note button will be displayed under Notes.
  - **Add Another Problem:** Clicking on this button will launch a problem screen with blank fields.
- \*.\* Throughout CSMS, once data is entered in fields on a screen, the Save button must be clicked to upload the data to the database. Data entered will be lost if not saved before navigating to another screen.

**Care Plan >> Backett, Josh**  
**Difficulty maintaining independence**

Assigned to: Primary Client  
Strengths: Bilingual

Problem Category: CLIENT HOMELESS HISTORY  
Barrier: Accessibility

Problem\*: Difficulty maintaining independence

Enter Problem:   
Problem Level: Standard

Enter Problem Level:   
Enter Problem Description:   
Start Date: 01-31-2005  
Projected End Date:   
Actual End Date: 07-31-2005  
Review Date:   
As Evidenced By:   
Sharable: ☒

Buttons: Save, Add Another Problem, CarePlan Main

Note: Fields with \* are mandatory

**Outcomes**  
Avoid/Prevent institutionalization

**Notes**

Buttons: Add Outcome, Add Note

## Skill Builder 7

**Objective:** Answer the following questions based on what you already know about CSMS and through trial and error in the training database.

1. How would you delete the service entry ("Donor Services") from the Care Plan below?

- ☐ **Lack Of Literacy Skills**
- ☐ **Minimal reading, writing skills, and basic math skills**
- ☐ (i) Donor Services
- ☐ Minimal reading, writing skills, and basic math skills

Add New Problem

Edit Selected

Delete Selected

Select \_\_\_\_\_

Click on \_\_\_\_\_

2. How would you delete the outcome entry ("Minimal reading, writing skills...") and the service entry beneath it ("Donor Services...") from the Care Plan below?

- ☐ **Lack Of Literacy Skills**
- ☐ **Minimal reading, writing skills, and basic math skills**
- ☐ (i) Donor Services
- ☐ Minimal reading, writing skills, and basic math skills

Add New Problem

Edit Selected

Delete Selected

Select \_\_\_\_\_

Click on \_\_\_\_\_

\*

**Note:** \_\_\_\_\_



# View Client History

If a client has a current consent on file, their BHSNet history (the portions of it that have been made shareable) can be viewed from one screen.

In the sample below, the client's BHSNet history only includes one agency location. If the client had a shareable history with additional agency locations, the episodes of care, at those locations, would display under headings of the other agency/location names.

To locate and view a client's history, follow these steps:

1. Select the primary client's record from the Client Services' main screen.
2. Click on History in the left navigation panel (shown below below/left).
3. A window will display showing each episode of care at each agency location. Beneath each episode of care, hyperlinks to the Case Notes and Activities associated with the episode of care are listed (shown at right & below).

**Client >> Backett, Josh** 1003 / 1497

BC HS Elderly and Veterans Services Division / Elderly and Veterans Services Division

Episode1 07-01-2004 07-31-2005  
[CaseNotes](#)  
[Activities](#)

Episode2 12-31-2005 02-28-2006  
[CaseNotes](#)  
[Activities](#)

Episode3 07-01-2006  
[CaseNotes](#)  
[Activities](#)

**Client >> Backett, Josh**

From: [ ] To: [ ]  
 Category: AD - Addendum [ ] ☒ My Agency  
 [Filter]

Date/Time	Category	Subject	Agency/Location
08-18-2006 12:43:53.0	Case Management Time	None	BC HS Elderly and Veterans Services Division/Elderly and Veterans Services Division
01-30-2006 02:15:54.0	Case Management Time	Office visit	BC HS Elderly and Veterans Services Division/Elderly and Veterans Services Division
01-30-2006 02:02:58.0	Alert Case Worker	Violent	BC HS Elderly and Veterans Services Division/Elderly and Veterans Services Division
06-30-2005 11:19:00.0	CM - Case Management Time	test	BC HS Elderly and Veterans Services Division/Elderly and Veterans Services Division
06-29-2005 03:09:40.0	14 - 14 Day Follow-up	None	BC HS Elderly and Veterans Services Division/Elderly and Veterans Services Division

[Add Note]

**Client >> Backett, Josh**

Add/Edit Activity Activity History Followups

From Date: [ ] To Date: [ ]  
 Activity Type: [ ] ☒ Your Agency  
 Service: [ ] ☐ Summary  
 [Filter]

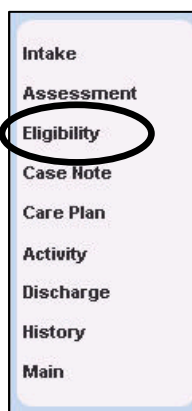
1 to 15 of 30

Activity Type	Agency	Location	Program	Service	Units	Date/Time	Funding Source
1 Service	BC HS Elderly and Veterans S...	Elderly and Veterans S...		Case Management	7	03-01-2006 11:16:00.0	
2 Service	BC HS Elderly and Veterans S...	Elderly and Veterans S...	Home Care for the Elde...	Case Management	5	02-22-2006 09:46:34.0	

4. Clicking on a hyperlink (Case Notes or Activities) in the History popup will open a page of that information (as depicted above).

## Determine Eligibility

The CSMS Eligibility action link in the left navigation panel launches an Internet-based tool that lists programs your client *may* be eligible for as well as any documents the client may need to provide (i.e., asset verification, financial institution records, life insurance policy, etc.) to participate in the programs.



1. From the Client Services' main screen, click on the Client ID of the appropriate client.
2. In the left navigation area, click on the Eligibility action link (shown at right). A new window will open, displaying the web site shown below.
3. Click on the Anonymous Caller button (shown at right) to enter the site.
4. Enter your client's data in the fields that display, including the relevant checkboxes that appear in a table below the six mandatory fields.

Organization	Mission Statement	Phone Number
Broward Community College	The Mission of Broward Community College is to provide high quality educational programs and services that are affordable and accessible to a diverse	954-473-6500

Please indicate if the information can be shared. YES

Please ensure that information provided is accurate to provide the correct information results.

Date of Birth\*: / / (mm/dd/yyyy)

Gender\*: Male

Zip\*: -

Marital Status\*: Married

Annual Household Income\*: \$

Total Assets\*: \$

Number of Household Members\*:

Please Select all that Apply: (any non-answers will be treated as N/A)

US Citizen or Permanent Resident: <input type="checkbox"/>	Currently Pregnant: <input type="checkbox"/>
Resident Alien (non-US Citizen): <input type="checkbox"/>	Has Dependant Minor Children: <input type="checkbox"/>

Mandatory fields are marked with an asterisk (\*).

5. Click on the Press <Enter> for Results button at the bottom of the screen to process your request.



A results screen will display a list of programs the client **may** be eligible for.

The top portion of the results screen (shown at right) will display the data entered on the previous screen.

Targeted Referral Sources Listed Below:			
Organization	Program	Phone	Location(s)
Workforce One	Food Stamp Employment and Training	(954)677-5555	Workforce One Centers 1. 2610 W.Oakland Park Blvd., Fort Lauderdale.  2. 2301 Sample Road, Bldg 4, Pompano Beach.  3. 7550 Davie Road. Ext., Hollywood.  (Job search Assistance and/or occupational skills training)
Workforce One	BCC On Line Nursing Training - RN	(954)201-6440	Broward Community College (training for LPN to RN)
Workforce One	BCC On Line Nursing Training - RN Refres	(954)201-6440	Broward Community College (Training for RNs who have been out of the profession and need refer
Workforce One	Welfare Transition program	(954)677-5555	Workforce One Centers 1. 2610 W.Oakland Park Blvd., Fort Lauderdale.  2. 2301 Sample Road, Bldg 4, Pompano Beach.

The middle of the screen will display details on the organizations and programs the client **may** be eligible for, including phone numbers and location information.

The bottom of the screen, will provide a list of documentation that the client may be asked to provide to participate in the programs that displayed in the middle section.

[Print Page](#)

Your ID# is: **653150519...** Please provide this number when making application to any of the listed agencies and/or programs.

**Information Provided**

**Anonymous Caller**  
**33315**

DOB: <b>05/19/1965</b>	Gender: <b>Male</b>	Marital Status: <b>Divorced</b>
# in Household <b>3</b>	Annual Income: <b>13000</b>	Assets: <b>7000</b>
Other: <b>US Citizen</b>	Other: <b>Military Veteran</b>	Other: <b>Has Dependants</b>
Other: <b>Medicaid Approved</b>	Other: <b>Currently Un-Insured</b>	Other: <b>Parent/Relative</b>
Other: <b>Live W/Parents</b>	Other: <b>Soc Sec Num Required</b>	Other: <b>FL State Res Required</b>

The Print Page buttons at the top and bottom of the screen will print the results of the search (including the summary of data entered, the program details, and the documentation that may be needed).

**Public Assistance Eligibility Information Sheet**

*Items you may be asked to provide at your interview for public assistance.*

1. Proof of everyone living in your home (such as a lease or letter from neighbor or landlord).
2. Identification for yourself (photo ID if possible).
3. Birth Certificates.
4. Proof of Citizenship ( ex: birth certificate ) or proof of INS status for non-citizens.
5. Social security numbers for everyone. (If you have applied for one, bring receipt to the interview).
6. Current paystubs ( the most recent 8 consecutive weeks ).
7. Proof of all "unearned" income ( ex: SSI or VA award letters, child support, unemployment stubs court orders/stubs ).
8. If you recently (last 3-4 months) lost a job or income, bring in proof of when it stopped and the last 8 weeks amount.
9. Bank balance ( recent statement showing interest ), stocks, bonds, and all other assets.
10. Vehicle registrations and insurance documents for all cars, truck, boats, etc.
11. Life insurance documents for everyone.
12. Current lease, rent receipt or mortgage payment book.
13. Current utility bills ( FPL, BellSouth, water, gas, etc. )
14. Homeowners insurance policy and amount and real estate taxes.
15. Child care amount ( most recent 8 weeks ).
16. All medical bills ( paid or unpaid ).
17. Health and automobile insurance cards. Please bring the monthly amounts you pay.
18. Proof of legally obligated child support payments made for last 3 months.
19. Proof of immunization for children under age 5.

**Important Note : If you are not sure if you need to bring to something, PLEASE BRING IT!**

**It is better to have too much rather than too little information. Some items are only required for certain programs such as temporary cash assistance or food stamps.**

[Print Page](#)

## View the Resource Guide

1. Click on the Resources link at the top of the screen.
2. The Resource Guide will display.
3. In the left navigation panel's search tool, enter a key word (i.e., food or tutoring).
4. Select the radio button indicating how you want to search the RGV (by service, program or agency).
5. Click on the Quick Search button.
6. Navigate through the Resource Guide to locate the service details of the service that meets your client's needs.

The screenshot displays the CSMS Client Services Management System interface. At the top, a blue header bar contains the text "Client Services". Below this, a navigation menu includes links for "New Client", "Resources" (circled in red), "Group", "Tools", "Reports", "Links", and "Help". The main content area is titled "Resource Guide" and features a search tool on the left. This tool includes a text input field, three radio buttons labeled "Services", "Program", and "Agency", and a "QuickSearch" button. Below the search tool is a "Change Request" button. The main content area on the right is titled "Resource Guide: Search Service" and contains a "Welcome" message, a description of the Resource Guide, instructions on how to use it, and contact information for the Help Desk and BHSNET. The footer of the page displays the text "EVSCW / 1003 / 1497".

**Note:** The Change Request button allows you to submit a request for a change to the data in the Resource Guide. This includes updating, adding, or deleting current Resource Guide information for an agency.

## Change a SSN, Alien #, and other key fields — Primary Client

Once one of the key fields for a primary client has been saved during the intake, modifying it requires following these steps:

1. Select the primary client's record from the Client Services' main screen.
2. Click on Intake in the left navigation panel.
3. From the Client link (located above the primary client's name) view the drop down menu, and click on the Change Credentials menu item from the list (shown below).
4. In the window that opens, correct the primary client's key field data.
5. Click on the Save button.

The screenshot displays the CSMS Client Services Management System interface. The top navigation bar includes links for Home, Resources, Client Services (highlighted), and LogOff. The left sidebar contains a navigation menu with Intake (highlighted), Assessment, Eligibility, Case Note, Care Plan, Activity, Discharge, and History. The main content area shows a client record for 'Client > Backett, Josh' with a count of '1003 / 1497'. A dropdown menu is open under the 'Client' link, showing options: New Episode, Assign Case Worker, and Change Credentials (circled). Below this, a 'Change SSN/Alien#' window is open, displaying fields for SSN (444556666), Alien#, First Name (Josh), Last Name (Backett), Middle Name, Alias, Date of Birth (09-09-1932), and Gender (Male). The window has 'Save' and 'Close' buttons at the bottom.

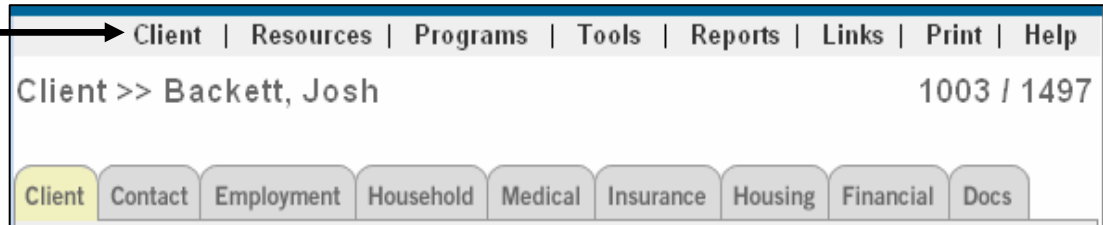
## Change Credentials, and other key fields — Secondary Client

Changing the social security number or alien number of a household member (secondary client) is performed differently than for a primary client. Follow these steps:

1. Select the primary client's record from the Client Services' main screen.
2. Click on the Household tab.
3. Click on the hyperlink of the secondary client whose information needs updating.
4. Correct the information requiring update.
5. Click on the Save button.

## Additional Client Specific Screen Links

A row of action links appears above a client's name. Some of these links' content is currently being developed and others are fully functional. Once fully developed and implemented, they will provide access to the information listed below.



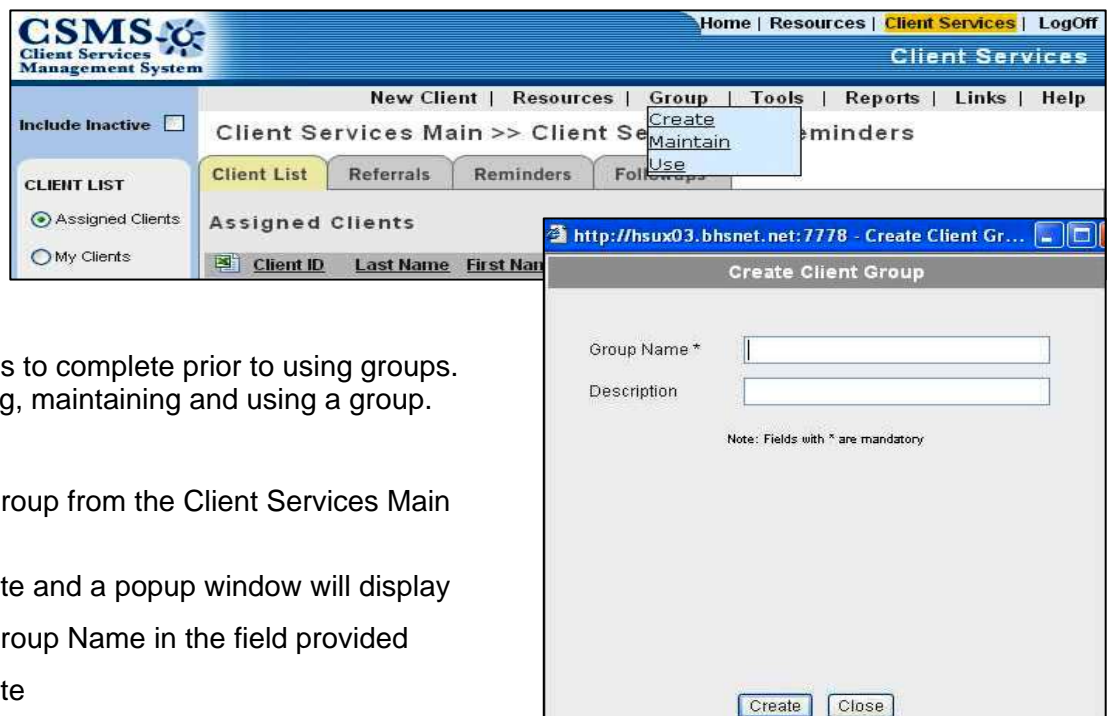
- **Client:** Refer to pages 21, 36 & 56.
- **Resources:** Refer to pages 54, opens in a new window.
- **Programs:** A list of special programs (such as bed tracking and veteran's forms).
- **Tools:** A list of special features and tools (such as CIRT's export, etc.).
- **Reports:** The client specific reports will be available through this link, currently DOEA Care Plan Report is available.
- **Links:** A collection of links to various resources and tools.
- **Help:** Information to assist you in performing tasks in CSMS.

## Expanded CSMS Functionality

In addition to the essential features of CSMS that we have covered in detail there are a few advanced features that may be of interest to users. You should be aware that these functions exist, and once you master the essential functions of CSMS, you can begin to explore the advanced features of the system.

### Group

Groups are created and used to enable a user to complete key functions for more than one client at a time.

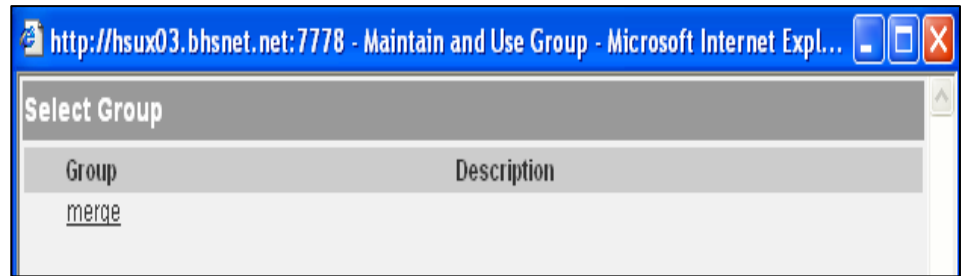


There are three areas to complete prior to using groups. This includes creating, maintaining and using a group.

1. Create a group
  - Hover-over Group from the Client Services Main page
  - Click on Create and a popup window will display
  - Type in the Group Name in the field provided
  - Click on Create

2. Maintain a group is how the clients are assigned as members of a group.

- Hover-over Group from the Client Services Main page (noted on page 56)



- Click on Maintain and a popup window will display with the available group(s), noted above.
- Click on the hyperlink under Group for the group to be maintained. If the group is new there will be no clients assigned to the group (as noted in the figure below no clients are selected for the group).
- Clients will need to be individually assigned by clicking on the checkbox under the Select column. The clients noted below are assigned clients; to view a more extensive client list use the quick search or advanced search feature (refer to pages 10 -11).
- Click on Save

The clients identified in the “merge” group include Backett and ab as noted below.

**CSMS Client Services Management System**

Home | Resources | **Client Services** | LogOff

**Client Services**

New Client | Resources | Group | Tools | Reports | Links | Help

Include Inactive ☐

**CLIENT LIST**

☒ Assigned Clients

☐ My Clients

☐ Agency/Location

QuickSearch

**ADVANCED SEARCH**

Name/DOB/SSN/ID

☒ Assigned Clients

☐ My Clients

☐ Agency/Location

**Main >> Maintain Group**

**Client List**

**Group >> merge**

Client ID	Last Name	First Name	DOB	SSN	Status	Select
1 77984	Backett	Josh	09-09-1932	6666	Active	<input type="checkbox"/>
2 77985	Cheffield	Gary	09-02-1945	9999	Active	<input type="checkbox"/>
3 78073	Arcano	Norma	03-29-2006	7654	Active	<input type="checkbox"/>
4 78221	hilfigure	tommy	01-01-2000	6515	Active	<input type="checkbox"/>
5 78556	ab	ab	02-02-1992	3333	Active	<input type="checkbox"/>

Updated Successfully

set Mark All Unmark All Drop Group

**Main >> Maintain Group**

**Client List**

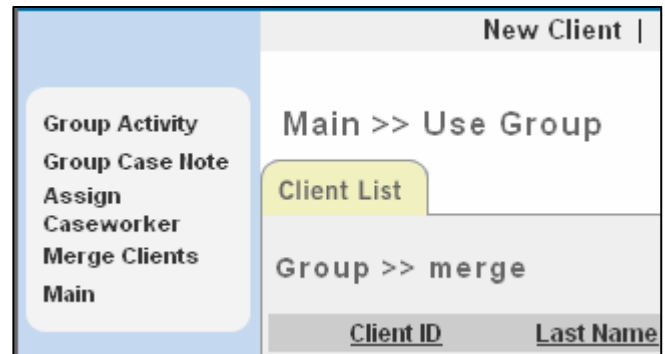
**Group >> merge**

Client ID	Last Name	First Name	DOB	SSN	Status	Select
1 77984	Backett	Josh	09-09-1932	6666	Active	<input checked="" type="checkbox"/>
2 78556	ab	ab	02-02-1992	3333	Active	<input checked="" type="checkbox"/>

### 3. Use Group

Perform a task on all members of a group. The tasks available are indicated in the left navigation panel as noted at the right.

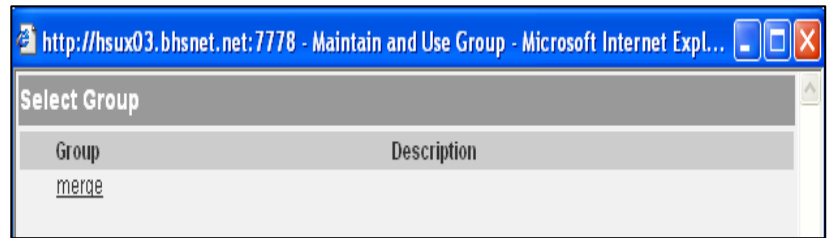
When completing Group Case Notes or Group Activities, a window will open and the activity or case note is entered as noted in the appropriate section of this manual.



One group Use function which will be detailed is Merging Clients. This is used when the same client exists in CSMS with different social security numbers, for example: Clients Backett and ab have been identified as the same person. To merge their records follow the steps below:

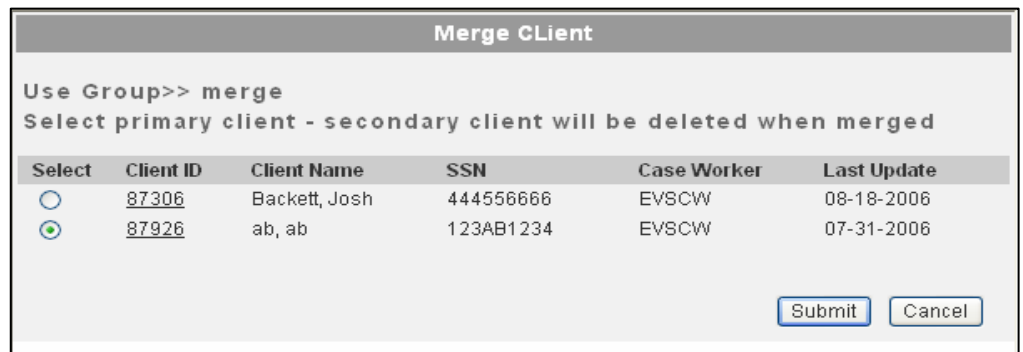
#### Merge records

- Hover-over Group from the Client Services Main page (noted on page 56)
- Click on Use and a pop up window will display (noted at right) identifying the group(s) available to access.
- Click on the hyperlink under Group to be used. In this example select "merge". The clients list for the group will display
- Click on the Merge Clients in the left navigation panel. A popup window is returned as noted below at right.



Client ID	Last Name	First Name
1 77984	Backett	Josh
2 78553	ab	ab

- Select the radio button of the primary client.
- Click on Submit



Select	Client ID	Client Name	SSN	Case Worker	Last Update
<input type="radio"/>	87306	Backett, Josh	444556666	EVSCW	08-18-2006
<input checked="" type="radio"/>	87926	ab, ab	123AB1234	EVSCW	07-31-2006

Submit Cancel

- A popup window is returned indicating “Merged Clients Successfully”
- Click on Close

Only client remaining in the group is now Josh Backett.



To access the client, click on the hyperlink under client ID or if another client is desired click on Main in the left navigation panel which will return you to the client Services Main page.

[New Client](#) | [Resources](#) | [Group](#) | [Tools](#) | [Reports](#) | [Links](#) | [Help](#)

Group Activity  
Group Case Note  
Assign Caseworker  
Merge Clients  
Main

Main >> Use Group  
Client List  
Group >> merge

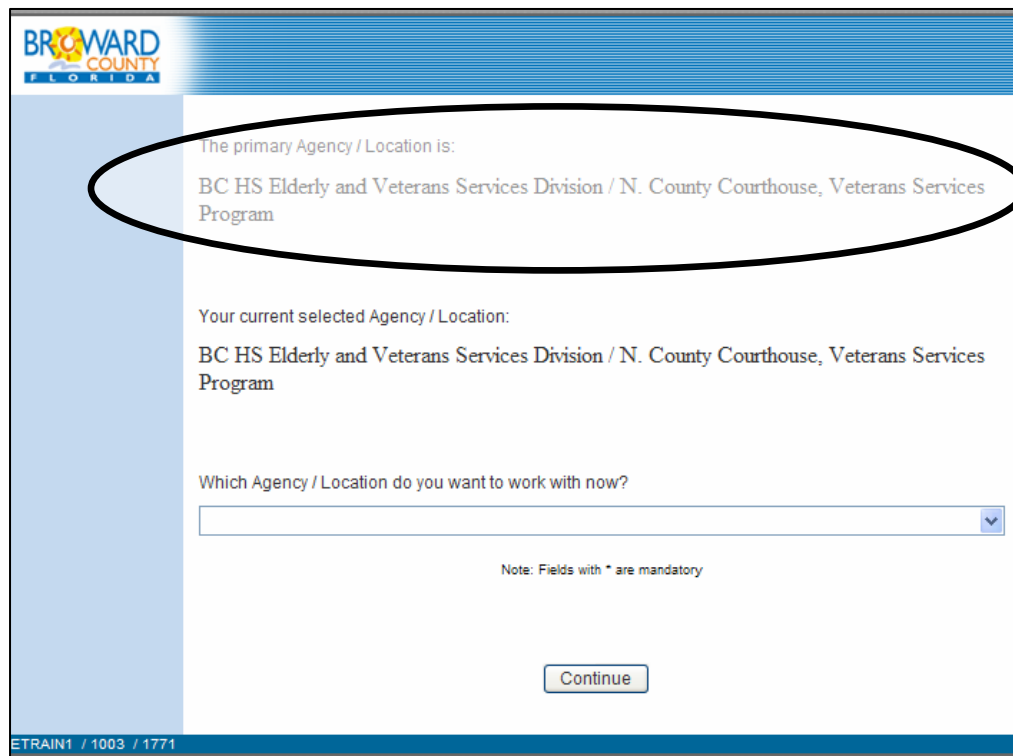
	<u>Client ID</u>	<u>Last Name</u>	<u>First Name</u>	<u>DOB</u>	<u>SSN</u>	<u>Status</u>
1	<a href="#">77984</a>	Backett	Josh	09-09-1932	6666	Active

## Multiple Agency/Location Logon

Multiple Agency/Location privilege is approved by the division director and granted only to those users who demonstrate a need for multiple-agency and/or multiple location access to CSMS.

The standard logon procedure is identified on page 5 of this manual. Once logged into CSMS there will be additional screens to navigate through for the access of Client Services main.

CSMS identifies the primary Agency/Location that the User is assigned, circled in the screen below.



The screenshot shows the CSMS logon interface. At the top left is the Broward County Florida logo. The main content area has a light blue sidebar on the left. The primary agency/location, "BC HS Elderly and Veterans Services Division / N. County Courthouse, Veterans Services Program", is circled in black. Below this, the current selected agency/location is the same. A dropdown menu is labeled "Which Agency / Location do you want to work with now?". A note states "Note: Fields with \* are mandatory". A "Continue" button is at the bottom right. The footer shows "ETRAIN1 / 1003 / 1771".

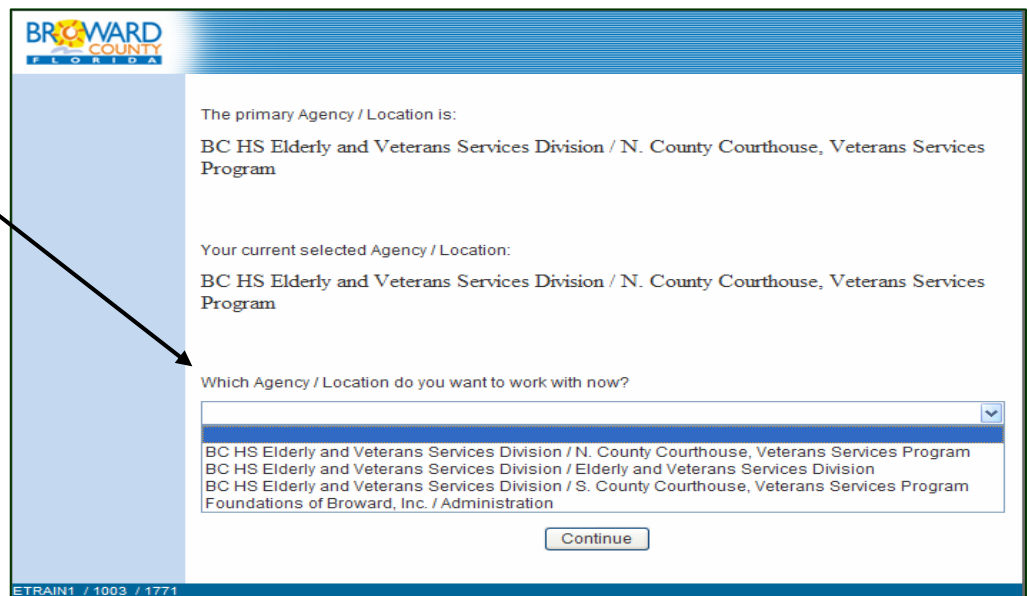
The user is responsible to select the Agency and/or Location in which to work.

Select the Agency and/or Location by selecting from the drop down.

Click on Continue.

### Note:

1. Users who are granted **multiple location** only will be able to toggle between locations while in Client Services Main.
2. Users who are granted **multiple agencies** will be required to logoff and log back on to change agencies.




This screenshot shows the same CSMS logon interface as the previous one, but with the dropdown menu open. The dropdown list shows three options: "BC HS Elderly and Veterans Services Division / N. County Courthouse, Veterans Services Program", "BC HS Elderly and Veterans Services Division / Elderly and Veterans Services Division", and "BC HS Elderly and Veterans Services Division / S. County Courthouse, Veterans Services Program". The "Continue" button is still visible at the bottom right. The footer shows "ETRAIN1 / 1003 / 1771".




Once Continued is clicked on users will be brought to the Welcome/Home page.

Click on Client Services

[Home](#) | [Resources](#) | [Client Services](#) | [LogOff](#)

Welcome

[Resources](#)  
[Client Services](#)  
  
[Change Password](#)

  
Human Services Department

## Client Services Management System

Help Desk >> 954-357-5956

email >> [Broward Human Services Network](#)

EVSCW / 1003 / 1497

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# Reports

## Non-client specific

# 5

This section describes how to run reports in CSMS. Instructions for running and a brief explanation of, the following reports are included:

- Service Activity Report – used by internal users and contracted service providers
- HUD APR Data Report – used by HUD funded and non-HUD funded homeless service providers

1. Access available reports from the Reports action link circled at the right.
2. Click on the Report Name. Current reports available include: HUD APR Data Report and Service Activity.

CSMS Client Services Management System

Home | Resources | Client Services | LogOff

Client Services

New Client | Resources | Group | Tools | Reports | Links | Help

Client Services Main >> Client Search and Reminders

Client List | Referrals | Reminders | Followups

CLIENT LIST

☒ Assigned Clients

☐ My Clients

☐ Select Location

1497

QuickSearch

ADVANCED SEARCH

Name/DOB/SSN/ID

☒ Assigned Clients

☐ My Clients

☐ Select Location

1497

☐ All CSMS

Case Worker

Search

	Client ID	Last Name	First Name	DOB	SSN	Status	AgnCd	LocCd
1	77984	Backett	Josh	09-09-1932	6666	Active	1003	1497
2	78165	Ball	Tim	03-29-2006	3678	Active	1003	1497
3	77985	Cheffield	Gary	09-02-1945	9999	Active	1003	1497

EVSCW / 1003 / 1497

CSMS Client Services Management System

Reports >>

	Report Name
1	HUD APR Data Report
2	Service Activity

# Service Activity Report

The Service Activity report tracks units of services delivered for a defined period of time and includes such data as program, fund source, and others. This report enables contracted service providers to generate supporting documentation to backup their invoices. The Service Activity report is often commonly referred to as the billing report.

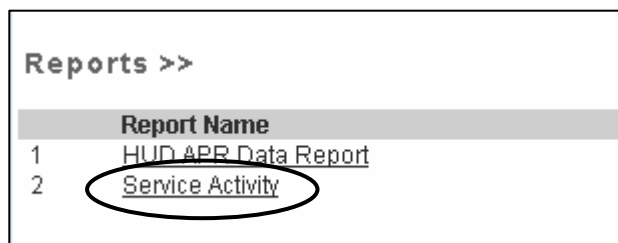
In CSMS location information has been separated from program information which allows multiple programs per contract per agency. This will require fewer reports be run for each billing cycle.

## Instructions:

1. From Client Services click on the Reports link beneath the Client Services module title, as shown at right.



2. In the window that opens, click on the Service Activity report from the list of reports.



The Service Activity report screen will display, as shown on the next page.

3. Multiple reporting options will display. By default, the first selection of each option will be pre-filled. Modify your selections, as needed, based on agency requirements. On the following page are the reporting parameters for creating the Service Activity report.

**Report Dates (From–To):**

Use the same date range covered by the invoice the report will support.

**Choose Location:** Usually accept the default selection unless a separate report is required per location.

**Choose Program:** Select the second radio button to choose a specific program for which you are billing. This will open up a pick list of program options.

**Choose Services:** Usually accept the default selection, unless a specific service is invoiced separately.

**Choose Case Managers:** Usually accept the default selection. By selecting a specific case manager a productivity type report will be generated for the individual case manager selected.

**Reports >> Service Activity Report**

**Report Date(s) (mm-dd-yyyy):**  
From:  To:

**Choose Location:**  
☒ All Locations of this Agency  
☐ This (my) Location Only  
☐ Choose A Location

**Choose Program:**  
☒ All Programs  
☐ Choose A Program

**Choose Services:**  
☒ All Services  
☐ Choose A Service

**Choose Case Managers:**  
☒ All Case Managers  
☐ Choose A Case Manager

**Choose Fund Allocation:**  
☒ All Fund Allocations  
☐ Choose A Fund Allocation

**Choose Fund Source:**  
☒ All Fund Sources  
☐ Choose A Fund Source

**Report Type:**  
☒ Detail Report  
☐ Summary Report

**Select Report Format:**

**Choose Fund Allocation:** Select the contract number of the program for which you are billing, if there are multiple contracts, otherwise accept the default of All Fund Allocation.

**Choose Fund Source:** If Broward County is your only fund source, accept the default selection. If you have multiple fund sources, select the second radio button and select the appropriate fund source from the pick list.

**Report Type:** Accept default selection.

**Select Report Format:** Choose your preferred format from the pick list. (Note: the appearance of the report will be the same regardless of format selected.) Options are:

- PDF (portable document format); generates an Adobe pdf file
- HTML (hypertext markup language); generates a web page
- RTF (rich text format); like Word without formatting
- XML (Extensible markup language); similar to html but more flexible
- Excel; generates a report in an excel format.

**Note:** Some users have experienced difficulty with the pdf option.

4. Click on Run Report.

## HUD APR Data Report

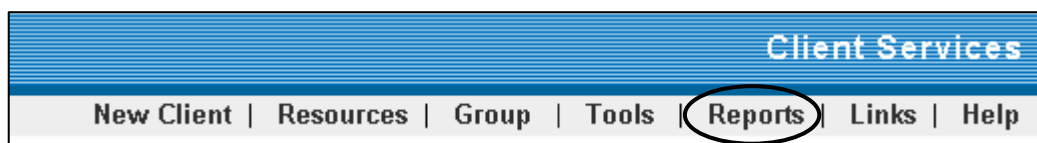
Each year, HUD Annual Progress Reports (APR) are completed and submitted to HUD. These reports track program progress and accomplishments in homeless assistance programs. To support providers in accurately completing HUD APRs, CSMS enables system users to generate a HUD APR Data report that captures the relevant data contained in CSMS and its HMIS functionality (see *your end-user training Participant Guide for more information on the HMIS functionality*).

To accommodate the HUD APR reporting deadlines, the HUD APR Data report should be run as soon as possible after the end of each program's operating year. The HUD APR Data report in CSMS should be run by all HUD-funded and non-HUD funded providers to enable the measurement of outcomes for Broward County contracts.

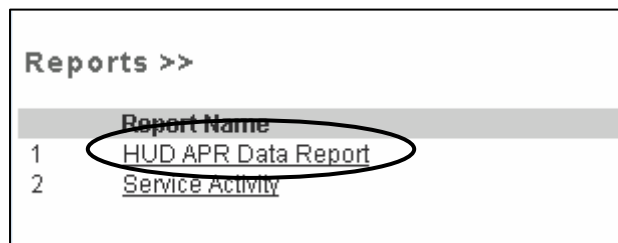
Instructions:

1. Logon to CSMS with your unique User ID and password.
2. Enter the Client Services area by clicking on either of the Client Services links (one appears at the top of the screen, the other in the left navigation bar).

3. Click on the Reports link beneath the Client Services module title, as shown at right.



4. In the window that opens, click on the HUD APR Data Report from the list of reports.



5. The HUD APR Data report screen will display, as shown on the next page.

6. Multiple reporting options will display. By default, the first selection of each option will be pre-filled. Modify your selections, as needed, based on agency requirements. Below are the reporting parameters for creating the HUD APR Data Report.

**Report Dates (From – To):**

Use the same date range to be covered by the HUD APR report.

**Choose Location:**

Select either All locations of this agency, or select the desired single location from the pick list.

**Choose Grant:**

Select either All Grants, or select the desired single grant from the pick list.

The screenshot displays the CSMS Client Services Management System interface. The title bar at the top reads "CSMS Client Services Management System". The main window title is "Reports >> HUD APR Data Report". The form contains the following fields and options:

- Report Date(s) (mm-dd-yyyy):**
  - From:** 12-01-2006 (with a calendar icon)
  - To:** 12-31-2006 (with a calendar icon)
- Choose Location:**
  - ☒ All Locations of this Agency
  - ☐ Select Location (with a dropdown menu)
- Choose Grant:**
  - ☒ All Grant
  - ☐ Select Grant (with a dropdown menu)

At the bottom right of the form are two buttons: "Run Report" and "Close". The status bar at the bottom left of the window shows "EVSCW / 1003 / 1497".

7. Click on Run Report.

## Homeless Services

This section refers to instructions for the completion of two questionnaires required for those agencies and programs providing homeless services.

### CoC Questionnaire

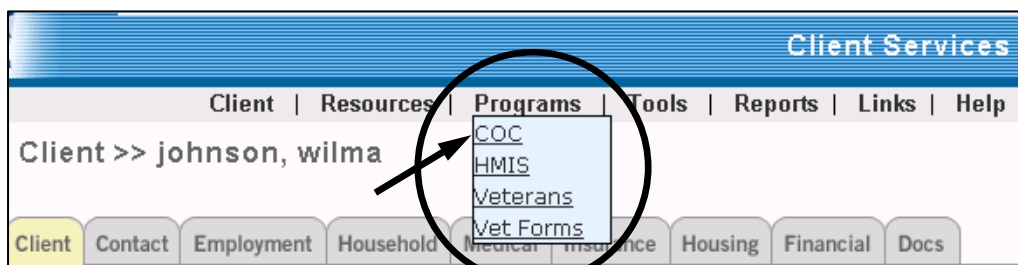
Completing a Continuum of Care (CoC) questionnaire for each household member is an ongoing homeless services requirement. In CSMS, the CoC is an expanded version of the SHP questionnaire, which tracks information for additional programs.

Keep in mind:

- Data from the CoC questionnaires will generate a portion of your agency's APR (Annual Progress Report).
- A CoC questionnaire should be completed for each participant.
- Participant is defined as "single persons and adults in families who received assistance during the operating year."
- Participant does NOT include children or a caregiver who live with the assisted adults.

1. Select the primary client's record from the Client Services' main screen.

2. From the Programs link (located above the primary client's name) view the drop down menu, and click on the CoC menu item from the list (shown at right).



3. In the client pick list, select the name of the first participant from the household member list that displays; Click on Add entry and complete the fields with information relevant to that participant.

Programs >> Continuum of Care

Client Primary Client ▼

Component	Date of Entry	Date of Exit	Months/Days	Remove
<input type="button" value="Add Entry"/>				

- Perform step 3 for each participant (Note: to appear in the client field's pick list, household members must first be added to the client record from the Household tab in Intake).

In the first section of the CoC assessment all fields are mandatory. A few fields will auto populate based on data entered during the client intake.

Section 1 fields to be completed:

**Grant/Contract #:** HUD Grant #

**Consent on File:**

Refers to the client's consent given for the CoC questionnaire (with consent the CoC responses will be accessible to other providers).

**Consent Exp. Date:**

Refers to the client's consent given for the CoC questionnaire.

**Relationship:**

Pick list choices will be limited based on CoC reporting requirements.

**Component:**

A pick list of programs.

**Date of Birth:**

Client's date of Birth.

**Race:** Will auto populate with data from the Intake.

**Gender:** May auto populate with data from the Intake; will only do so if Intake data is either Male or Female.

**Ethnicity:** Client's Ethnicity.

**Prior Living Situation:** Will auto populate with data from the Intake.

**Special Needs:** Multiple choices can be selected from the menu list. To select multiple options, hold down the Ctrl key on your keyboard as you use the mouse to highlight menu items by clicking on them.

**Veteran Status:** Client's veteran status.

**Chronic Homeless:** Pick list choice is the client a Chronic Homeless or not.

**Disabled:** Pick list choice is the client disabled or not.

The screenshot shows a web application interface for a CoC assessment. At the top, there is a navigation bar with tabs: Client, Resources, Programs (highlighted), Tools, Reports, Links, Print, and Help. Below the navigation bar, the client information is displayed: 'Client >> DOE, JOHN' and '1003 / 1497'. The main section is titled 'Programs >> Continuum of Care'. The form contains several fields with dropdown menus and checkboxes. The fields are: Grant/Contract #\* (None), Consent on File\* (None), Consent Exp. Date\* (empty), Relationship\* (None), Component\* (None), Date of Birth\* (10-17-1965), Race\* (None), Gender\* (Male), Ethnicity\* (None), Prior Living Situation\* (None), Special Needs\* (a list box with 'Alcohol abuse', 'Developmental disability', 'Domestic violence', and 'Drug abuse'), Veteran Status\* (None), Chronic Homeless\* (None), and Disabled\* (None). The 'Special Needs' list box is currently open, showing the four options.



In the second section of the CoC screen, Enter Program, every field is mandatory.

**Entry Date:**

**Income at Entry:**

Data entered in this field should be specific to the person, not the household.

**Source of Income:**

Multiple choices can be selected from the menu list. To select multiple options, hold down the Ctrl key on your keyboard as you use the mouse to highlight menu items by clicking on them.

The third section of the CoC screen, Exit Program.

**Exit Date:**

Once Exit date is input all other fields become mandatory.

**Income at Exit:**

Data entered in this field should be specific to the person, not the household.

**Source of Income:**

Multiple choices can be selected from the menu list. To select multiple options, hold down the Ctrl key on your keyboard as you use the mouse to highlight menu items by clicking on them.

**Reason for Leaving:**

A single reason can be selected from the menu list.

**Supportive Services:** Multiple choices can be selected from the menu list. To select multiple options, hold down the Ctrl key on your keyboard as you use the mouse to highlight menu items by clicking on them.

**Destination:** A single choice can be selected from the menu list.

## Enter HMIS Data

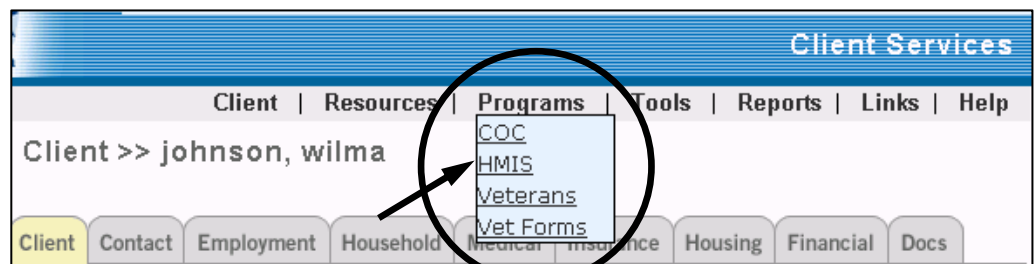
Compliance with Federal regulations requires that an HMIS (Homeless Management Information System) questionnaire be completed for each household member upon admission to a program, when discharged, and any time there is a change in status for the client.

Keep in mind:

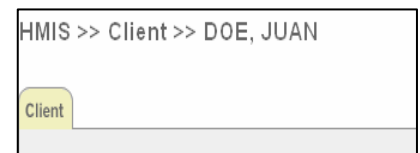
- Questions asked on the HMIS questionnaires come directly from the Federal Register.
- An HMIS questionnaire should be completed for each member of the household.  
(Household members must first be added on the Household tab of the client Intake.)
- Not all tabs will need to be completed at initial intake (as noted below in item 5).

1. Select the primary client's record from the Client Services' main screen.

2. From the Programs link (located above the primary client's name) view the drop down menu, and click on the HMIS menu item from the list (shown at right). Once HMIS is opened only the Client tab will show. Complete the HMIS assessment and hit save.



3. Five tabs will then display, as shown below. Please note the last tab, labeled Discharge which is synonymous with Exit.



4. Continue the questionnaire on the Family tab

Upon initial intake, the following tabs are mandatory:

- Client
- Family
- Children
- Program

Take note of the information on the following pages to assist you in completing the HMIS questionnaire.

## Client Tab

- You must begin the HMIS questionnaire on this tab.
- You must save the data on this tab before accessing other tabs on the HMIS questionnaire.
- The Consent on file field refers to the client's consent given for the HMIS questionnaire.

## Family Tab

- Follow the guidelines for the various question response styles listed on the following page.

## Children Tab

- The questions on this tab must be completed for each child in the household. Some of these questions will only be answered if the child is between the ages of 5 and 17.
- For children to be listed in the Name of Child pick list, they must first be entered on the Household tab of the client Intake (see page 13).
- To begin, select a child from the drop down menu (shown at right). After the questions have been answered for that child, click on the Save button, select the next child, and repeat the process.

Client >> Schneiderman, Norma 177 / 1123

Client Family **Children** Program Level Discharge

Name of Child

22. What is the Social Security Number (SSN)?

1. Social Security Number (xxx-xx-xxxx)

77-Does not have Social Security Number ☐

88-Don't Know ☐

99-Refused ☐

23. What is the birth date?

1. DOB: (mm/dd/yyyy)

88-Don't Know ☐

99-Refused ☐

24. If complete birth date is not known: What Year was your child born in?

1. Year of Birth Date: (yyyy)

88-Don't Know ☐

99-Refused ☐

### **Program Level Tab**

- The questions on this tab must be answered for every household member:
- upon admission to a program
- upon discharge
- at any time there is a change of status (qualifying for disability benefits, household member change, etc.)
- To begin the questionnaire, you must click on the New Questionnaire button.
- The Member Name field refers to the primary client or a household member.
- Questions relating to a disability should only be asked upon initial intake if they are required for program eligibility. Otherwise, they are required once admitted to a program.

Personal questions relate to:

- physical disability
- developmental disability
- HIV/AIDS
- mental health
- substance abuse

(HUD has determined that asking disability questions at Intake, prior to admission to a program, constitutes a violation of Fair Housing Law.)

### **Discharge Tab (Exit)**

- Complete the questions on this tab as a client exits each program or is no longer in the homeless system.
- To begin the questionnaire, you must click on the New Questionnaire button.

## HMIS Question Formats

There are a few different response styles in the HMIS questionnaire. A sample of each is explained below.

### Text field and Radio Button Options

In question #1, either type the client's name into the text field (following the format requested) or click on the appropriate radio button.

Client >> Schneiderman, Norma 177 / 1123

Client Family Children Program Level Discharge

Last Updated By: J Smith Last Updated Date: 10/23/2003

Consent on file: ☐

**01. What is your first, middle, and last name? (legal names only; avoid aliases or nicknames)**

01. Name First, Middle Last suffix

☐ 88-Don't Know

☐ 99-Refused

**02. Have you ever recieved services under a different name?**

☐ 0-No

☐ 1-Yes

☐ 88-Don't Know

☐ 99-Refused

### Checkboxes vs. Radio Buttons

In question #19, which has checkboxes, all the listed items that apply can be checked off.

In question #20, which has radio buttons, only one listed items can be checked off.

**19. Who else is applying for(or receiving) assistance with you?**

1-Jane,M Doe ☒

2-chris,M Doe ☒

3-Sandra,M Doe ☒

88-Don't know ☐

99-Refused ☐

**20. Do you have any children under 18 years of age with you?**

0-No ☐

1-Yes ☒

88-Don't Know ☐

99-Refused ☐

### Follow-up Questions

Many questions have follow-up questions, as shown here in questions 43 and 44.

Another example of a follow-up question is shown on page 71.

**43. Have you [and/or the children who are coming into this program with you] received money from working in the last month.**

☐ 0-No

☐ 1-Yes

☐ 88-Don't Know

☐ 99-Refused

**44. If "yes",then ask the following question: Over the last month,how much money did you [and/or the children who are coming into this program with you] receive from working?**

Amount(\$x,xxx.xx)

☐ 88-Don't Know

☐ 99-Refused

## Bed Tracker Overview

The Bed Tracker is a new Module within Client Services Management System (CSMS). This module consists of 2 parts:

- Bed Inventory
- Bed Availability & Reservation

## Bed Inventory

### Role

Client Services Supervisor

### Functions

- Create/Update/Delete Bed Group
- Create/Update/Delete Bed Units
- Maintain Bed Inventory
- Cancel Reservations
- Check Out Occupant

## Bed Availability and Reservation

### Role

Administrators/Supervisors/Case Worker

### Functions

- Bed Availability Summary
- Bed Occupancy Summary
- Bed Reservation Summary
- Create Update & Cancel Bed Reservation
- Direct Check-In (No prior reservation for the client exists)
- Client Check-In (Check-In is done after reservation)
- Client Check-Out
  - Automated referrals will occur through this screen for New Reservations.
  - Bed Availability will be tracked to the individual Bed Unit level on a real time derived basis.
  - Bed Inventory history may be derived from a Bed Occupancy report.

## Roles & Descriptions

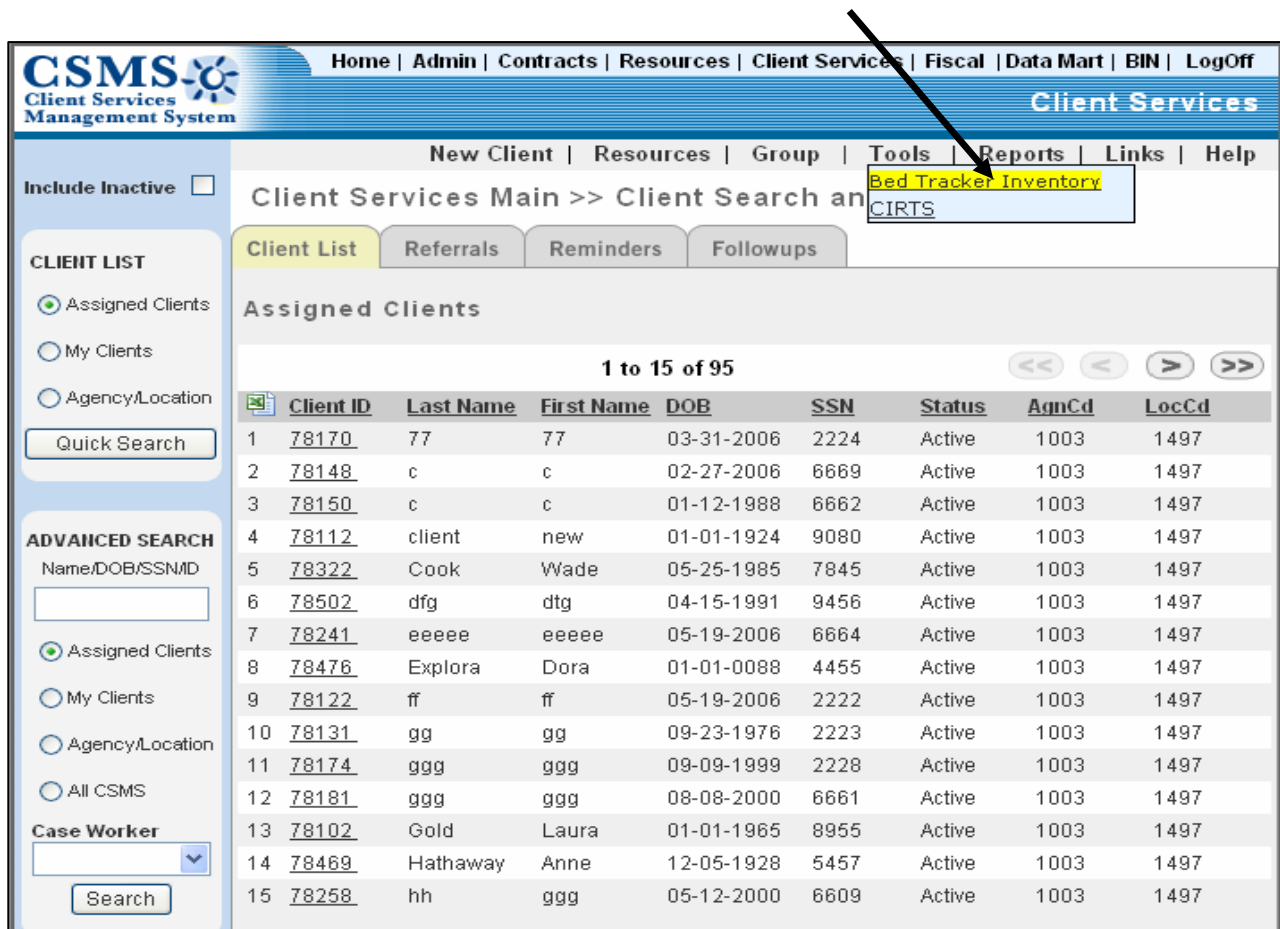
1. **CSMS Administrator** – The CSMS Administrator will be authorized to search, view, and maintain Client Service data for all Agencies.
  - **CS Administrators will not maintain Bed Inventory and therefore do not require permission.**
2. **Client Services Supervisor** – Broward County Internal and External Agency Supervisors can search, view, and maintain all Client Service data for their Agency/Location.
  - **CS Supervisors will have Agency wide scope for Bed Inventory and Tracking functions.**

**Note:** This Agency wide scope is an exception for Bed Inventory and Tracking.
3. **CS Case Worker** - Broward County Internal and External Agency Case Workers will search, view, and maintain Client Service data for those clients that are working or otherwise associated with their agency/location.
4. **CS Client Intake Worker** - Broward County Internal and External Agency Client Intake Workers perform basic Client Intake functions at one particular agency. Client Intake Workers will not normally have Assigned Clients, do not prepare Care Plans, conduct Assessments, etc. They are otherwise identical to CS Case Workers with respect to this CS Main module and are defined as a unique role to handle a difference in the default Client List view display and future potential differences that may arise.
5. **CS QA** – Broward County Internal and External Agency QA's will find and view all Client and Service related data for their Agency.

# Supervisor Guide

## Bed Tracker Inventory

1. Click on Client Services.
2. Hover over the Tools Action Link.
3. Click on Bed Tracker Inventory to view the Inventory of Bed Units.



CSMS Client Services Management System

Home | Admin | Contracts | Resources | Client Services | Fiscal | Data Mart | BIN | LogOff

Client Services

New Client | Resources | Group | Tools | Reports | Links | Help

Client Services Main >> Client Search and

Client List | Referrals | Reminders | Followups

Assigned Clients

1 to 15 of 95

Client ID	Last Name	First Name	DOB	SSN	Status	AggCd	LocCd	
1	78170	77	77	03-31-2006	2224	Active	1003	1497
2	78148	c	c	02-27-2006	6669	Active	1003	1497
3	78150	c	c	01-12-1988	6662	Active	1003	1497
4	78112	client	new	01-01-1924	9080	Active	1003	1497
5	78322	Cook	Wade	05-25-1985	7845	Active	1003	1497
6	78502	dfg	dtg	04-15-1991	9456	Active	1003	1497
7	78241	eeeeee	eeeeee	05-19-2006	6664	Active	1003	1497
8	78476	Explora	Dora	01-01-0088	4455	Active	1003	1497
9	78122	ff	ff	05-19-2006	2222	Active	1003	1497
10	78131	gg	gg	09-23-1976	2223	Active	1003	1497
11	78174	ggg	ggg	09-09-1999	2228	Active	1003	1497
12	78181	ggg	ggg	08-08-2000	6661	Active	1003	1497
13	78102	Gold	Laura	01-01-1965	8955	Active	1003	1497
14	78469	Hathaway	Anne	12-05-1928	5457	Active	1003	1497
15	78258	hh	ggg	05-12-2000	6609	Active	1003	1497



# Bed Inventory Summary

This screen shows the Inventory Summary for your Agency/Location. It also displays the Inventory Status of ALL OPEN BED Units and OVERBOOKED Units.

CSMS Client Services Management System

Home | Admin | Contracts | Resources | Client Services | Fiscal | Data Mart | BIN | LogOff

Bed Tracker Services

Main >> Tools >> Bed Inventory Summary

Bed Group List

ALL OPEN BEDS

Bed Group Name	Agency	Edit Inventory	Delete
<a href="#">Bed Group Testing1</a>	BC HS Elderly and Veterans Services Division	<a href="#">1/1</a>	
<a href="#">BedGroup0</a>	BC HS Elderly and Veterans Services Division	<a href="#">5/2</a>	
<a href="#">BedGroupTest</a>	BC HS Elderly and Veterans Services Division	<a href="#">2/1</a>	
<a href="#">TestBed group</a>	BC HS Elderly and Veterans Services Division	<a href="#">0/0</a>	<a href="#">Delete</a>

OVERBOOKED BEDS

Add Bed Group

ETRAIN1 / 1003 / 1497

Click the Add Bed Group Button to add a bed.

1. Click on Delete to delete the Bed Group record.
2. Click on the hyperlink under Edit/Inventory to Edit the Open Beds or Overbooked Beds.

Edit Inventory
<a href="#">1/1</a>
<a href="#">2/1</a>
<a href="#">3/1</a>
<a href="#">0/0</a>
<a href="#">0/0</a>

4. Click on the existing Bed Group Name to edit the Bed Group Details.

Bed Group Name
<a href="#">1 test</a>
<a href="#">2 test1</a>
<a href="#">3 test2</a>
<a href="#">4 test4</a>
<a href="#">5 test5</a>

## Create a Bed Group

- 1) Click on Add Bed Group.
- 2) The screen below will pop up.
- 3) Click Save to create the new Bed Group.
- 4) Look for a confirmation message "Bed Group created successfully".
- 5) Click on the Close Button.
- 6) You just created a new Bed Group in the Bed Inventory.

**NOTE:** All fields with an \* are mandatory and must be entered.

The screenshot shows a web browser window titled "http://localhost:8989 - Bed Group Details - Microsoft Internet Explorer". The page content is titled "Bed Group Details" and contains a form with the following fields:

- Agency\*: BC HS Elderly and Veterans Services Division (dropdown menu)
- Location\*: Elderly and Veterans Services Division (dropdown menu)
- Bed Group Name\*: (text input field)
- Phase\*: (dropdown menu)
- Bed Unit Type\*: (dropdown menu)
- Sub Population\*: (dropdown menu)
- Reservation Expiration Days\*: (text input field)
- Reservation Expiration Time: (text input field with a clock icon)
- Comment: (text area with up/down arrows)

At the bottom of the form are two buttons: "Save" and "Cancel". The browser's status bar at the bottom shows "Done" and "Internet".

## Edit Bed Group Details

- 1) From the Bed Inventory window click on the Bed Group Name.
- 2) This will pop up the Bed Group Details window.
- 3) Edit information here and click on Save.
- 4) Look for a confirmation message "Bed Group Updated Successfully".
- 5) Click on the Close button.

The screenshot displays the CSMS Client Services Management System interface. The top navigation bar includes links for Home, Admin, Contracts, Resources, Client Services, Fiscal, Data Mart, BIN, and LogOff. The main content area shows the 'Bed Inventory' window with a list of bed groups. 'BedGroup4' is highlighted, and an arrow points to it. A 'Bed Group Details' window is open, showing the following information:

- Agency\*: BC HS Elderly and Veterans Services Division
- Location\*: Elderly and Veterans Services Division
- Bed Group Name\*: BedGroup4
- Phase\*: Emergency
- Bed Unit Type\*: Families with Children Only
- Sub Population\*: Chronically Homeless
- Reservation Expiration Days\*: 4
- Reservation Expiration Time: [Time Picker]
- Comment: Fourth BedGroup


Buttons for 'Save' and 'Cancel' are visible at the bottom of the details window. The status bar at the bottom shows 'Done' and 'Internet'.

## Edit Bed Inventory

1) Click on the Edit Inventory record to view the Bed Inventory Details.

**NOTE:** If there is no consent you cannot see the Occupant's name.

Edit Inventory
1/1
2/1
3/1
0/0
0/0



[Home](#) | [Admin](#) | [Contracts](#) | [Resources](#) | [Client Services](#) | [Fiscal](#) | [Data Mart](#) | [BIN](#) | [LogOff](#)

Bed Tracker Services

Main >> Tools >> Bed Inventory Details

Agency - Location:

1003 - 1497

Bed Group Name:

BedGroup0

Phase - Bed Unit Type:

AfterCare - Families with Children Only

Sub Population:

Chronic Substance Abuse

Reservation Expiration Days:

2

Reservation Expiration Time:

Add / Update Bed Unit

Bed-Unit No.

No. of Bed

Overbook

Inventory Status

Save

Bed/Unit #	# Beds	Overbook	Inv Status	Ava Status	Occupant	Express	Detail	Delete
1	1		Open	Occupied	Wade Cook	CheckOut	Edit	
2	1	OB	Open	Occupied	clara bell	CheckOut	Edit	
2	2		Internal	Available			Edit	Delete
3	3	OB	Open	Occupied	Service Activity	CheckOut	Edit	
99	9		Internal	Available			Edit	Delete

**Inventory Summary**  
Open Bed Units = 5  
Closed Bed Units = 0  
Overbook Bed Units = 2  
Internal Bed Units = 2

**Availability Summary**  
Occupied Bed Units = 3  
UnOccupied Bed Units = 2  
Reservations = 0  
Overbook Reservations = 0  
Reservation Referral = 0

Inventory Summary

Screen Print

ETRAIN1 / 1003 / 1497

**NOTE:** The column heading i.e. Bed/Unit #, # Beds, Overbook, Inv Status, Ava Status, Occupant, Express, Detail and Delete gives the capability to do the sorting.

Click on Inventory Summary button to go to Bed Inventory Summary screen. It takes you back to the Bed Inventory Summary Screen from where you can add/edit/delete Bed Groups.

### Create Bed Unit:

- 1) Enter the Bed Unit Number, Number of Bed, Inventory Status & Overbook (Optional check this only if you want the bed to be overbooked).
- 2) Click the SAVE Button to Create a New Bed Unit.

**Add / Update Bed Unit**

Bed-Unit No.  No. of Bed  Overbook ☒ Inventory Status

Bed/Unit #	# Beds	Overbook	Inv Status	Ava Status	Occupant	Express	Detail	Delete
3	4		Internal	Reserved	Wade Cook	Cancel	Edit	
4	4	OB	Open	Available			Edit	Delete

### Edit Bed Unit:

- 1) Click on the Edit link.
- 2) Edit details as required.
- 3) Click on the Save button.

**Add / Update Bed Unit**

Bed-Unit No.  No. of Bed  Overbook ☐ Inventory Status

Bed/Unit #	# Beds	Overbook	Inv Status	Ava Status	Occupant	Express	Detail	Delete
3	4		Internal	Reserved	Wade Cook	Cancel	Edit	
4	4	OB	Open	Available			Edit	Delete

### Delete Bed Unit:

- 1) Click on the Delete link to delete the Bed Unit.

Bed/Unit #	# Beds	Overbook	Inv Status	Ava Status	Occupant	Express	Detail	Delete
3	4		Internal	Reserved	Wade Cook	Cancel	Edit	
4	4	OB	Open	Available			Edit	Delete

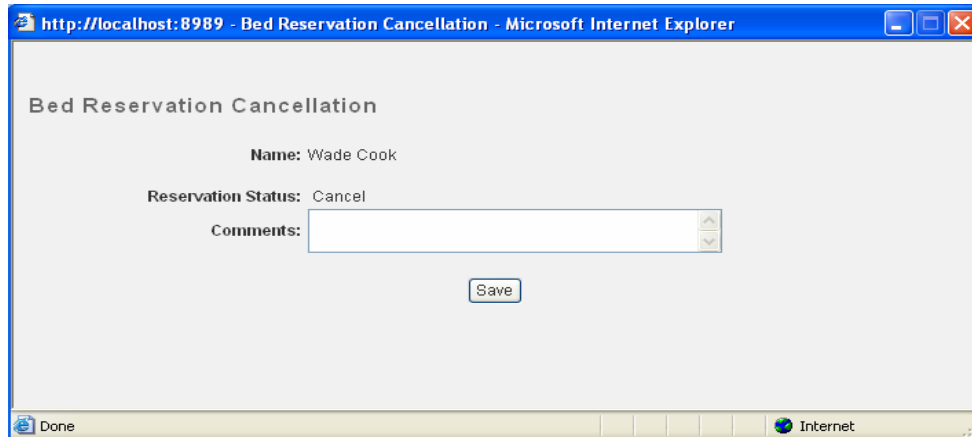
**NOTE:** Only the Available Bed Unit can be deleted.

### Cancel Reservation:

- 1) Click on the Cancel link and a Bed Reservation Cancellation window will appear.

Bed/Unit #	# Beds	Overbook	Inv Status	Ava Status	Occupant	Express	Detail	Delete
3	4		Internal	Reserved	Wade Cook	<a href="#">Cancel</a>	<a href="#">Edit</a>	
4	4	OB	Open	Available			<a href="#">Edit</a>	<a href="#">Delete</a>

- 2) Add any comments and click save button to cancel the reservation.

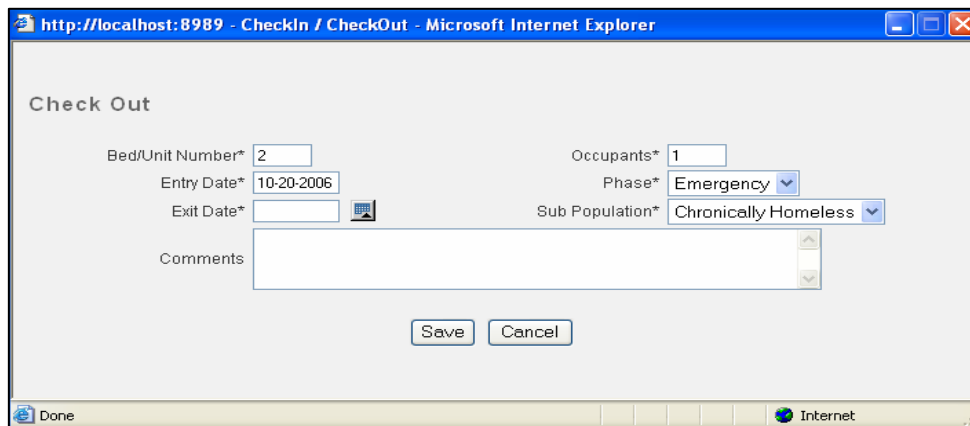


### Check-Out Occupant:

- 1) Click on the CheckOut link.

Bed/Unit #	# Beds	Overbook	Inv Status	Ava Status	Occupant	Express	Detail	Delete
1	1		Open	Occupied	Wade Cook	<a href="#">CheckOut</a>	<a href="#">Edit</a>	
2	2		Internal	Available			<a href="#">Edit</a>	<a href="#">Delete</a>
3	3	OB	Open	Available			<a href="#">Edit</a>	<a href="#">Delete</a>

- 2) A Check-Out window will pop-up.



- 3) Enter the required information and click Save.
- 4) A confirmation message will come that "Client is checked-out successful".

## Client Reservation Information:

If the available status is reserved or reserved referral then click on the occupant's name to view the Client Reservation Information.

Bed/Unit #	# Beds	Overbook	Inv Status	Ava Status	Occupant	Express	Detail	Delete
1	1		Open	Occupied	Wade Cook	CheckOut	Edit	
2	1	OB	Open	Reserved	clara bell	Cancel	Edit	
2	2		Internal	Available			Edit	Delete
3	3	OB	Open	Occupied	Service Activity	CheckOut	Edit	
99	9		Internal	Available			Edit	Delete

Client Information - Microsoft Internet Explorer

### Client Reservation Information

**FirstName:** clara  
**LastName:** bell  
**Address1:**  
**Address2:**  
**City:**  
**State:**  
**ZipCode:**  
**Contact #:** 9542768865  
**Case Manager:** ETRAIN1  
**Agency:** BC HS Elderly and Veterans Services Division  
**Location:** Elderly and Veterans Services Division

Close

## Administrators/Supervisors/Case Worker Guide

---

### Bed Availability and Reservation

Click on the Bed Tracker from the left navigation panel from the Client Service screen.

The screenshot displays the CSMS Client Services Management System interface. The top navigation bar includes links for Home, Admin, Contracts, Resources, Client Services, Fiscal, Data Mart, BIN, and LogOff. The main header area shows 'Client Services' and a secondary navigation bar with links for Client, Resources, Programs, Tools, Reports, Links, Print, and Help. The left navigation panel lists various functions: Intake, Assessment, Eligibility, Case Note, Care Plan, Activity, Discharge, History, Bed Tracker (highlighted with a red circle), and Main. The main content area is titled 'Client >> Cook, Wade' with a reference number '1003 / 1497'. Below this, there are tabs for Client, Contact, Employment, Household, Medical, Insurance, Housing, Financial, and Docs. The form fields include: SSN (856457845), Alien #, Initial Intake\* (01-01-2006), Consent (checked), Exp. Date (06-29-2006) with a 'Consent Expired' warning, Client ID (78322), Episode # (1), Ep. Start Date (01-01-2006), Ep. End Date, Referred Date, and By. There are also buttons for 'Browse...', 'Upload Photo', and 'Print this page'.

**NOTE:** Client Intake should have taken place in order to make a bed reservation for that client.



# Bed Occupancy Summary

This screen shows the Bed Occupancy Summary and allows Checkout of a client.

The screenshot shows the CSMS Client Services Management System interface. The top navigation bar includes links for Home, Admin, Contracts, Resources, Client Services, Fiscal, Data Mart, BIN, and LogOff. The main header displays 'Bed Tracker Services'. On the left, a sidebar menu lists various functions: Intake, Assessment, Eligibility, Case Note, Care Plan, Activity, Discharge, History, Bed Tracker (highlighted), and Main. The main content area shows 'Client >> Cook, Wade' with a count of '1003 / 1497'. Below this, there are two tabs: 'Occupancy Summary' (active) and 'Reservation Summary'. The 'Bed Occupancy Summary' section contains a table with the following data:

	Agency	Bed Group Name	Phase	Entry Date	Exit Date	Check Out
1	BC HS Elderly and Veterans Services Division	test1	Emergency	08-06-2006		<a href="#">CheckOut</a>

At the bottom of the page, the text 'ETRAIN1 / 1003 / 1497' is visible.

## Check – Out Occupant:

- 1) Click on CheckOut to retrieve a client.
- 2) Fill in the Exit Date and click Save.

The screenshot shows a web browser window titled 'http://localhost:8989 - CheckIn / CheckOut - Microsoft Internet Explorer'. The page content is titled 'Check Out' and contains the following form fields:

- Bed/Unit Number\*: 2
- Entry Date\*: 10-20-2006
- Exit Date\*: (empty)
- Comments: (text area)
- Occupants\*: 1
- Phase\*: Emergency (dropdown menu)
- Sub Population\*: Chronically Homeless (dropdown menu)

At the bottom of the form are 'Save' and 'Cancel' buttons. The browser's status bar at the bottom shows 'Done' and 'Internet'.

## Bed Reservation Summary

This screen shows the Bed Reservation Summary of a client in different agencies and locations. Also, from this screen you can Check-In, Direct Check-In or make a new Reservation for a client.

Resources | Programs | Tools | Reports | Links | Help

Client >> Cook, Wade 1003 / 1497

Intake  
Assessment  
Eligibility  
Case Note  
Care Plan  
Activity  
Discharge  
History  
Bed Tracker  
Main

Occupancy Summary Reservation Summary

Bed Reservation Summary

Agency	Bed Group Name	Phase	Bed/Unit #	Start Date	End Date	Check In	Details
BC HS Elderly and Veterans Services Division	BedGroup0	Transitional	1	12-01-2006	12-12-2006		<a href="#">Details</a>
BC HS Elderly and Veterans Services Division	BedGroup2	Emergency	2	12-12-2006	12-20-2006		<a href="#">Details</a>
BC HS Elderly and Veterans Services Division	BedGroup3	LowDemand	3	12-10-2006	12-15-2006	<a href="#">Check In</a>	<a href="#">Details</a>

Direct Check In New Reservation

**NOTE:** If a client is checked in you cannot edit or cancel an existing reservation.

### Direct Check-In:

- 1) Click on Direct Check-In if there is no existing reservation for a client.
- 2) A Direct Check-In screen will pop up.
- 3) Fill in the necessary information and click on the Save Button. A confirmation message will appear "Client is directly Checked-In successfully".

http://localhost:8989 - Direct CheckIn - Microsoft Internet Explorer

Direct CheckIn

Bed/Unit Number\* [dropdown]  
Entry Date\* [text]  
Sub Population\* [dropdown]  
Comments [text area]

Occupants\* [text]  
Phase\* [dropdown]

Direct CheckIn

Save Cancel

Done Internet

- 4) Click on Close Button to close the window after saving the Direct Check-In information. A record will show in the occupancy summary screen stating that the client is already occupying a bed.

### **Check-In:**

- 1) If there is an existing reservation for a client then click on the Check-In link and a Check-In screen will pop up.
- 2) Enter the Check-In date and click on the Save button. A confirmation message will appear stating that "Client is Checked-In successfully".
- 3) After Check-In, click on the Close button and close the window. The Reservation Summary screen will be updated.

BC HS Elderly and Veterans Services Division	BedGroup3	LowDemand	3	12-10-2006	12-15-2006	<a href="#">Check In</a>	<a href="#">Details</a>
---	-----------	-----------	---	------------	------------	--------------------------	-------------------------

Check In

Bed/Unit Number\* 3

Entry Date\*

Sub Population\* General or Mixed population

Occupants\* 2

Phase\* LowDemand

Comments  
New Reservation

Save Cancel


Done Internet

### **Edit Reservation:**

Click on the Details link to View/Update/Cancel an existing Bed Reservation.

**NOTE:** Edit/Cancel of Existing Bed Reservation is available only if the client is not Checked-In.

BC HS Elderly and Veterans Services Division	BedGroup3	LowDemand	3	12-10-2006	12-15-2006	<a href="#">Check In</a>	<a href="#">Details</a>
---	-----------	-----------	---	------------	------------	--------------------------	-------------------------



[Home](#) | [Admin](#) | [Contracts](#) | [Resources](#) | [Client Services](#) | [Fiscal](#) | [Data Mart](#) | [BIN](#) | [LogOff](#)

[Resources](#) | [Programs](#) | [Tools](#) | [Reports](#) | [Links](#) | [Help](#)

Intake
Assessment
Eligibility
Case Note
Care Plan
Activity
Discharge
History
Bed Tracker
Main

Occupancy Summary
Reservation Summary

Reservation Details

Start Date\*

12-10-2006

End Date\*

12-15-2006

Reservation Count

2

Comments

New Reservation

Bed Group

BedGroup3

Bed/Unit #

3

Phase

LowDemand

Bed/Unit type

Mixed Populations

Sub Population

General or Mixed population

Reservation Status

Reservation Comments

Reservation Summary

Save

Cancel Reservation

## New Reservation:

Click on the New Reservation button to see available beds and make a new reservation.

New Reservation

88

## Bed Availability Summary

Click on the New Reservation button on Bed Reservation Summary screen, this screen shows a list of available beds before making a New Reservation.

Intake

Assessment

Eligibility

Case Note

Care Plan

Activity

Discharge

History

Bed Tracker

Main

Resources | Programs | Tools | Reports | Links | Help

Client >> Cook, Wade1003 / 1497


Occupancy SummaryReservation Summary

Bed Reservation Summary

Agency	Bed Group Name	Phase	Bed/Unit #	Start Date	End Date	Check In	Details
BC HS Elderly and Veterans Services Division	BedGroup0	Transitional	1	12-01-2006	12-12-2006		<a href="#">Details</a>
BC HS Elderly and Veterans Services Division	BedGroup2	Emergency	2	12-12-2006	12-20-2006		<a href="#">Details</a>
BC HS Elderly and Veterans Services Division	BedGroup3	LowDemand	3	12-10-2006	12-15-2006	<a href="#">Check In</a>	<a href="#">Details</a>

Direct Check In

New Reservation



[Home](#) | [Admin](#) | [Contracts](#) | [Resources](#) | [Client Services](#) | [Fiscal](#) | [Data Mart](#) | [BIN](#) | [LogOff](#)

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[Intake](#)

[Assessment](#)

[Eligibility](#)

[Case Note](#)

[Care Plan](#)

[Activity](#)

[Discharge](#)

[History](#)

[Bed Tracker](#)

[Main](#)

Client >> Montano, Joe

0242 / 1642

Occupancy Summary

Reservation Summary

Bed Availability Summary

	Agency	Bed Group Name	Phase	Type	Sub Location	Available (Overbook)	Reserve Bed/Unit
1	BC HS Elderly and Veterans Services Division	BedGroup0	AfterCare	Families with Children Only	Chronic Substance Abuse	1 (0 OB)	Internal Use Only
2	BC HS Elderly and Veterans Services Division	BedGroup0	AfterCare	Families with Children Only	Chronic Substance Abuse	1 (1 OB)	Reserve

Reservation Summary

BOC / 0242 / 1642

### Reserve a Bed:

Click on the Reserve link next to the available bed and a Bed Reservation Details Screen will show in order to make the Reservation.

2	BC HS Elderly and Veterans Services Division	test1	Emergency	Mixed Populations	Not Applicable	1 (0 OB)	<a href="#">Reserve</a>
---	--	-------	-----------	-------------------	----------------	----------	-------------------------

90

## Bed Reservation

This screen allows you to make a New Reservation for a client.

Click on the Save button to create a new reservation. A confirmation message appears stating “Bed Reservation Created Successfully”.

The screenshot displays the CSMS Client Services Management System interface. The top navigation bar includes links for Home, Admin, Contracts, Resources, Client Services (highlighted), Fiscal, Data Mart, BIN, and LogOff. Below this, a secondary bar shows Resources, Programs, Tools, Reports, Links, and Help. The main header area displays 'Client >> Cook, Wade' and '1003 / 1497'. A left sidebar contains a menu with options: Intake, Assessment, Eligibility, Case Note, Care Plan, Activity, Discharge, History, and Main. The central content area is titled 'Reservation Details' and features a 'Reservation Summary' tab. The form includes fields for Start Date\*, End Date\*, Reservation Count, Comments, Bed Group (set to 'BedGroup2'), Bed/Unit # (set to '4'), Phase (set to 'LowDemand'), Bed/Unit type (set to 'Not Applicable'), Sub Population (set to 'General or Mixed population'), Reservation Status, and Reservation Comments. At the bottom right of the form are buttons for 'Availability Summary', 'Save', and 'Clear'. The footer of the page shows 'ETRAIN1 / 1003 / 1497'.

CSMS Client Services Management System

Home | Admin | Contracts | Resources | **Client Services** | Fiscal | Data Mart | BIN | LogOff

Bed Tracker Services

Resources | Programs | Tools | Reports | Links | Help

Client >> Cook, Wade 1003 / 1497

Occupancy Summary **Reservation Summary**

**Reservation Details**

Start Date\*  End Date\*

Reservation Count

Comments

Bed Group  Bed/Unit #

Phase  Bed/Unit type

Sub Population

Reservation Status

Reservation Comments

Availability Summary Save Clear

ETRAIN1 / 1003 / 1497

# 8

## Answer Key to Skill Builders

### Skill Builder 1

1. In the Client List Quick Search select the radio button in front of Agency/Location.
2. Check box next to Include Inactive.
3. Click on the Quick Search button.
4. On the List that displays, click on the Client ID hyperlink.

### Skill Builder 2

1. From the Client Services' main screen, click on New Client near the top of the screen.
2. To Retrieve: Enter SSN or Alien #; click on Retrieve.  
Assuming no results display: enter data in fields, click on Save.
3. Click on Contact tab; be sure to check billing contact in Primary Contact region of the screen; if applicable enter other data; click on Save.
4. Click on Employment tab; click on Add Job button; fill fields; be sure to check benefits checkbox if applicable; click on Save.
5. Click on Household tab; click on Add Member button; fill fields; note if information is shareable (there are two shareable buttons); click on Save.
6. Click on Medical tab; click on Medication button (bottom of screen); fill fields in pop-up window; click on Save; click on Close in pop-up window.
7. SKIP INSURANCE TAB.
8. **Answer:** Yes. The start date for the current address. Click on the street address hyperlink and add start date of current address. Click on Save.
9. Click on Financial tab; enter data in income, expense, or asset fields; enter dollar amount; click on Add Income or Add Expense or Add Asset. Continue for other categories; CLICK ON SAVE BUTTON (at bottom of screen).
10. **Answer:** Docs tab.

### Skill Builder 3

1. From the Client Services' main screen, click on the Client ID hyperlink of the client created in Skill Builder 2; click on Activity in the left navigation panel.
2. On the Add/Edit tab, fill in the fields; click on Save.
3. From Activity History screen click on Add/Edit tab.
4. Change Activity Type to Referral, fill in fields. Click on Save.
5. Once Referral is saved by default.
6. Click on Main in the left navigation panel.



#### Skill Builder 4

1. From the Client Services' main screen, click on the Client ID hyperlink of the client created in Skill Builder 2 click on Case Note in the left navigation panel.
2. Click on Add Note button; fill in the fields; be sure to add a reminder date; click on Save.
3. From the Case Notes summary screen, click on the hyperlink of the case note you want to append; click on the Append to Note button; type the additional information into the note field; click on the Save button.

#### Bonus Question Answers:

- A. The reminder will display on the Reminders tab on the Client Services' main screen.
- B. Seven days prior and seven days after the date indicated in the reminder date field.

#### Skill Builder 5

1. From the Client Services' main screen, click on the Client ID hyperlink of the client created in Skill Builder 2; click on Assessment in the left navigation panel.
2. Click on Standard Assessment (it should display in the Assessments region of the screen).
3. Enter answers (making them up on behalf of client for the activity) for ALL questions; click on Finish.
4. Click on the Assessment Summary tab.
5. On the Assessment Summary tab, click on the Print button (at the bottom of the screen).

#### Skill Builder 6

Select the client record by clicking on the Client ID hyperlink from the Client Services' main screen; click on Care Plan in the left navigation panel; then:

1. **Add a Problem**  
Click on Add Problem button  
Fill fields  
Click on Save button
2. **Attach a Note to the Problem**  
Scroll down to the bottom of the screen  
Click on Add Note button  
Fill fields  
Click on Save button
3. **Add an Outcome**  
Click on Add Outcome button  
Fill fields  
Click on Save button
4. **Add a Service**  
Click on Add Service button  
Fill fields  
If EVSD: must complete optional costing information section  
Click on Save button

5. **Add an Action Step**

Click on Go To Outcome button

Click on Add Action Step button

Fill fields

Click on Save button

6. **View the Care Plan just Created**

Click on Care Plan Main button (left navigation panel's Care Plan link will get you there too)

Skill Builder 7

1. Select the radio button in front of (i) Donor Services; click on Delete Selected.

2. Select the radio button in front of Minimal reading, writing skills...; click on Delete Selected.

\* **Note:** Deleting one entry takes with it all the associated entries beneath it. If you delete something from the database, it is GONE; there is no undo button.

## Quick Reference Tool

**Purpose:** Locate where in this workbook you can find information and directions related to the tasks listed below.

---

For Help Performing this Task:	Look on Page(s)
A. Logging on to CSMS	5
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**HUMAN SERVICES DEPARTMENT**  
**Information Systems Security Policies**  
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# Information Systems Security Policies

## 1.0 Introduction

Policies are high-level statements that provide individuals with a basis for making decisions about the operations of an organization.

The Human Service Department ("Department") runs major Information Technology (IT) systems. The nature of services provided or contracted by the Department requires that client confidentiality and privacy rights are adequately protected. Additionally, a high level of need exists for exchanging data between agencies in order to make decisions. Thus, these security policies have been developed for the aforementioned purposes and comply with the Broward County Board of County Commissioners and Office of Information Technology.

## 1.1 Purpose

The purpose of these security policies is to inform Human Service Department ("Department") Client Services Management System (CSMS), ECHO, PICS, BIN (all hereinafter referred to as "Automated Systems") end-users, customers, contractors, non-profits, administrators, staff, and managers (all hereinafter referred to as "Users") of their obligation to protect the County's infrastructure and information assets. Contractors include any agency that maintains a contract, interlocal agreement or memorandum of understanding with the Department or who subcontracts with an agency which maintains a contract or memorandum of understanding with the Department. Staff includes all staff of the Department and staff of any Contractor. The policies specify the DOs and DON'Ts necessary to follow security implementation best practices.

A secondary purpose of these policies is to provide a guideline for audit compliance of computer and systems and networks and compliance with the Department.

## 1.2 Scope

IT Security is the responsibility of every Information Systems User. As such, all Department Information Systems Users must be informed of the information technology security policies. Contractors must implement the policies defined in this document. Contractor-level policies must be based on the high-level policy statements presented in this document.

It is the policy of Department that:

- Department information resources are valuable assets of the Department and, as such, must be protected to some degree from unauthorized disclosure, modification, or destruction, whether accidental or intentional. Determining the degree of protection of assets and implementing appropriate controls is a management function from the Contractor.
- Electronic protected health information shall be protected following the Health Insurance Portability and Accountability Act of 1996 (HIPAA) Policy as outlined herein.
- In the event a disaster or catastrophe disables information processing functions, the ability to continue critical Contractor services must be assured.
- Security controls required by law must be complied with and Department standards, where applicable, must be met or exceeded. The expense of security enhancements beyond the minimum requirements must be appropriate to the value of the assets being protected.
- Security awareness and training is one of the most effective means of reducing vulnerability to errors and fraud and must be continually emphasized and reinforced.
- Consequences of non-compliance with these Policies can include: suspension of access privileges, and breach of contract for cause.

## 1.3 Objectives

The objectives of this document are to establish Department-wide Information Technology (IT) Security Policies that:

- Prevent the misuse, denial and loss of information assets
- Establish responsibility and custodial roles for the protection of information
- Prevent statutory or regulatory violations
- Preserve department management options in the event of loss or misuse of public and private information.

- Clarify Information Systems (IS) User responsibilities and duties regarding protection of information resources
- Enable managers and IS User to make good decisions about information security
- Coordinate efforts of Department contracted providers to provide consistent information.

Achievement of these objectives will ensure the confidentiality, integrity and availability of the information entrusted to us.

## 1.4 References

County Administrative Code, the OIT Handbook and the Health Insurance Portability and Accountability Act of 1996 (HIPAA) were used in the preparation of this document.

## 1.5 Security policies

Policies are grouped into three categories:

- Administrative
- Technical
- Program

## 2.0 Administrative Policies

The following policies are administrative in nature and pertain to DOs and DON'Ts. Proper use of IT resources and other network services are covered. These policies are in direct support of Security Administration Processes.

### 2.1 Information Security Awareness Policy

#### **Policy purpose**

This Policy will ensure that all IS Users are informed and aware of the importance of protecting the sensitive information held by the Department prior to being granted access (via a User Confidentiality Security Agreement attached hereto as Exhibit A) to any Department Automated System. This will also ensure that IS Users are aware of information security threats and concerns, and are equipped to support the Department's IT security policies in the course of their normal work.

#### **Policy Scope**

The policy establishes the requirement for security awareness and education of all IS Users that are granted access to the Department's information systems and assets. Information assets include any valuable or sensitive information in any form created, gathered or stored and used as a component of a business process.

#### **Policy Description**

IS Users will be informed of security procedures and the correct use of information processing facilities to minimize possible security risks. This includes security requirements, legal responsibilities and business controls, as well as training in the correct use of information processing facilities e.g. log-on procedures, use of applications, if applicable, before access to information or services is granted. The following steps must be followed:

- All Contractors shall ensure that their IS users under their supervision are aware of the Department's current IT security policies.
- All Contractors shall inform new full-time and part-time users, employees, temporary workers, contractors, vendors and consultants (IS Users) of the importance of information security and their role in protecting valuable and sensitive information systems and assets during their orientation.
- IS Users shall acknowledge in writing that they have been informed and are aware of the policies.

### 2.2 IT Security Incident Handling Policy

#### **Policy purpose**

This policy describes the procedure for dealing with computer security incidents and provides Department support personnel with information on what to do if they discover a security incident. Another purpose is to minimize the damage from security incidents and malfunctions, and to monitor and learn from such incidents.

#### **Policy Scope**

The term incident in this policy is defined as any irregular or adverse event that occurs on any part of the Human Service Department Information Systems. Some examples of possible incident categories include: compromise of system integrity; denial of system resources; illegal access to a system (either a penetration or an intrusion); unauthorized access to confidential data; malicious use of system resources, or any kind of damage to a system.

The steps involved in handling a security incident are categorized into five stages:

- Protection of the system
- Identification of the problem
- Containment of the problem
- Eradication of the problem
- Recovering from the incident and the follow-up analysis

Appropriate steps will be taken against any user who violates the terms of this policy.

## Policy Description

IS Users shall note and report any observed or suspected security weaknesses in, or threats to, systems or services. They should report these matters either to their immediate supervisors or the Contractor's local administrator who in turn should report to the Department IT Information Systems Manager. IS Users should not attempt to prove a suspected weakness as testing weaknesses might be interpreted as a misuse of the system.

A computer security incident can occur at any time of the day or night. Thus, time and distance considerations in responding to the incident are very important.

IT security incidents are classified into three levels depending on severity:

- Level 1 incidents are the most serious and should be handled immediately or as soon as possible. Level incidents must be escalated to the Department Information Systems Manager or designee.
- Level 2 incidents are less serious but should still be handled the same day that the event occurs (usually within two to four hours of the event). Level 2 incidents should be escalated to the Department Information Systems Manager or designee.
- Level 3 incidents are the least severe, but it is recommended that they be handled within one working day after the event occurs. Level 3 incidents should be escalated to the Department Information Systems Manager or designee.

Logging of information is critical in situations that may eventually involve federal authorities and the possibility of a criminal trial. The implications from each security incident are not always known at the beginning of, or even during, the course of an incident. Therefore, a separate written log shall be kept by each member of the incident handling team for all security incidents that are under investigation.

Each log entry shall contain the date and time of the action being documented by that log entry. The information in the log must not be altered, so the log must be securely stored in a location with restricted access so that it cannot be altered by others. Manually written logs are preferable since on-line logs can be altered or deleted. Entries made in the log shall be handwritten in blue or black ink.

Upon successful completion of the incident handling, all logs shall be forwarded to the Contractor local administrator who will ensure that the original is copied for maintaining in the Contractor's files before forwarding the original to the Department Information Systems Manager or designee. The types of information that shall be logged are:

- Dates and times of incident-related phone calls
- Dates and times when incident-related events were discovered or occurred
- Amount of time spent working on incident-related tasks
- Actions taken by the Contractor
- People Contractor has contacted or have contacted Contractor
- Names of systems, programs or networks that have been affected

Although virus and worm incidents are very different, the procedures for handling each are very similar aside from the initial isolation of the system and the time criticality. Worms and some viruses are self-replicating and can spread to hundreds of machines in a matter of minutes, thus, time is a critical factor when dealing with a worm attack.

- Isolate the System

Isolate infected system(s) from the remaining Department network as soon as possible. If a worm is suspected, then a decision must be made to disconnect the Department from the outside world. Network isolation is one method to stop the spread of a worm, but the isolation can also hinder the clean-up effort since the Department will be disconnected from sites which may have patches. The Department Information Systems Manager or designee must authorize the isolation of the Department network from the outside world. Log all actions. Do not power off or reboot systems that may be infected. There are some viruses that will destroy disk data if the system is power-cycled or re-booted. Also, re-booting a system could destroy needed information or evidence.

- Notify Appropriate People as outlined above.
- Identify the Problem



Try to identify and isolate the suspected virus or worm-related files and processes. Prior to removing any files or killing any processes, a snapshot of the system must be taken and saved. Below is a list of tasks to make a snapshot of the system:

- Save a copy of all system log files.
- Save a copy of the root history file.
- Capture all process status information into a file.

If specific files that contain virus or worm code can be identified, then move those files to a safe place or archive them to tape and then remove the infected files. Also, get a listing of all active network connections

- Contain the virus or worm

All suspicious processes shall now be halted and removed from the system. Make a full dump of the system and store in a safe place. The tapes should be carefully labeled so they will not be used by unsuspecting people in the future. Then remove all suspected infected files or worm code. In the case of a worm attack, it may be necessary to keep the system(s) isolated from the outside world until all Department systems have been inoculated and/or the other Internet sites have been cleaned up and inoculated. Log all actions.

- Inoculate the System(s)

Implement fixes and/or patches to inoculate the system(s) against further attack. Prior to implementing any fixes, it may be necessary to assess the level of damage to the system. If the virus or worm code has been analyzed, then the task of assessing the damage is not very difficult. However, if the offending code has not been analyzed, then it may be necessary to restore the system from backup tapes. Once the system is brought back into a safe mode, then any patches or fixes shall be implemented and thoroughly tested. Log all actions.

- Return to a Normal Operating Mode

Prior to bringing the systems back into full operation mode, Contractor should notify the same group of people who were notified in stage one. The users should also be notified that the systems are returning to a fully operational state. The Department Information Systems Manager or designee will determine if it is necessary for all users to change their passwords and notify them as needed. Before restoring connectivity to the outside world, verify that all affected parties have successfully eradicated the problem and inoculated their systems. Log all actions.

- Follow-up

After the investigation, a short report describing the incident and actions that were taken must be completed. Log all actions.

## 2.3 IT Security Risk Assessment Policy

### Policy purpose

This policy places the accountability and responsibility of performing IT security risk assessment on Contractor applications/systems administrators. The purpose of the risk assessment is to determine areas of vulnerability, and to initiate appropriate remediation.

### Policy scope

IT security risk assessments can be conducted on any Contractor that maintains an agreement or memorandum of understanding with the Department.

IT security risk assessments can be conducted on any information systems, including applications, servers, and networks, and any process or procedure by which these systems are administered and/or maintained.

## **Policy description**

An initial IT security risk assessment must be performed on every critical business application/system by the Contractor applications/systems administrator. The execution, development and implementation of remediation programs are the joint responsibility of the Contractor and the Department. Contractor users and employees are expected to cooperate fully with any Risk Assessment being conducted on systems for which they are held accountable. Users and employees are further expected to work with the Risk Assessment Team in the development of a remediation plan.

## **2.4 Information Technology Acceptable Use Policy**

### **Policy purpose**

The purpose of this policy is to outline the acceptable use of Human Service Department Automated Systems assets and resources. This policy is intended to protect the Department from risks including virus attacks, compromise of network systems and services, and legal issues.

### **Policy scope**

This policy applies to Contractor's which contract with the Department, its users and customers and pertains to all IT assets and resources owned or leased by the Department.

### **Policy description**

Department Information Technology assets and resources are provided primarily for the use of Contractors which contract with the Department. Appropriate use of these resources includes conducting Department business, research, communications, and official work. Access to Department IT assets and resources is a privilege. It requires individual users and employees of Contractor's to act responsibly, conserve computer resources, and consider the rights and privacy of others. The assets and resources are the property of the Department.

Users and employees of Contractors should be aware that they may be subject to the laws of other states and countries when they engage in electronic communications with persons in those states or countries or on other systems or networks. Contractors are responsible for ascertaining, understanding, and complying with the laws, rules, policies, contracts, and licenses applicable to their particular uses.

The following uses of Department IT resources are prohibited:

- A. Interference or impairment to the activities of others, including but not limited to the following:
  1. Authorizing another person to use Department computer systems. Contractors are responsible for all of their accounts. Contractors must take all reasonable precautions, including password maintenance and file protection measures, to prevent use of their account by unauthorized persons. Users and employees of Contractors must not share their password with anyone else or provide access to the Department network resources to unauthorized persons.
  2. Unauthorized access and use of the resources of others, including but not limited to the following:
    - a. Use of Department resources to gain unauthorized access to resources of any other individual, institutions, or organizations.
    - b. Use of false or misleading information for the purpose of obtaining access to unauthorized resources.
- B. Accessing, altering, copying, moving, or removing information, proprietary software or other files (including programs, libraries, data and electronic mail) from any network system or files of other users without proper authorization.

- C. Damage or impairment of Department resources, including but not limited to the use of any resource irresponsibly or in a manner that adversely affects the work of others, such as:
  - 1. Hacking - attempting to obtain or use passwords, IP addresses or other network codes that have not been assigned to you or authorized for use as Contractor employees, attempting to obtain unauthorized access to computer accounts, software, files, or any other Department IT resources.
  - 2. Malicious Activity - intentionally, recklessly or negligently damaging any system (e.g., by the introduction of any so-called "virus", "worm", or "Trojan-horse" program); damaging or violating the privacy of information not belonging to the user; or misusing or allowing misuse of system resources.
  - 3. Any other activity not specifically cited above that may be illegal, harmful, destructive, damaging, or inappropriate use of Department IT resources.
- D. Unauthorized commercial activities, including but not limited to the following:
  - 1. Using Department resources for one's own commercial gain, or for other commercial purposes not officially approved by the Department, including web ads.
  - 2. Using Department resources to operate or support a non-Department related business.
- E. Violation of local, state or federal laws, including but not limited to, violating any laws or participating in the commission or furtherance of any crime or other unlawful or improper purpose.

The Department reserves the right to monitor computer and network usage for operational needs and to ensure compliance with applicable laws and Department policies.

## 2.5 Information Classification Policy

### Policy purpose

This policy identifies the different classifications of information within the Department and defines classifications on how that information is to be handled and protected. It is also intended for the policy to help users and employees of Contractors to determine what information can be disclosed to non-employees, as well as the relative sensitivity of information that should not be disclosed outside of the Contractor or the Department without proper authorization.

### Policy scope

This policy pertains to all information collected, stored and/or generated by Contractor in the use of Department Automated Systems.

### Policy Description

All information, data and documents is to be processed and stored strictly in order to protect its integrity and confidentiality

### Sensitive Information

Sensitive Information is defined as, for purposes of defining Contractor-produced software, only those portions of data processing software, including the specifications and documentation, which are used to:

- A. Collect, process, store, and retrieve information.
- B. Collect, process, store, and retrieve financial management information of the provider agency, such as payroll and accounting records; or
- C. Control and direct access authorizations and security measures for automated systems.
- D. Medical history records, including HIPAA ePHI<sup>1</sup> and information related to health or property

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<sup>1</sup> Health Insurance Portability and Accountability Act of 1996 electronic Personal Health Information (see Health Insurance Portability and Accountability Act Of 1996 (HIPAA) IT Compliance Policy)

insurance.

## 2.6 Audit Policy

### Policy purpose

This policy provides the authority for members of the Department to conduct security audits.

Audits may be conducted to:

- A. Ensure integrity, confidentiality, and availability of information and resources
- B. Investigate possible security incidents to ensure conformance to Department security policies
- C. Monitor user or system activity where appropriate.

### Policy scope

This policy covers any system or equipment on or connected to the Department Automated Systems. Department staff may conduct security audits on other Department owned/operated networks as tasked by the Department Director.

### Policy description

When requested and for the purpose of performing an audit, any access needed for the audit will be provided to members of the Department.

This access may include:

- A. User level and/or system level access to any computing or communications device
- B. Access to information (electronic, hardcopy, etc.) that may be produced, transmitted, or stored on equipment or premises
- C. Access to work areas (labs, offices, cubicles, storage areas, etc.)
- D. Access to interactively monitor and log traffic on Department networks.

## 2.7 Security Administration Policy

### Policy purpose

This policy defines security administration responsibilities for Contractors.

### Policy scope

This policy covers all computer and communication devices on the administrative network owned or operated by the Contractor. The security of a computer system involves safeguards for the hardware, software, and the data stored in the system. Computer system security also involves the protection of stored data and the prevention of unauthorized access and alteration of stored data. Each individual has responsibilities related to maintaining security over the Department's information assets.

### Policy Description

Security administration is an important function in the Department. Security administration responsibilities should be segregated from systems development, computer operations, and systems programming functions. Security administration should be involved in developing security policies where they do not exist and reviewing policies for effectiveness where they do exist. The function must be involved in the enforcement of security standards and in setting sanctions for noncompliance with established policies, procedures, and standards.

Contractors will adopt proper security measures and procedures to protect the Department's information assets from all threats. These measures include establishing and assigning security administration authority and responsibility.

#### Contractors

- A. Assign an IT Security Point of Contact who is responsible for controlling and monitoring physical and electronic access to Contractor specific information assets.
- B. Ensure the ongoing protection of Contractor specific information assets by establishing proper and adequate logical access controls, including password security and other access restrictions, to ensure that only authorized personnel have online access to the automated systems within the Department.
- C. Ensure that all staff adheres to the security policies, guidelines and procedures.
- D. Involve the Department in security evaluations for Contractor specific information assets.

#### Department IT Security Administration

- A. Provide orientation and support to the Contractor's IT Security Point of Contact.
- B. Create and enforce security policies.
- C. Ensure that password security functions, features, and capabilities are activated for online systems.
- D. Set up user profiles (e.g., identification, authorization, user code, and password).
- E. Ensure that passwords are of sufficient length and complexity that they cannot be easily compromised.
- F. Limit the number of log-on attempts to online systems. No more than three to five attempts should be allowed before disabling the violator's workstation.
- G. Ensure that passwords are changed for all online users at least every 90 days. Users with more sensitive capabilities (e.g., security administrators, certain users of financial and payroll systems) may want to change their passwords more frequently.
- H. Establish adequate password security on automated systems.
- I. Review terminal logs and security violation reports.
- J. Monitor activity on remote access facilities to ensure that only authorized personnel are using them.
- K. Detect and monitor access to systems or information outside the normal patterns or needs of a user or specific workstation.
- L. Maintain security over Department information to ensure that unauthorized access does not occur.
- M. Report potential security breaches to Contractor Management. Monitor and track repeated security violators.
- N. Maintain historical records of security violations for at least 90 days.
- O. Provide suggestions and recommendations to the Department on security-related matters.
- P. Research and suggest, as requested, additional security devices, such as modems with dial-back capability, which can potentially improve security.
- Q. Closely monitor the following:
  - 1. Individuals with access to any tool that can change programs or data, such as program compilers, data-altering utilities, report generators, and text editors.
  - 2. Remote access lines, especially those with dial-up and VPN capabilities.
  - 3. Terminated employees, especially those with high-tech capabilities.
  - 4. Repeat violators who claim not to understand log-on procedures.

#### All IS End-users

- A. Adhere to all established security policies.
- B. Report suspicious systems activity, which may indicate that files or programs have been tampered with to the Contractor's IT Security Point of Contact, agency management, and to the Department.
- C. Refrain from sharing confidential user codes, passwords, or other codes intended to restrict access to information assets.

## 2.8 Physical Security Policy

### **Policy purpose**

Authorized access to computer facilities is granted on a "need-to-use" basis.

### **Policy scope**

This policy applies to all Contractors which contract with the Department.

## Policy description

This policy clearly establishes steps that must be considered to ensure access to computer facilities and information assets are adequately protected. This includes, but is not limited to:

- A. Physical security perimeter
- B. Physical entry controls
- C. Security of data centers and computer rooms
- D. Securing individual personal computer and laptops
- E. Securing employee desks and open areas.

### Physical security perimeters

Physical security protection should be based on defined perimeters and achieved through a series of strategically located barriers throughout the location. The requirements and placement of each security barrier should depend upon the value of the assets and information to be protected, as well as the associated risk. Each level of physical protection should have a defined security perimeter around which a consistent level of security protection is maintained. The Department Information Systems Manager or designee should be contacted for assistance in developing plans for physical security of IT facilities.

The following guidelines for physical security perimeters are provided:

- A. Security of the perimeter should be consistent with the value of the assets or services under protection.
- B. Security perimeter should be clearly defined.
- C. Support functions and equipment (e.g., photocopiers and fax machines) should be located to minimize the risks of unauthorized access to secure areas and exempt information.
- D. Physical barriers should, if necessary, be extended from floor to ceiling to prevent unauthorized entry and environmental contamination.
- E. Other personnel should not be made aware unnecessarily of the activities within a secure area.
- F. Prohibition of individuals working alone should be considered, both for safety and to prevent opportunities for malicious activities.
- G. Organizationally managed computer equipment should be housed in dedicated areas separate from third-party managed computer equipment.
- H. When vacated, secure areas should be physically locked and periodically checked.
- I. Support services personnel should be granted access to secure areas only when required and authorized; where appropriate, their access should be restricted (especially to exempt information) and their activities monitored.
- J. Photography, recording or video equipment should not be allowed within the security perimeters, unless authorized.

### Physical entry controls

Secure areas should be protected by appropriate entry controls to ensure that only authorized personnel are allowed access. The following controls should be considered:

- A. Visitors to secure areas should be supervised and their date and time of entry and departure recorded.
- B. Visitors should only be granted access for specific, authorized purposes.
- C. All personnel are required to wear visible identification when within the secure area and encouraged to challenge strangers.
- D. Access rights to secure areas should be revoked immediately for personnel that terminate employment.
- E. Any keys or other access devices issued to the employee must be returned as part of the termination process.

## Security of data centers & computer rooms

Data Centers and computer rooms supporting critical organizational activities should have stringent physical security. The selection and design of the site should take account of the possibility of damage from fire, flooding, explosions, civil unrest and other forms of natural or manmade disaster. Consideration should also be given to any security threats presented by organizations and/or businesses in close proximity. The following measures should be considered:

- A. Key facilities should be situated away from areas of public access or direct approach by public vehicles.
- B. Where possible, buildings should be unobtrusive and give minimum indication of their purpose, with no obvious signs, outside or inside the building, identifying the presence of computing activities.
- C. Lobby directories and internal telephone books should not identify locations of computer facilities.
- D. Backup equipment and media should be situated at a safe distance to avoid damage from a disaster at the main site.
- E. Appropriate safety equipment should be installed, such as heat and smoke detectors, fire alarms, fire extinguishing equipment and fire escapes; fire suppression and safety equipment should be checked regularly in accordance with manufacturers' instructions; employees should be properly trained in the use of safety equipment.
- F. Emergency procedures should be fully documented and regularly tested.
- G. Doors and windows should be locked when unattended, and external protection should be considered for windows.

## Employee desk/open area policy

To reduce the risks of unauthorized access, loss, and damage to information after normal working hours, exempt and restricted papers and diskettes should not be left on desks unlocked. Information left out on desks is also likely to be damaged or destroyed in a disaster. The following guidelines should be applied where appropriate.

- A. Papers and diskettes should be stored in cabinets when not in use, especially outside of working hours.
- B. Exempt or critical organizational information should be locked away (ideally in a fire-resistant cabinet) when not required, especially when the office is vacated.
- C. Key locks, passwords, or other controls should protect personal computers and computer terminals when not in use.
- D. Consideration should be given to the need to protect incoming and outgoing mail points and unattended fax machines.

## 2.9 Contractor IT Security Program Policy

### **Policy purpose**

The purpose of this policy is to ensure that each Contractor which contracts with the Department understands that they must establish, implement and continuously improve an IT Security Program. This program must be sufficient enough to guarantee the integrity, accuracy and availability of information for which they have custodial responsibility. The program must reduce the risk of unauthorized disclosure, modification or destruction of information to a level that management deems necessary. Managers will be held accountable.

### **Policy Scope**

This policy covers each Contractor which contracts with the Department.

### **Policy Description**

Each Contractor will appoint an IT Security Point of Contact (IT Security POC) as an additional duty. The IT Security POC will work directly with the Department Information Systems Manager in developing and monitoring the program. The following skills/competencies are recommended to be included in the IT Security POC:

- A. A working knowledge of all business processes and information handled by those processes.
- B. A knowledge of the level of risk associated with the loss or destruction of the some or all the information for which the Contractor has custodial responsibility.
- C. Excellent written and verbal communications skills.
- D. Willingness to be an active partner with the Department Information Systems Manager in raising the

level of Security within the entire Contractor.

Each Contractor which contracts with the Department must develop a comprehensive Security Program that allows them to:

- A. Ensure the accuracy and integrity of automated information, and
- B. Educate all employees and contractor personnel concerning their responsibilities for maintaining the security of information resources.

Additionally, it is recommended that the comprehensive Security Program include the following:

- A. Place a monetary value on all data, software and information system resources owned by the Contractor for risk management purposes.
- B. Identify which information resources are sensitive and take steps to protect such information from disclosure or unauthorized modification.
- C. Identify which information resources are essential to the continued operation of critical County functions and take steps to ensure their availability.
- D. Evaluate IT Security enhancements beyond the minimum requirements for their cost effectiveness and to apply those which can be cost justified considering the exposure.

## **Technical Policies**

The following policies are technical in nature and must be implemented by all Contractors which contract with the Department.

Access to information and resources available through the Department's network systems must be strictly controlled to prevent unauthorized access. Access to all computing and information systems and peripherals shall be restricted unless explicitly authorized.

Access to operating system commands is to be restricted to those persons who are authorized to perform systems administration/management functions.

The network security policies are intended to protect the integrity of the Department's Automated Systems and to mitigate the risks and losses associated with security threats to the system.

The following policies should be read and cross referenced as part of the Broward County's Network Security.

- A. Backup Policy
- B. HIPAA IT Compliance Policy
- C. Password Policy

In support of these policies, the Department will:

- A. Monitor in real-time, network traffic as necessary and appropriate for the detection of unauthorized activity and intrusion attempts, and
- B. Publish security alerts, vulnerability notices and patches and other pertinent information.

### **3.1 Password Policy**

#### **Policy purpose**

This policy outlines the handling, responsibilities, and scope of passwords for the Automated Systems.

#### **Policy scope**

This policy includes all Contractors which contract with the Department who access to the Department Automated Systems.



## Policy description

All Contractor users and employees authorized to access password protected data on Department systems must complete the appropriate User Access Form. Passwords shall be controlled to prevent their disclosure to unauthorized persons. Contractors shall control their passwords to prevent their disclosure to unauthorized persons.

Passwords for all systems are subject to the following rules:

- A. All passwords must be changed every ninety days.
- B. Passwords must not be inserted into e-mail messages or other forms of electronic communication.
- C. No passwords are to be written, e-mailed, hinted at, or in any way shared with anyone.
- D. Passwords are not to be displayed or concealed on your workspace.
- E. All systems "Guest" accounts are to be disabled, and any newly created "Temp" accounts to have a limited "life expectancy" with an option for authorized extension.
- F. Password must meet the following criteria:
  - 1. May not contain any part of the user's account name.
  - 2. Must be least 8 alpha-numeric characters long.
  - 3. Only 5 failed attempts will be allowed before account is locked.
  - 4. A user will not be allowed to reuse the password for 15 consecutive change cycles. (System will remember last 15 passwords).

The Backup policy should be read and cross referenced as part of the Department Automated Systems Security.

## 3.2 Virtual Private Network (VPN) Policy

### Policy purpose

This policy provides guidelines for Remote Access via VPN connections to the Department Automated Systems.

### Policy scope

This policy applies to all Contractors which contract with the Department using VPNs to access the Automated Systems. This policy applies to all implementations of VPN access.

### Policy description

The approval authority for remote VPN Access rests with the Contractor IT End-user's Director and the Department Information Systems Manager or designee. The request for approval should be submitted by the Contractor IT End-user's Director on the appropriate User Access Form. The form should be forwarded to the Department Information Systems Manager or designee.

Approved Department IT Contractors may use the benefits of VPNs, which are a user managed service. The IS User is responsible for selecting an Internet service provider (ISP), coordinating installation, installing any required software, and paying associated fees.

Additionally:

- A. IS End-users with VPN privileges are responsible for ensuring that unauthorized personnel do not access Department internal networks.
- B. VPN use is to be controlled using either a one-time password authentication, such as a token device, or a public/private key system with a strong pass phrase.
- C. VPN gateways will be set up and managed by Department.

- D. All computers connected to Department external networks via VPN or any other technology must use the most up-to-date anti-virus software that is the corporate standard. This includes personal computers.
- E. VPN users will be automatically disconnected from Department systems after 60 minutes of inactivity. The user must then log in again to reconnect to the network. Pings or other artificial network processes are not to be used to keep the connection open.
- F. Users of computers that are not Department-owned equipment must configure the equipment to comply with the Department's VPN and network policies.
- G. Only approved VPN clients may be used.

The following policies should be reviewed and cross-referenced for details of protecting information when accessing the corporate network via remote access methods.

- A. Information Technology Acceptable Use Policy
- B. Dial-in Access Policy

### 3.3 Backup Policy

#### **Policy purpose**

This policy provides procedures for backing up electronically stored data, operating system, database and application.

#### **Policy scope**

This policy applies to all Contractors which contract with the Department.

#### **Policy description**

Contractors maintain the responsibility for backing up electronically stored data, operating systems, databases and applications.

Contractors are responsible for backing up all data, or work group applications and database stored on their desktops laptops and any Personal Digital Assistant (PDA). This data can be backed up on network shared drives (preferred), compact disks or floppy disks.

Contractors are responsible for backing up all operating systems, data, applications and databases residing on servers and network equipment under their span of control in accordance with the guidance provided below.

All operating software and application software necessary to access, recreate, or generate the information must be backed up periodically. The frequency of backup will depend on the significance of the information and its frequency of change. The most current copy of backup media should be stored off-site. Procedures for recovery and restoration of the information should be documented.

The concept of performing backups of data files and programs is as fundamental as any concept in information technology. Backup procedures should include the following:

- A. Maintaining a copy of backups off site at all times.
- B. Backing up systems on a daily basis.
- C. Backing up all necessary data files and programs to recreate the operating environment
- D. Storing the current copy of backups off organization premises.
- E. Storing backup copies at an off-site location sufficiently distant from the data center to ensure their protection if the original system is destroyed.
- F. Considering the ease of access and retrieval from the off-site storage location, including blockage by debris, transportation, and hours of operation.
- G. Backing up the printed documentation and preprinted forms necessary for recovery.
- H. Having at least three generations of backup tapes so an earlier generation of backup can be used if the

current backup media are damaged or become unreadable.

- I. Ensuring that backup is not continually performed on the same set of tapes.
- J. Testing the backup to determine if data files and programs can be recovered.
- K. Backing up on media that are compatible with the alternate computer system that will be used following a disaster, considering storage density, media type, and type of tape or disk drive.
- L. Ensure that the following are stored at an off-site storage location:
  - Source and object code for production programs,
  - Master files and transaction files necessary to recreate the current master files,
  - System and program documentation,
  - Operating systems, utilities, and other environmental software, and
  - Other vital records.

## 3.4 Replacement of Obsolete Hardware & Software Policy

### Policy purpose

This policy defines the requirement of data destruction from both hardware and software products used by the Contractor when they are either replaced or recycled because they are obsolete and/or no longer needed.

### Policy scope

This policy applies to all Contractors which contract with the Department.

### Policy description

#### Personal computer turn-in procedure

When a Contractor disposes of personal computers or servers, the Contractor must perform the following steps to ensure that all data is properly deleted.

- A. Purge the hard drive of all applications except the operating system.
- B. Purge the hard drive of all other documents.

This Section is in relation to the Health Insurance Portability and Accountability Act of 1996. (HIPAA). Please cross-reference the Health Insurance Portability and Accountability Act Of 1996 (HIPAA) IT Compliance Policy contained within this document.

#### HIPAA Security Procedures for PC or server relocation/disposal at covered HIPAA entities

Perform the following steps to ensure that all HIPAA data is properly deleted from surplus equipment.

- A. When a PC or server is moved within the covered entity immediate location, the internal HD can be reformatted.
- B. When a PC or server is surplus and/or moved outside of immediate location, the internal HD must be physically destroyed and safely disposed of by the Contractor. (**Note:** The objective is to make HD permanently unusable and unrecoverable).
- C. Destroy all application software disks.

## 3.5 Health Insurance Portability and Accountability Act Of 1996 (HIPAA) IT Compliance Policy

### Policy purpose

This policy identifies the special handling of Electronic Personal Health Information (ePHI) as it applies to the IT resources throughout the Department. This policy must be used when establishing the individual Contractor IT Security Program. The Health Insurance Portability and Accountability Act of 1996 (HIPAA) identifies and defines certain health plans, health care providers and health care clearinghouses ("Covered Entities") that must comply with its provisions.

### Policy Scope

This policy is applicable to all Contractors that use or disclose electronic protected health information for any purposes. This policy's scope includes all electronic protected health information, as described in herein.

### Policy Description

#### Administrative Safeguards

Contractor managers, and supervisors shall work with its Human Resource section to enforce laws and personnel rules related to the protection of data maintained by Department and confidentiality of health information, with specific attention to the requirements of HIPAA. Contractor employees shall be personally accountable if PHI is released in violation of HIPAA, and shall be subject to sanctions according to existing personnel rules.

#### Technical Safeguards

- A. Access Control and Integrity - Implement technical policies and procedures for electronic information systems that maintain ePHI to allow access only to those persons or software programs that have been granted access rights as specified in Sec. 164.308(a)(4).
  1. Contractor administrators, managers and supervisors shall work with the Department to make sure that only current, authorized staff has access to computer data where PHI is stored and used. All access to such systems shall be password controlled, and rights to access shall be reviewed for each staff member at least annually.
  2. Contractor staff shall use password-protection on their voice-mail accounts. Contractor staff shall not give out voice mail passwords to any non-Contractor staff, and shall not post or keep passwords written down where they can be readily found by someone else (e.g. taped to desk, side of computer or telephone).
  3. Contractor staff shall protect access to their computer through the network log-in screen. Contractor staff shall not share password with anyone, and shall not post or keep passwords written down where they can be readily found by someone else (e.g. taped to desk, side of computer, or telephone).
  4. The Contractor's IT Security Point of Contact shall be responsible for notifying Human Resources section of the Contractor and the Department Information Systems Manager regarding terminated workforce members by requesting the deactivation of the individual's passwords. Access shall be terminated immediately following notification.
  5. Contractor staff shall use the "Log-off" function to lock computers when away from their workstations.
  6. Contractor staff shall save electronic files on a secure computer. PHI shall not be saved onto diskettes, data tapes or CD (including Zip Disks or portable hard disks) unless absolutely necessary.
  7. Contractor staff shall orient their computer screens so they may not be easily seen by office

visitors when displaying PHI.

8. Contractor staff who uses Personal Digital Assistants (PDAs) shall follow the same types of safeguards outlined for computer use. If the PDA contains confidential information (such as appointment information that may include PHI), the PDA must be safeguarded from being accessed by anyone outside of Contractor employees. If a PDA containing PHI is lost or stolen, a report shall be promptly filed with the Privacy Officer.
  9. Contractor staff shall destroy electronic media containing ePHI that does not have to be retained prior to disposal of the electronic media.
  10. Contractor staff shall ensure that all ePHI stored on Contractor computer hardware is encrypted and that there are plans to capture that ePHI in times of emergency.
  11. Contractor staff will audit computer hardware that hosts ePHI for compliance with the above Access Control requirements
  12. Contractor staff will implement electronic mechanisms to corroborate that ePHI has not been altered or destroyed in an unauthorized manner.
- B. Transmission Security - guard against unauthorized access to ePHI that is being transmitted over an electronic communications network. (In the February 20, 2003 issue of the Health and Human Services Federal Register, the encryption required by Section 164.312(e)(1)) for ePHI was changed. Covered entities are required to encrypt data being transmitted whenever deemed appropriate by the sending entity. However the section also recommends that covered entities consider use of encryption technology for transmitting ePHI when available, particularly over the internet.) Covered entities will be responsible for identifying transmission encryption requirements which will be implemented using appropriate encryption standards.

#### Reporting Suspected Violations

If a Contractor staff member suspects that another employee of Contractor has violated the Privacy Policies and Procedures, the Contractor staff member shall immediately report the suspected violation by using one of the following methods. Reporting the suspected violation is not optional.

A report of the suspected violation may be given to the Contractor's IT Security Point of Contact.

## **Program Policies**

### **4.1 Confidential Information and Information Sharing Policy**

#### **Policy purpose**

This policy provides guidelines for the handling of confidential information and sharing with respect to the Department Information Systems. The confidentiality policies are to protect the confidentiality, integrity, availability, and reliability of all data shared on the network. These policies are also intended to prevent accidental or intentional unauthorized disclosure, modification, or destruction of information by persons within or outside the participating agencies. Additionally, it is the policy of the Department's Information Systems to protect the confidentiality, integrity, availability, and reliability of all information technology resources used to support the delivery of services to clients served by participating member agencies. It is the policy of the Department's Information Systems to preserve client rights to confidentiality, to implement and enforce the protection of the security of client personal information, as well as compliance with Federal, State and Local ordinances, laws, rules, regulations, policies and procedures governing the confidentiality of data.

#### **Policy scope**

This policy applies to all Contractors which contract with the Department and use Department Automated Systems and to Department staff. Confidential data include, but are not limited to: client names, medical history records, social security numbers and financial information.

#### **Policy description**

Information shared on the network must be consistent with Federal, State and Local ordinances, rules, regulations, policies and procedures, including to Chapter 163 of the Florida Statutes, Intergovernmental Programs, Part VI, "Collaborative Client information systems."

Data may be shared with participating agencies only with client's valid consent. This data may not be shared with any individual or organization that does not have a current signed agreement with the participating agency. The minimum data elements to be collected by participating agencies, as required by funding agencies are as follows: name, alias and zip code, if applicable, gender, and date of birth and social security number.

State, Federal and County laws protect data collected and analyzed by Department for its Information Systems. The unauthorized disclosure of any information that could be used directly or indirectly to identify clients is prohibited.

Client specific data (e.g., client's unique record number, exact date of birth or death and other personal identifying information) shall be released to participating agencies on a need-to-know basis and only with the client's valid consent.

Aggregate data (data that is cumulative and not traceable to individual clients), may be shared among participating agencies.

Clients must sign the appropriate consent forms before data can be entered into the appropriate Automated System, except only that Contractor's performing central intake via telephone may obtain verbal consent. In the event that the potential client declines to provide valid consent, the client intake process will be completed manually or by other previously approved methods.

During the client intake in order to ensure the integrity of client information entered into the system, the person conducting the full (face-to-face) intake shall request that the client present proper identification (e.g., government issued documentation such as driving license, D.M. V I.D. card, resident alien card, or social security card). Lack of proper identification will not hinder or delay the intake process. A unique record number (URN) I.D. will be generated by the Automated System for each client. The URN will be used to coordinate services across authorized service providers and to generate an unduplicated client count.

## 4.2 Electronic Referral Policy

### **Policy purpose**

This policy provides guidelines for the handling of electronic referrals with respect to Department Information Systems.

### **Policy scope**

This policy applies to all Contractors which contract with the Department and use the Department Information Systems and Department staff.

### **Policy description**

Electronic Referrals to participating member agencies that provide services, for which the client may be eligible, will be done electronically via the appropriate Department Automated System. If the Contractor that the client is being referred to is a Department Automated Systems participating agency, the information will be received by that agency electronically, subject to valid client consent. All printed materials generated by the appropriate Department Automated System are considered confidential. This includes confidential client information relative to demographics, annual household income, financial assistance and service outcomes. This printed material may be faxed or mailed to that agency in accordance with all applicable Federal, State and County laws.

## 5.0 Glossary

Terms	Definitions
Covered Entities	Organizations that are directly regulated by HIPAA and are responsible for the privacy of protected health information.
Desktop	PCs and peripheral equipment are not relevant to the scope of this policy.
Electronic Protected Health Information (ePHI)	Electronically stored or transmitted Protected Health Information.
Encryption	Secure Broward County sensitive information in accordance with the Acceptable Encryption Policy. International issues regarding encryption are complex. Follow organization guidelines on export controls on cryptography, and consult your manager and/or organization legal services for further guidance.
Hypertext transfer protocol (HTTP)	A protocol that tells computers how to communicate with each other. Most Web page locations begin with http://.
Internet	A global network of computers that communicate using a set of common protocols including hypertext transfer protocol (HTTP) and Transmission control protocol/ Internet protocol (TCP/IP). A private global network inside a company or organization that uses the same kinds of software that you would find on the public Internet, but that is only for internal use. Note that an Intranet may not actually be an internet – it may simply be a network.
Internet service provider	This is the organization that the County contracts with to get connection to the Internet.
Intranet	An private network of computers that communicate using a set of common protocols including hypertext transfer protocol (HTTP) and Transmission control protocol/ Internet protocol (TCP/IP).
One-time password authentication	The use of a one-time password token to connect to a network over the Internet.
Personal digital assistant (PDA)	Personal digital assistant.
Point of contact (POC)	Point of contact - The POC acts on behalf of the Contractor.
Protected Health Information (PHI)	Individually identifiable health information that is: transmitted by electronic media, maintained in electronic media, or transmitted or maintained in any form or medium. Protected health information excludes individually identifiable health information in: education records covered by the Family Educational Rights and Privacy Act; and in employment records held by a covered entity in its role as employer.
Risk	Those factors that could affect confidentiality, availability, and integrity of Broward County's key information assets and systems.
Risk assessment	Periodic information security assessments for the purpose of determining areas of vulnerability and to initiate appropriate remediation.



Terms	Definitions
Transmission control protocol/Internet protocol (TCP/IP)	The suite of transmission protocols that are used across the Internet.
Virtual private network (VPN)	An encrypted channel between nodes on the Internet. The provision of private voice and data networking from the public switch network through advanced public switches. The network connection appears to the IT Customer as an end-to-end, nailed-up circuit without actually involving a permanent physical connection, as in the case of a leased line. VPNs retain the advantage of provide networks but add benefits like capacity on demand.





## Human Services Department

### **6.0 Appendices**

#### **Exhibit A**

#### **Client Services Information Systems**

#### **User Confidentiality Security Agreement**

I, the undersigned, have received and read a copy of the Broward County Human Services Department Information Systems Security Principles and Policies. I hereby agree to abide by these principles and policies.

I acknowledge that violation of the Principles and Policies may result in criminal prosecution, civil liability, civil penalty and may subject me to disciplinary action, including possible termination of employment.

I understand that the purpose of this agreement is to emphasize that all client information contained in any of the Department's client services systems is confidential.

I understand my professional responsibilities, and that I am to report suspected or known security violations to Broward County Human Services Department.

I understand that access to confidential information is governed by State and Federal laws. Client confidential information includes medical, social and financial data.

Client data collected by interview, observation or review of documents must be in a setting which protects the client's privacy.

I further understand and acknowledge the following:

1. Registered user ID's and/or passwords are not to be disclosed.
2. Information, electronic or paper-based, is not to be obtained for my own or another person's personal use.
3. Client services information systems, data and information technology resources shall be used only for official business purposes.
4. Copyright law prohibits the unauthorized use or duplication of software.

User Name: \_\_\_\_\_  
(print)

User Signature: \_\_\_\_\_ Date Signed: \_\_\_\_\_

Agency: \_\_\_\_\_

Supervisor Name: \_\_\_\_\_  
(print)

Supervisor Signature: \_\_\_\_\_ Date Signed: \_\_\_\_\_